



# SNAP-Ed Plan Guidance



USDA | FNS Supplemental Nutrition Assistance Program Education

**FY  
2021**





**United States  
Department of  
Agriculture**

Food and  
Nutrition  
Service

3101 Park  
Center Drive

Alexandria, VA  
22302-1500

Dear State Cooperators:

I am pleased to present to you the State Supplemental Nutrition Assistance Program Education (SNAP-Ed) Plan Guidance for Federal Fiscal Year (FFY) 2012. This Guidance incorporates updates as appropriate from the Healthy, Hunger-Free Kids Act of 2010 (Public Law 111-296), Section 241, enacted on December 13, 2010, which established the Nutrition Education and Obesity Prevention Grant Program for eligible low-income individuals.

We are currently in a transition period as new program rules are formulated. As such, this guidance only reflects financial provisions of the Act. The most significant update to this guidance is that Section 241 provides for 100 percent Federal funding allocations. There is no State match required. As defined in the Statute, a States' allocation is based on FFY 2009 State reported expenditures, as reported in February 2010. For FFY 2012 and beyond, funding will be indexed for inflation. All allocated funds are available for expenditures over a two-year period. To assist you in your planning we have included an estimate of States FFY 2012 grant levels. Please keep in mind these figures are planning estimates based on the President's FY 2012 budget. Final State grant allocations for FFY 2012 will be available in August.

In addition, the US Department of Agriculture (USDA) and the Department of Health and Human Services (DHHS) released on January 31, 2011, the 7<sup>th</sup> edition of the Dietary Guidelines for Americans (DGAs). The FFY 2012 SNAP-Ed Plan Guidance acknowledges these new DGAs as the basis for nutritional standards and educational messaging for the Supplemental Nutrition Assistance Program (SNAP).

As in previous years, we encourage you to review this document carefully before drafting your annual State SNAP-Ed Plan. Regional Food and Nutrition Service (FNS) staff are available to provide technical assistance to State agencies regarding the preparation of State SNAP-Ed plans and reports. State agencies are responsible for ensuring that all sub-grantees follow this guidance and implement approved activities accordingly.

The guidance updates are summarized on the attached *2012 Guidance Highlights*. The FFY 2012 Guidance, with changes from 2009.1, will be available on the SNAP-Ed Connection website by March 31, 2011. You may access it at: <http://snap.nal.usda.gov/guidance/>

Throughout the year, please feel free to comment on this Guidance through your FNS regional contacts. We are happy to consider your views and welcome suggestions.

Sincerely,

A handwritten signature in black ink that reads "David Burr".

David Burr

Director

Program Accountability and Administration Division  
Supplemental Nutrition Assistance Program

Attachments

## Estimates for FFY 2012 SNAP-Ed Funding Allocation

**Total Estimate Available For FFY 2012 = \$381,000,000**

State/Territory	FY 2009 Nut Ed Fed Out/Unliq Obl	% of Total	2012 Allocation FM Results
AK	\$354,516	0.12%	\$449,399
AL	\$2,541,986	0.85%	\$3,222,328
AR	\$1,245,513	0.41%	\$1,578,865
AZ	\$11,064,204	3.68%	\$14,025,451
CA	\$110,416,887	36.74%	\$139,969,095
CO	\$2,934,485	0.98%	\$3,719,877
CT	\$2,397,151	0.80%	\$3,038,730
DC	\$1,264,707	0.42%	\$1,603,196
DE	\$648,322	0.22%	\$821,840
FL	\$2,369,040	0.79%	\$3,003,095
GA	\$1,188,949	0.40%	\$1,507,162
HI	\$577,167	0.19%	\$731,641
IA	\$1,568,482	0.52%	\$1,988,274
ID	\$720,506	0.24%	\$913,344
IL	\$7,921,191	2.64%	\$10,041,235
IN	\$3,904,313	1.30%	\$4,949,271
KS	\$1,853,719	0.62%	\$2,349,852
KY	\$8,538,546	2.84%	\$10,823,820
LA	\$1,640,833	0.55%	\$2,079,989
MA	\$2,294,972	0.76%	\$2,909,203
MD	\$2,317,518	0.77%	\$2,937,783
ME	\$4,271,857	1.42%	\$5,415,186
MI	\$20,390,588	6.78%	\$25,847,968
MN	\$7,404,991	2.46%	\$9,386,878
MO	\$8,071,784	2.69%	\$10,232,133
MS	\$2,240,524	0.75%	\$2,840,183
MT	\$621,716	0.21%	\$788,113
NC	\$1,250,486	0.42%	\$1,585,169
ND	\$934,375	0.31%	\$1,184,453
NE	\$1,212,704	0.40%	\$1,537,275
NH	\$774,083	0.26%	\$981,260
NJ	\$5,512,714	1.83%	\$6,988,148
NM	\$2,542,117	0.85%	\$3,222,494
NV	\$1,085,839	0.36%	\$1,376,455
NY	\$13,151,722	4.38%	\$16,671,676
OH	\$2,622,666	0.87%	\$3,324,602
OK	\$4,029,226	1.34%	\$5,107,616
OR	\$5,399,393	1.80%	\$6,844,498
PA	\$19,409,164	6.46%	\$24,603,873
RI	\$729,483	0.24%	\$924,723
SC	\$215,174	0.07%	\$272,764
SD	\$353,988	0.12%	\$448,730
TN	\$1,223,480	0.41%	\$1,550,935
TX	\$4,627,480	1.54%	\$5,865,988
UT	\$731,922	0.24%	\$927,815
VA	\$4,431,989	1.47%	\$5,618,176
VI	\$32,215	0.01%	\$40,837
VT	\$37,500	0.01%	\$47,537
WA	\$6,963,620	2.32%	\$8,827,378
WI	\$8,191,217	2.73%	\$10,383,531
WV	\$2,525,580	0.84%	\$3,201,531
WY	\$1,805,419	0.60%	\$2,288,625
<b>US</b>	<b>\$300,558,023</b>	<b>100.00%</b>	<b>\$381,000,000</b>

## **FY 2012 Guidance Change Highlights**

The following highlights briefly summarize key changes in the Fiscal Year (FY) **2012** Supplemental Nutrition Assistance Program Education (SNAP-Ed) Plan Guidance. This summary is not comprehensive and does not list or discuss changes in detail. Please refer to the memorandum, Implementation of the Healthy, Hunger-Free Kids Act of 2010, dated January 19, 2011 and other guidance from the Food and Nutrition Service (FNS) for detailed changes. State agencies are encouraged to thoroughly review the entire **FY 2012** Guidance before submitting their FY 2012 Plans.

**The Healthy, Hunger-Free Kids Act of 2010** (P.L. 111-296), Section 241, contains provisions which affect operations of SNAP-Ed. Section 241 establishes a two year transition period, FY 2011 – 2012, during which State Nutrition Education Plans will remain consistent with Plan requirements prior to enactment of the law. The only changes to the SNAP-Ed Guidance 2009.1, under which State SNAP-Ed Plans were developed and submitted for 2011, relate to funding provisions which are no longer applicable. Changes to SNAP-Ed Guidance for FY 2012 includes:

- FY 2012 SNAP-Ed Guidance is modified based on the statute to reflect changes to funding for SNAP-Ed which includes removal of all references to Federal matching for State reimbursements of State agency expenditures for SNAP-Ed.
- Incorporation of the 2010 Dietary Guidelines for Americans and the New Food Guidance System.
- SNAP-Ed Guidance for FY 2013 and beyond will be addressed through the rule-making process.

### **2010 Dietary Guidelines for Americans**

The U.S. Department of Agriculture (USDA) and the Department of Health and Human Services (DHHS) released on January 31, 2011, the 7th edition of the Dietary Guidelines for Americans (DGA). The FY 2012 SNAP-Ed Plan Guidance acknowledges these new DGAs as the basis for nutritional standards and educational messaging for SNAP. States should review their SNAP-Ed interventions, social marketing campaigns, and materials to ensure that they support and facilitate diets consistent with the 2010 DGAs and food guidance system. States can review the 2010 DGAs and forthcoming USDA Food Guidance System (anticipated Spring 2011) on the Center for Nutrition Policy and Promotion Web site at <http://www.cnpp.usda.gov>. FNS recognizes that States may need to update and reprint materials. This effort will benefit from cooperation and collaboration across State FNS programs. This time of revision and updating provides a unique opportunity to ensure that our SNAP-Ed messages are consistent with those of other FNS programs and are developed to promote behavior change in the SNAP eligible audience. During the upcoming year, States are encouraged to monitor materials being produced by the Federal government and State SNAP-Ed providers through communication with their Regional office contacts and with the SNAP-Ed Connection Resource Sharing Form. These efforts are to ensure that materials are not unnecessarily duplicated and to ensure that SNAP-Ed funds are used to produce quality materials in areas where they are needed most. The SNAP-Ed Connection, an online resource system, will continue to serve as the communication hub for SNAP-Ed providers, disseminating information on new materials as they become available and providing a place for the sharing of ideas and strategies. The SNAP-Ed Connection is available at <http://snap.nal.usda.gov>.

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# State Supplemental Nutrition Assistance Program Education Plan

## Introduction

Under current regulations (7 CFR 272.2 (d)), State Supplemental Nutrition Assistance Program (SNAP) agencies have the option to provide, as part of their administrative operations, nutrition education for persons who are eligible for the (SNAP).

The goal of this Supplemental Nutrition Assistance Program Education (SNAP-Ed) is to improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically active lifestyles consistent with the current Dietary Guidelines for Americans and MyPyramid.

In FY 2012, The Healthy, Hunger-Free Kids Act of 2010 (Public Law 111-296), Section 241, enacted on December 13, 2010, established a Nutrition Education and Obesity Prevention Grant Program for eligible low-income individuals. The Statute provides 100% federally funded allocations to State agencies based on SNAP-Ed expenditures States reported for FY 2009. Funds are available to States for expenditures over a two year period. State SNAP agencies seeking Federal grant funding for FY 2012 under the program shall submit a nutrition education State plan to FNS for approval. The FY 2012 SNAP-Ed Plan Guidance is designed to assist States with this process. Specifically, it:

- assists with the preparation of the State nutrition education plan;
- provides templates for complete and efficient plan submission (Appendix A);
- describes reporting requirements for activities covered by the plan (Appendix B);
- serves as a reference for policy regarding allowable costs and other policy issues (Appendix C);
- provides definitions of key terminology (Appendix D) and abbreviations (Appendix E);
- encourages use of the “SNAP-Ed Connection,” a USDA Web-based nutrition education resource for educators (Appendix F);
- provides a timeline for planning and reporting (Appendix G);
- SNAP-Ed Guiding Principles (Appendix H)
- provides guidance on submission of data to the Education and Administrative Reporting System (EARS Form OMB No. 0584-0542 Expires 08/31/2013) (Appendix I).

This policy guidance incorporates the SNAP-Ed Guiding Principles (September 2005), which articulate the FNS vision for SNAP-Ed and establish standards of excellence. States should consider these principles (Appendix H) as they develop their State SNAP-Ed Plan.

This guidance provides information on SNAP-Ed in conjunction with Office of Management and Budget (OMB) and SNAP regulations and policy memorandums. Due to the diversity of SNAP nutrition education activities, a comprehensive listing of all allowable and unallowable costs is not practical. FNS will make all final judgments on what activities and expenses are “reasonable and necessary” to deliver SNAP-Ed. States should refer to the information on allowable costs in Appendix C as they plan activities for the upcoming FY.

## **SNAP-Ed Plan Submission and Approval Process**

As specified under 7 CFR 272.2 (e) of the regulations, officials of the State SNAP agency shall sign the SNAP-Ed plan and submit it to FNS annually no later than August 15 for the following Federal FY's operation. States may submit a plan prior to the August 15 deadline and early submission is highly encouraged. The plan should be submitted to the FNS Regional Office as an electronic document (either as a Microsoft Word 2007 document or Portable Document Format (PDF) file saved on a compact disc (CD)). If FNS deems that changes to the State plan are necessary before final approval is granted, the State should incorporate these changes into their State plan document and resubmit the electronic copies to FNS.

## **Reports**

An annual report on the previous year's activities is due annually by November 30 (7 CFR 272.2 (i)). Submit the report to the FNS Regional Office, as an electronic document (either as a Microsoft Word 2007 document or as a Portable Document Format (PDF) file) saved on a CD. Data and information for the SNAP Education and Administrative Reporting System (EARS-- Form OMB No. 0584-0542 Expires 08/31/2013), approved by the OMB in 2007, is due for each year starting in FY2008. The State SNAP agency will use the FNS online submission system to transmit this information to FNS by December 31 of each year. State SNAP agencies may establish earlier cut-off dates for implementing agencies to submit EARS information.

A timeline for the submission process is located in Appendix G.

## **State Agency Liability**

### **For SNAP**

Under SNAP regulations at 7 CFR 272.2(c), the State agency submits to FNS for approval a Budget Projection Statement (Form 366-A OMB No. 0584-0083) which provides projections of the total costs for major areas of program operations. The Budget Projection Statement (BPS) is submitted annually by *August 15*, for the upcoming FY and is updated as necessary throughout the year. With the BPS, the State agency also submits a narrative justification documenting and explaining the assumptions used to arrive at the projections. Under 272.2 (e), the State agency shall submit to FNS for approval a plan for activities requiring prior approval, which includes the SNAP-Ed Plan. FNS has 30 days to approve, deny, or request additional information. If additional information is requested, the State agency shall provide this as soon as possible, and FNS shall approve or deny the plan within 30 days after receiving the information. FNS notifies the State agency of the Plan approval (or denial) and the authorized allocation amount. Funds are then put in the Letter of Credit for the State agency to draw down to pay the Federal administrative costs.

## **For SNAP-Ed**

The State SNAP agency is accountable for the contents of their nutrition education plan and for the subsequent implementation of the plan, once approved. It is responsible for making allowable cost determinations and monitoring to ensure that SNAP-Ed operators spend funds appropriately. The State SNAP agency is fully liable for repayment of Federal funds should those costs later be determined unallowable. FNS Regional Office financial management and program staff are available to provide technical and other assistance to State agencies in developing nutrition education plans. The State SNAP agency is responsible for providing technical assistance to any sub-grantees to ensure that all projects support the State's SNAP-Ed goals and objectives and to clarify which expenses are eligible for reimbursement through the SNAP.

## **Expediting Plan Approval**

State Plans of Operation for each FY are due no later than *August 15* of the year prior, and are subject to FNS approval for payment (7 CFR 272.2). To ensure plans are approved and implemented at the start of each Federal FY, (*October 1*), FNS encourages State agencies to:

- Submit plans early, but no later than *August 15* (7 CFR 272.2);
- Use suggested formats and any optional templates provided;
- Follow plan guidance and provide a clear presentation of plan goals and objectives;
- Submit necessary documentation to support waivers and funding requests; and
- Be as short and concise as possible, while still being responsive to guidance.

Plans that are incomplete, filled with extraneous information, or poorly organized impede the review process and can result in a denial or delayed approval. To expedite approval, FNS recommends that the State agency do the following:

- Review the plan carefully to assure that it is consistent with the current Guidance. Both State agency program staff and the fiscal officer should review the plan to verify that all activities and costs are allowable, reasonable and necessary for the delivery of SNAP-Ed (7CFR 277 (OMB Circular A-87)).
- Construct necessary waiver requests (7CFR 272.3) carefully and provide thorough justification and documentation.
- Use the recommended templates provided in Appendix A. These templates are designed to ensure that necessary information is included and can be easily presented in your plan. These templates are also available electronically at <http://snap.nal.usda.gov/guidance/>
- Submit only one State plan and annual report even though multiple State sub-grantees may exist.
- Do not submit separate plans and reports for each sub-grantee in your State. For example, your plan should include one unified section describing the identified needs of SNAP eligibles in your State, SNAP-Ed goals and objectives for the State, etc.
- Combine all sections and templates of the State plan into one comprehensive document (either Microsoft Word 2007 or a PDF file) with continuous page numbers as opposed to separate files for each section.

- Provide project specific information as instructed in this Guidance, including information on how projects support State goals and objectives and descriptions of project implementation, staffing, and budget.
- Limit the length of the State plan to not more than 150 pages, excluding appendices, and limit the annual report to 25 pages excluding appendices. Use at least a twelve-point font, one-inch margins and number the pages of your plan consecutively. Provide clear and concise descriptions and justifications for the requested items.
- Verify that the appropriate State SNAP agency officials have approved, signed, and dated the plan.
- Submit the Plan to the respective FNS Regional Office early (7 CFR 272.2) (prior to the *August 15<sup>th</sup>* due date).
- Submit the previous year's annual report to the FNS Regional Office by the *November 30<sup>th</sup>* due date (7 CFR 272.2 (i)).
- Complete the EARS Form OMB No. 0584-0542 (Expires 08/31/2013) by *December 31*. See Appendix I for details.

### **Annual Report Deadline Extension**

By written request to the FNS Regional Office, States may request to extend the deadline for Part I of the Plan (the Annual Report).

### **Plan Amendments after Approval**

State agencies shall submit amendments (7 CFR 272.2) to their approved plans to FNS for prior approval whenever there are changes in the scope of activities. If plan amendments for the current FY include payment requests for new or substantially revised SNAP-Ed activities, States shall submit them to FNS, complete with supporting documentation, **no later than May 1 of the current FY**. Submission by this date is necessary to ensure adequate time for review, approval and implementation of the proposed activities during the remaining four months of the FY. The plan amendment should be submitted to the FNS Regional Office as an electronic document (either as a Microsoft Word 2007 document or PDF file) saved on a CD. Refer to the Expediting Plan Approval section on page 3 for requested formatting.

### **Management Evaluation (ME) Review of State SNAP-Ed Projects (7 CFR 275. 5 through .9) (Performance Reporting System, Management Evaluation OMB No. 0584-0010)**

Selection of SNAP-Ed projects for on-site ME reviews should be based on one or more of the following factors:

- amount of expenditures over the past FY relative to other States in the region with similar population demographics and program scope;
- the quality of sample documentation used by the State agency to support payment from the State agency to subcontractors;
- known or suspected difficulties in program administration or operation; and
- length of time since the State's SNAP-Ed services were last examined.

This review will assess whether:

- the State agency has a process in place to review and monitor grantees' and sub-grantees' nutrition education operations;
- operations are consistent with the terms of the approved plan;
- activities are targeted to participating and potentially eligible SNAP clients;
- projects are being evaluated for effectiveness;
- administrative expenses are reasonable, necessary, and properly documented and allocated;
- States are submitting developed materials to the National Agricultural Library, Food and Nutrition Information Center for consideration and inclusion on the SNAP-Ed Connection Web site.

ME Report Format:

- A specific template or format is not required when organizing the ME report, however, it is suggested that the following elements are included when compiling the report:
  - Cover page and table of contents
  - Executive Summary- a one-page summary of overall evaluation results;
  - Background information such as the purpose of the ME as well as the name and location of the State agency (SA), personnel involved in the review process and the dates of the review.
  - Review areas:
    - The SA process for reviewing SNAP-Ed projects within their State;
    - Operational consistency with approved SNAP-Ed plan;
    - Targeting mechanisms for eligible SNAP-Ed populations;
    - Evaluation and effectiveness of SNAP-Ed project ;
    - Financial management:
      - Time and Effort records;
      - Administrative expenses documented and allowable;
      - Program income accurately reported.
    - Curriculums and project materials are consistent with the Dietary Guidelines for Americans and MyPyramid and include appropriate statements such as funding source and the USDA non-discrimination statement;
  - Review results for each Review area:
    - Scope
    - Methodology
    - Observations
    - Findings
    - Recommendations.
  - Summary

## **Focus of SNAP-Ed**

State plans should include behaviorally focused, science-based nutrition education interventions, projects or social marketing campaigns that fall within the focus of SNAP-Ed and are consistent with FNS priorities. The focus of SNAP-Ed is:

- Health promotion to help SNAP eligibles establish healthy eating habits and a physically active lifestyle.
- Primary prevention of diseases to help SNAP eligibles that have risk factors for diet-related chronic disease prevent or postpone the onset of disease by establishing more physically active lifestyles and healthier eating habits.

The Dietary Guidelines for Americans are the foundation of nutrition education in all FNS nutrition assistance programs. Therefore, messages delivered through SNAP-Ed should be consistent with the latest Dietary Guidelines for Americans and the associated Food Guidance System, MyPyramid. Please refer to the USDA Center for Nutrition Policy and Promotion Web site at <http://www.cnpp.usda.gov/> for complete information on the Dietary Guidelines for Americans and MyPyramid.

## **Nutrition Education Key Behavioral Outcomes**

To magnify the impact of SNAP-Ed, FNS encourages States to focus their SNAP-Ed efforts on the following behavioral outcomes:

- Make half your plate fruits and vegetables; eat whole grains, and switch to fat-free or low-fat milk products.
- Increase physical activity and reduce time spent in sedentary behaviors as part of a healthy lifestyle.
- Maintain appropriate calorie balance during each stage of life--- childhood, adolescence, adulthood, pregnancy and breastfeeding and older age.

States may address other behavioral outcomes consistent with the Dietary Guidelines for Americans as long as the primary emphasis remains on dietary quality. Dietary quality in this Guidance pertains to the selection and consumption of healthy foods within a limited budget. States should refer to additional guidance in this document regarding physical activity, Program outreach, and gardening activities.

## **Coordination and Collaboration**

The likelihood of nutrition education changing behaviors is increased when consistent and repeated messages are delivered through multiple channels using multifaceted approaches. FNS encourages States to work together to connect nutrition education across programs and to collaborate and develop more integrated nutrition education approaches (see Appendix D: Definitions).

## **Guidance for State Plan Reporting and Preparation**

The following section provides guidelines for completing the State nutrition education plan. The Plan consists of two parts:

- Part I requests a report on previous year activities, and

- Part II requests a description of the coming year's planned activities.

For the convenience of State agencies, we have provided optional templates in Appendix A for use in reporting information requested in this plan guidance. We strongly recommend the use of these templates since incomplete or poorly organized information will delay nutrition education plan review and approval or result in a denial. Prior to writing the plan, review all guidance carefully to ensure that the plan meets basic requirements.

### **Part I. Annual Report of Nutrition Education Activities (7 CFR 272.2 (i))**

Summarize the nutrition education projects implemented in the previous FY. Based on the results of your evaluation, also include discussion on the outcomes and effectiveness of the SNAP-Ed program and how your program might be improved in the upcoming FY. This annual report is due to your FNS regional office by November 30, covering the nutrition education activities of the previous FY. Additional information regarding submission deadlines may be found on pages 2-4.

Appendix A. Template 1 has two sections, A and B. It is recommended that State agencies use both Sections A and B to prepare their annual reports. Section A will assist State agencies to summarize information about approved SNAP-Ed activities implemented in the previous FY. In response to requests, we have provided Section B to assist State agencies in summarizing significant evaluation activities (generally considered to be those costing more than \$400,000) by providing key discussion points to include in the report in order to describe the methods and results of each approved Program objective.

### **Section A. SNAP-Ed Narrative Annual Report**

The purpose of section A in this report is to provide an opportunity to elaborate and describe the State's nutrition education activities during the previous FY. Section A describes the process of summarizing and highlighting a State's nutrition education projects and social marketing campaigns being reported using the EARS form. The SNAP-Ed Narrative Annual Report allows a State to highlight areas of practice and discuss areas needing improvement. It also provides an opportunity to expound upon the objective data provided in EARS.

1. SNAP-Ed Program Overview:
  - Provide a one page (not more than 500 words) **executive summary** of SNAP-Ed activities during the reporting FY.
2. SNAP-Ed Administrative Expenditures:
  - Provide the percentage and total value of the total administrative expenditures as reported on question 10 of the EARS form.
3. SNAP-Ed Evaluation Reports Completed for this Reporting Year:
  - Identify the type(s) of **SNAP-Ed evaluations (by project)** that resulted in a written evaluation report of methods, findings and conclusions.
4. SNAP-Ed Planned Improvements:

- Describe any modifications you plan to make in the next fiscal year to improve the effectiveness of specific SNAP-Ed projects and/or to address problems experienced during the past year.
5. Appendixes:
- Attach evaluation reports included under item # 3 above. States may also provide a brief description or information that highlights other SNAP-Ed projects that are not reported under the sections above.

## **Section B: Annual Report Summary for Evaluations**

The purpose of section B is to summarize the evaluation results of your State's nutrition education and social marketing activities. Section B provides guidance on key information to include in the summary. Include the following information by project or social marketing campaign to ensure that your report meets the basic requirements.

- 1. Name of project or social marketing campaign.** If multiple projects or campaigns were part of a single impact evaluation, please list them all by project or campaign name.
- 2. Key evaluation impact(s).** Identify each impact being assessed by the evaluation. For example, are SNAP-Ed participants more likely than non-participants to report they intend to increase their fruit and vegetable intake? Do a greater proportion of SNAP-Ed participants choose low-fat (1% or fat-free milk in the school cafeteria compared to non-participants?
- 3. Evaluation participants.** Describe the population being evaluated and its size. For example, all (1200) kindergarten students at public schools in one school district.
- 4. Assignment to intervention and control or comparison conditions.** An impact evaluation requires comparing those who receive the nutrition education being evaluated (i.e., referred to as the treatment or intervention group) to those who do not receive any nutrition education (i.e., the control group) and/or to those who receive another kind of nutrition education (i.e., comparison group).
  - Describe the unit of assignment to intervention and control groups. For example, an intervention focused on kindergarten students may assign school districts, individual schools, classrooms, or individual student to intervention and control groups.
  - Describe how assignment to intervention and control groups was carried out. Be explicit about whether or not assignment was random. For example, ten kindergarten classrooms were randomly assigned to intervention and control groups.
  - Describe how many units and individuals were in the intervention and control groups at the start of the intervention.
- 5. Impact Measure(s).** For each evaluation impact, describe the measure(s) used. Descriptions should indicate if the focus is on knowledge, skills, attitudes, intention to act, behavior, or something else. Each measure should be characterized in terms of its nutritional focus, e.g. low fat food preparation, number of whole grain servings consumed, ability to accurately read food labels. Finally, indicate if impact data were collected through observation, self-report, or another method.

- Describe the points at which data were collected from intervention and control group participants. For example, these points may include pre-test or baseline, midway through the intervention, post-test as intervention ends or follow-up some weeks or months after the intervention ends.
6. **Results.** Compare intervention and control groups at each measurement point, by individual measure. Report the number of intervention and control group participants measured at each point. Describe any tests of statistical significance and the results.
  7. **Reference.** Provide a contact for additional details and a reference to any other report of the evaluation.

## **Part II. FY 2012 SNAP-Ed Plan for the Coming FY (7 CFR (272.2 (e)))**

### **Section A. Identifying and Understanding the Target Audience**

The Food and Nutrition Act of 2008 indicates that nutrition education activities should be directed to persons eligible for the SNAP. SNAP eligibles are persons that meet criteria for participation in the SNAP as described in Federal legislation and regulations. Henceforth, use of the term “target audience” in this document will refer to SNAP eligibles according to this definition (see also Appendix D: Definitions).

In Table I (pages 11-13) FNS has categorized potential recipients of State SNAP-Ed activities. The three categories of SNAP-Ed recipients are:

- Category 1: Certified Eligibles;
- Category 2: Likely Eligibles; and
- Category 3: Potentially Eligible by Site/Location.

Categories are prioritized according to the likelihood that SNAP-Ed recipients will be SNAP eligibles, the SNAP-Ed target audience. Categories 1 and 2 offer the greatest opportunity to reach SNAP eligibles. Consequently, efforts serving Categories 1 and 2 are preferred. States should deliver SNAP-Ed in a way that maximizes the number of SNAP eligibles reached and the potential for behavior change among them.

**Category 1:** Certified Eligibles includes persons that participate in the formal SNAP certification process (e.g., SNAP participants) and are determined eligible. They are the only persons known, with certainty, to meet criteria for participation in the SNAP. As such, SNAP participants, who in FY 2010 consisted of over 43 million of the nation’s neediest people, are at the core of SNAP-Ed efforts. States may provide SNAP-Ed to Category 1: Certified Eligibles without a waiver of Program exclusivity regulations.

**Category 2:** Likely Eligibles are persons that are eligible for the Program but have not yet applied. Since non-participating eligibles have not gone through the formal SNAP certification process, they are not as easy to identify as persons who have applied and been certified. To facilitate the delivery of SNAP-Ed to non-participating eligibles, FNS is allowing SNAP-Ed providers to use two types of proxy measures of eligibility:

1. Income-based proxy- Under the income-based proxy, persons with gross income levels at or below 130% of the Federal poverty guidelines fall within Category 2. Persons typically ineligible for the SNAP (e.g., incarcerated persons, boarders, or college/university students-see Appendix C) are exceptions to this proxy criterion.
2. Location-based proxy- Under the location-based proxy criteria, persons at food banks, food pantries, soup kitchens, public housing, and SNAP/TANF job readiness program sites fall into Category 2 with certain qualifiers. See Table I (pages 12) for more information on these locations and examples of Category 2: Likely Eligibles.

States may provide SNAP-Ed without a waiver of Program exclusivity regulations to Category 2: SNAP Likely Eligibles.

**Category 3:** Potentially Eligible by Site/Location consists of persons at other venues primarily frequented by low-income audiences. SNAP-Ed activities delivered to this audience provide a fair likelihood of benefiting SNAP eligibles. As a portion of this audience may be ineligible for the SNAP, States shall request an exclusivity waiver (7CFR 272.3) (Appendix C, Section A.3) for projects delivered to Category 3 audiences. States should consider delivering SNAP-Ed to Category 3 audiences only after making a clear effort to provide SNAP-Ed to Category 1 and 2 audiences. Generally, this audience may receive SNAP-Ed when it is not possible or practical to separate out Program eligibles and/or identify Program eligibility. Projects for which exclusivity waivers are requested shall be delivered to a generally low-income audience (7CFR 272.3). Category 3 covers these situations:

1. A site/location that serves low-income persons. At least 50% of those persons should have incomes at or below 185% of poverty.
2. Retail grocery stores with average monthly levels of \$50,000 in SNAP benefit redemptions.

Other Audiences: For audiences that do not fall within Categories 1-3, see Appendix C: Section A.17 to prorate costs.

Table I. Audiences for Supplemental Nutrition Assistance Program Education (SNAP-Ed)

Audience		Likelihood of Reaching SNAP Eligibles	Examples	Waiver Needed*
<b>Category 1</b>	<p><b>Certified Eligibles</b></p> <p>Includes persons currently participating in or applying for the SNAP and/or persons residing in a SNAP household. <i>This is the known SNAP target audience.</i></p>	<p>SNAP-Ed activities delivered to this audience clearly benefit SNAP eligibles.</p>	<ul style="list-style-type: none"> <li>• Persons referred by the local SNAP office.</li> <li>• Persons reached through direct marketing to SNAP participants.</li> <li>• Persons participating in the Food Distribution Program on Indian Reservations (FDPIR; see Appendix C, Section A.3).</li> <li>• Ineligible parents who receive SNAP benefits on behalf of their child.</li> <li>• SNAP participants in a SNAP Job Readiness Training Program.</li> </ul>	<b>No</b>

\*Exclusivity waivers are needed when SNAP-Ed projects will inadvertently reach persons that may be ineligible for the SNAP (see Appendix C).

Table I. Audiences for Supplemental Nutrition Assistance Program Education (SNAP-Ed)

	Audience	Likelihood of Reaching SNAP Eligibles	Examples	Waiver Needed*
<b>Category 2</b>	<p><b>Likely Eligibles as Established by Proxy Criteria</b></p> <p>One of the following proxy criteria should be met:</p> <p>A. <b>By Income.</b> Persons not falling into category 1 above that have gross incomes at or below 130% of poverty guidelines. This criterion does <b>not</b> include persons typically ineligible for the SNAP (e.g., incarcerated persons, boarders, or college/university students- see Appendix C).</p>	<p>SNAP-Ed activities delivered to this audience are very likely to benefit SNAP eligibles. For the income-based proxy, partnerships are needed with other programs that have formal means-tested certification processes or similar income participation criteria. These partnerships will involve the implementation of a referral procedure that is based on income eligibility criteria.</p>	<ul style="list-style-type: none"> <li>Income eligible persons (130% of poverty guidelines) referred by WIC, Medicaid, or Child Nutrition Programs.</li> <li>Persons receiving Supplemental Security Income (SSI) or Temporary Assistance for Needy Families (TANF; see Appendix C, Section A.3 for more details on categorical eligibility).</li> <li>Persons participating in TANF Job Readiness Training Programs.</li> </ul>	<b>No</b>
	<p>B. <b>By Location.</b> Persons receiving SNAP-Ed at:</p> <ul style="list-style-type: none"> <li>SNAP/TANF offices</li> <li>public housing (See Appendix D: Definitions)</li> <li>food banks, food pantries, and soup kitchens in conjunction with the distribution of foods to needy persons at these sites (see Appendix D: Definitions). Note: food distribution is not a reimbursable SNAP-Ed expense (see Appendix C).</li> </ul>	<p>SNAP-Ed activities delivered to this audience are very likely to benefit SNAP eligibles. For the income-based proxy, partnerships are needed with other programs that have formal means-tested certification processes or similar income participation criteria. These partnerships will involve the implementation of a referral procedure that is based on income eligibility criteria.</p>	<ul style="list-style-type: none"> <li>Persons in a TANF office waiting area or conference room.</li> <li>Persons at a public housing apartment community room or lobby.</li> <li>Persons visiting a food pantry to obtain food.</li> <li>Persons receiving a meal at a soup kitchen.</li> </ul>	<b>No</b>

\*Exclusivity waivers are needed when SNAP-Ed projects will inadvertently reach persons that may be ineligible for the SNAP (see Appendix C).

Table I. Audiences for Supplemental Nutrition Assistance Program Education (SNAP-Ed)

	Audience	Likelihood of Reaching SNAP Eligibles	Examples	Waiver Needed*
<b>Category 3</b>	<p><b>Potentially Eligible by Site/Location</b></p> <p><b>A. Venues serving low-income populations based on income.</b></p> <p>Persons at venues when it can be documented that the location/venue serves generally low-income persons where at least 50% of persons have gross incomes at or below 185% of poverty guidelines/thresholds.</p>	<p>SNAP-Ed activities delivered to this audience provide a fair likelihood of benefiting SNAP eligibles by providing services in sites/locations primarily frequented by a low-income audience. This audience may be served when it is not possible or practical to separate out Program eligibles and/or identify Program eligibility (e.g., social marketing campaigns). SNAP-Ed delivered to this audience should still be designed to meet the needs of SNAP eligibles.</p>	<ul style="list-style-type: none"> <li>• Persons residing or schools located in census tract areas where at least 50% of persons have gross incomes that are equal to or less than 185% of the poverty threshold.</li> <li>• Children in schools where at least 50% of children receive free and reduced priced meals.</li> <li>• Persons participating in the WIC program.</li> <li>• Persons shopping in grocery stores located in census tracts where at least 50% of persons have gross incomes that are equal to or less than 185% of the poverty threshold.</li> </ul>	<b>Yes</b>
	<p><b>B. Based on SNAP redemptions.</b></p> <p>Persons at stores with average monthly SNAP redemptions of \$50,000. Stores with lower redemptions that do not meet the \$50,000 threshold but do meet the 50 percent of 185 percent low-income criterion may continue to be used as SNAP-Ed sites with an approved waiver.</p>		<ul style="list-style-type: none"> <li>• Persons shopping in grocery stores when the store has been documented to redeem average monthly SNAP benefits of \$50,000 or more.</li> </ul>	<b>Yes</b>

\*Exclusivity waivers are needed when SNAP-Ed projects will inadvertently reach persons that may be ineligible for the SNAP (see Appendix C).

Section A of your State SNAP-Ed Plan should describe the target audience in your State and your assessment of their needs. A needs assessment is intended to better focus nutrition education and promotion activities. The results should capture information on the relevant characteristics of the target audience and the extent to which nutrition education services are being delivered already to the target audience. Plans should make an explicit connection between needs assessment findings, plan objectives, and the description of where and to whom activities are focused.

The necessary components of this section of your Plan are outlined below and in Template 2 provided in Appendix A.

**Describe and justify your methodology for assessing the needs of the target audience in your State and report your findings.**

- **First Review Existing Information.** The first step is to review existing data. Organizational partners should be considered as a source of relevant data. Pertinent findings from such existing information reviews should be included in State plans. If the State conducted a needs assessment of the same target audience in the last few years, such results should be reviewed.
- **Collect New Data Selectively.** If there are significant gaps in the available information, States may propose new (primary) data collection (e.g. focus groups, surveys, and key informant interviews). Plans should describe the questions to be answered in any new data collection, as well as the steps proposed to answer them. Additional information on the collection of needs assessment data is available in Needs Assessment Resource Manual: A Guide for State Nutrition Education Networks (US Department of Agriculture, Food and Nutrition Service, 1997) at:

<http://www.fns.usda.gov/ora/menu/published/NutritionEducation/Files/NeedsAssmt-Man.pdf>

**Describe the findings of your needs assessment.** When available, the following information should be addressed in this section of your plan:

1. Description of the target audience in your State. Examples of population characteristics and demographic data that may help you plan and deliver SNAP-Ed effectively include: geographic location (i.e., areas and neighborhoods where SNAP eligibles reside, SNAP participation rates, income-relevant census tract information, location of public housing, etc.), race/ethnicity, age, gender, family composition, education and primary language. The Bureau of Census developed and began collecting the American Community Survey (ACS) which is largely the same information as collected in the previous years by the Bureau of Census <http://www.census.gov/acs> . In 2011 the Bureau released a 5-year ACS data file for 2005-2009. This file identifies the census tracts and may be used to for targeting low-income audiences. A needs assessment will help target SNAP-Ed effectively and efficiently to yield the greatest change in dietary behavior among the largest number of SNAP eligibles. Nationally, women living in households with children and children themselves comprise the majority of persons receiving SNAP benefits. Consequently, FNS recommends that States maximize their investment in SNAP-Ed by targeting first women, and then children in SNAP eligible households. SNAP Household Characteristics Reports, based on SNAP Quality Control data, are available at: <http://www.fns.usda.gov/ora/MENU/Published/SNAP/SNAPPartHH.htm>

2. Nutrition-related behavioral and lifestyle characteristics of the target audience in your State. Examples of characteristics that may enhance your ability to develop, target, and deliver SNAP-Education messages include dietary and food purchasing attitudes and habits as well as where and how SNAP eligibles eat, redeem SNAP benefits, live, learn, work and play. Cite sources of data provided.
3. Availability of other nutrition-related programs, services, and social marketing campaigns that target low-income populations in your State (e.g., WIC, Team Nutrition, EFNEP, food banks, public health services). This information will help you identify potential partners for message collaboration and avoid duplication of existing services.
4. Areas of the State where the target audience is underserved or has not had access to SNAP-Education previously. Identify where the neediest target audiences are and describe the nutrition services available to these audiences.
5. Implications of needs assessment. Provide a brief summary of the implications of the needs assessment findings, i.e. how has the State applied the needs assessment to the current year's SNAP-Education plan?

## **Section B: Goals, Objectives, Projects, Campaigns, Evaluation and Coordination**

Template 2 in Appendix A will assist you in completing this section. Based on your needs assessment above and the current availability of other nutrition education services, identify your State's goals and objectives for SNAP-Education and the methods you will use to achieve them.

1. Identify State SNAP-Education goals and accompanying measurable objectives. Your goals should illustrate the overall purpose of SNAP-Education activities. A well-written and clearly defined objective is:
  - Specific- It identifies a specific event or action that will take place.
  - Measurable - It quantifies the amount of change to be achieved.
  - Appropriate - It is logical and relates to the State's SNAP-Education goals.
  - Realistic - It is practical given available resources and the proposed SNAP-Education activities.
  - Time specific - It specifies a time by which the objective will be achieved. These objectives should be completed within the FY of the plan.

Example of a State-level objective:

*By September 30th increase the consumption of fruits and vegetables among women and children participating in the SNAP statewide by 5% and 3%, respectively, through the delivery of an intensive multi-channel intervention targeting this segment of the SNAP population.*

State goals and objectives should be linked conceptually to the project or local level objectives described in the next section. An explanation of how the results of your needs assessment support the chosen objectives should be provided.

2. Describe the nutrition education projects/interventions that you plan to implement to support the goals and objectives listed in number 1. For each project, provide the following:
  - a. The objectives that the project/intervention supports. Project level objectives should not be selected in isolation, but should support State SNAP-Education goals.

Example of a project-level objective:

*After six 1-hour classes, SNAP-Ed participants will increase their average daily consumption of dark green vegetables by ½ cup per day.*

- b. The target audience that will receive the project/intervention, specifying all relevant characteristics and results of your needs assessment (see Section A) (e.g., demographic characteristics, nutrition-related characteristics, etc.).
- c. A brief explanation of how project delivery will focus education on SNAP eligibles.
- d. Description of project implementation features, giving particular attention to: key educational messages, how and where messages will be delivered, overall period of time or duration of project, the projected total number of individuals participating or reached, and the estimated number of contacts per person reached.
- e. A brief summary of existing research that is relevant to the proposed educational approaches and target audience. Indicate the extent to which prior studies demonstrate the feasibility and effectiveness of your proposed nutrition education methods.
- f. A justification for adapting or changing an identified intervention/project method or strategy.
- g. The title, author, source and description of existing educational materials (including existing materials that you will purchase) that will be used in the delivery of the project/intervention. Specify if materials are in languages other than English. If there is a cost for these materials, provide a justification for using proposed materials versus those that are available at no cost.

**NOTE:** FNS recommends that States use FNS materials (e.g., *Loving Your Family Feeding Their Future*, *Eat Smart. Live Strong*, FNS Core Messages: Maximizing the Message, appropriate Team Nutrition, Loving Support, and Eat Smart. Play Hard.™). States should note that some Federal nutrition materials feature activities that are not allowable costs under SNAP-Ed (e.g., the implementation of infrastructure changes).

The following collections of nutrition education resources are available to help States identify existing materials:

Food and Nutrition Service-FNS Nutrition Link  
[www.fns.usda.gov/nutritionlink](http://www.fns.usda.gov/nutritionlink)

SNAP-Ed Connection (see also Appendix F)  
<http://snap.nal.usda.gov>

Eat Smart. Play Hard.™  
[www.fns.usda.gov/eatsmartplayhard/](http://www.fns.usda.gov/eatsmartplayhard/)

WIC Works Resource System  
[www.nal.usda.gov/wicworks/](http://www.nal.usda.gov/wicworks/)

Team Nutrition  
[www.teamnutrition.usda.gov](http://www.teamnutrition.usda.gov)

MyPyramid.gov  
[www.mypyramid.gov](http://www.mypyramid.gov)

Dietary Guidelines for Americans  
<http://www.cnpp.usda.gov/DietaryGuidelines.htm>

- h. A description of any new materials you plan to develop and/or produce, along with the results of your review of existing material and the rationale for creating new versus using existing educational material.

**Note:** Whenever possible, it is preferable to use and/or adapt existing materials rather than develop new materials, especially FNS materials developed primarily for the SNAP such as:

- **Loving Your Family Feeding Their Future, Nutrition Education Through the Food Stamp Program** - educational and promotional materials and techniques to help SNAP-Ed providers work with low-income women with children including Spanish speakers and those with limited reading skills.
- **Eat Smart, Live Strong** – a behavior-focused nutrition and physical activity intervention for healthy, low-income adults, 60-74 years old that is designed to increase their fruits and vegetable consumption and physical activity.
- **Maximizing the Message - Moms and Kids Make Healthier Food Choices** – a publication of core nutrition messages that are designed to help low-income mothers with secondary messages for children 8 to 10 years old. The publication is available at: <http://www.fns.usda.gov/fns/corenutritionmessages/default.htm>

Other Food Nutrition and Consumer Service materials to consider include:

- *Eat Smart. Play Hard.* <sup>TM</sup>
- *MyPyramid*
- *MyPyramid for Kids*
- *Team Nutrition*
- *Loving Support*
- *SNAP-Ed Connection*

**Note:** See the “Important Notes about Materials” on pages 18-19 for more information regarding materials requirements.

- i. Key Performance Measures/Indicators  
See Page 38

## Important Notes about Materials

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- **The SNAP-Ed Guidance and any subsequent policy memoranda serve as the reference source for allowable materials questions.**
- States should ensure that all nutrition messages conveyed as a part of SNAP-Ed are consistent with the Dietary Guidelines for Americans and the goal and focus of SNAP-Ed as described on pages 1 and 5 of this Guidance. FNS encourages States to focus on messages related to the three behavioral outcomes listed on page..... 6.
- Materials developed or purchased with SNAP-Ed funds should have vocabulary that is familiar with a minimal use of jargon and technical terms. Materials should be designed to address cultural, literacy, language and income barriers faced by many SNAP eligibles.
- SNAP-Ed funds may not be used to convey negative written, visual, or verbal expressions about any specific foods, beverages, or commodities. This includes messages of belittlement or derogation of such items, as well as any suggestion that such foods, beverages, or commodities should never be consumed (see Appendix C). FNS regional office staff may ask to review media messages and materials prior to their release, particularly when States are planning large media campaigns and productions.
- FNS reserves a royalty-free, non-exclusive right to reproduce, publish, use or authorize others to use videos, computer programs such CD-ROMs and related source codes, literature, or other products produced, in whole or in part, with SNAP funds for government purposes (7 CFR 272.5(a)(4)). For more information, see Appendix C.
- Curricula and social marketing campaigns should be science-based and behaviorally focused as defined under Principle 4 of the SNAP-Ed Guiding Principles (see Appendix H). They should incorporate general educational features that have demonstrated effectiveness such as behaviorally focused messages; use of motivators and reinforcements that are personally relevant to the target audience; use of multiple channels of communication to convey messages; approaches that provide for active personal engagement; and intensity/duration that provides opportunity for multiple exposures to the message.
- Materials with subject matter that is beyond the scope of SNAP-Ed, including the screening for diseases and the treatment and management of diseases, are not allowable.
- We encourage States to submit their materials to the SNAP-Ed Connection Web site for consideration and inclusion in the Resource Finder Database. Appendix F provides detailed information about the SNAP-Ed Connection.

*(Continued on page 19)*

## Important Notes about Materials

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*(Continued from page 18)*

- Materials developed or reprinted with SNAP-Ed funds shall include the following non-discrimination statement (7CFR 272.5):
  - **English:** “In accordance with Federal law and U.S. Department of Agriculture policy, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age, religion, political beliefs or disability.  
  
To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410 or call (800)795-3272 (voice) or (202)720-6382 (TTY). USDA is an equal opportunity provider and employer.”
  - **Spanish:** De acuerdo con la ley federal y las políticas del Departamento de Agricultura de los EE.UU. (USDA, sigla en inglés), se le prohíbe a esta institución que discrimine por razón de raza, color, origen, sexo, edad, religión, ideas políticas, o discapacidad.
  - Para presentar una queja sobre discriminación, escriba a USDA, Director, Office of Adjudication, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, o llame gratis al (866) 632-9992 (voz). Personas con discapacidad auditiva o del habla pueden contactar con USDA por medio del Servicio Federal de Relevos (Federal Relay Service) al (800) 845-6136 o (800) 877-8339 (inglés). USDA es un proveedor y empleador que ofrece oportunidad igual para todos.
- **Note:** Additions, edits, or deletions to the Civil Rights statement are not allowed. There are no print size requirements when the statement above is used. If the material is too small to permit the full statement to be included, the material at a minimum shall include the statement, in print size no smaller than the text that states:
  - **English:** “This institution is an equal opportunity provider and employer.”
  - **Spanish:** “USDA es un proveedor y empleador que ofrece oportunidad igual para todos.”
- Credit shall be provided to the SNAP as a funding source on newly developed and reprinted materials. The following statements are recommended:
  - **English:** “This material was funded by USDA's Supplemental Nutrition Assistance Program -- SNAP.”
  - **Spanish:** “Este material se desarrolló con fondos proporcionados por el Supplemental Nutrition Assistance Program (SNAP en inglés) del Departamento de Agricultura de los EE.UU. (USDA siglas en inglés).”
- A brief outreach message about the SNAP should be provided on all newly developed or reprinted materials, including materials for television, radio, and other media. The following statements are recommended:
  - **English:** “The Supplemental Nutrition Assistance Program (SNAP) provides nutrition assistance to people with low income. It can help you buy nutritious foods for a better diet. To find out more, contact [enter your local office or toll-free number, or other useful information to help identify how to get services].”
  - **Spanish:** “El Supplemental Nutrition Assistance Program (SNAP en inglés) ofrece asistencia relacionada con la nutrición para gente con recursos limitados. Estos beneficios le pueden ayudar a comprar comida nutritiva para una mejor dieta. Para obtener más información, comuníquese con la oficina de servicios sociales de su condado.”

3. The Agency views evaluations as a reasonable and necessary activity when:
- Research focuses on the proposed nutrition education activities;
  - Plans indicate the relevance and value of information sought to project implementation and/or effectiveness;
  - A description of any proposed evaluation is included;
  - A commitment is made to report evaluation status and available results in subsequent annual reports.
  - FNS encourages States to publish and disseminate findings from their evaluation of SNAP-Ed projects so that other States with SNAP-Ed initiatives could benefit from the knowledge and insight of the research.

The following types of evaluation are appropriate and may be included in your State plan.

Please note that the definitions for the different types of evaluations can be found at [www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf](http://www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf)

- Formative-which can involve pre-testing of draft nutrition education materials to answer questions about whether materials are understandable, relevant, credible and acceptable to the target audience;
- Process-which can involve such measures as tracking the number of materials distributed, the number of clients reached, effectiveness of alternate methods of delivering nutrition education and/or barriers to implementing the intervention;
- Outcome-which demonstrates changes that occur in the presence of an intervention but do not establish cause and effect conclusions; and
- Impact-which indicate how effective the intervention was in changing the target populations' attitudes, awareness and/or behavior.

Whenever a State carries out a **SNAP-Ed** evaluation activity that costs more than \$400,000 in total, FNS strongly recommends that an impact evaluation be conducted. This is regardless of whether the \$400,000 is spent in one or multiple years. The impact assessment should meet the criteria described in the FNS Principles of Sound Impact Evaluation found at:

[www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf](http://www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf)

The Agency asks that you describe ALL proposed evaluation activities. For each evaluation, please indicate:

- the project or projects with which it is associated;
- the type of evaluation as primarily a formative, process, outcome or impact assessment;
- the question(s) to be addressed by the evaluation;
- the approach to conducting the evaluation, including scope, design, measures and data collection;
- plans for using the results; and

- whether or not the project has been evaluated previously, along with the most recent year in which the evaluation was done.
- project cost

**Note:** For information about program evaluation, FNS recommends the following guidance:

- Nutrition Education: Principles of Sound Impact Evaluation  
[www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf](http://www.fns.usda.gov/ora/menu/Published/NutritionEducation/Files/EvaluationPrinciples.pdf)
- Journal of Nutrition Education: 33, Supplement 1, 2001
- Evaluating Social Marketing in Nutrition: A Resource Manual  
[www.fns.usda.gov/ora/menu/published/nutritioneducation/Files/evalman-2.PDF](http://www.fns.usda.gov/ora/menu/published/nutritioneducation/Files/evalman-2.PDF)
- WIC Evaluation Resource Guide  
[www.fns.usda.gov/ora/MENU/Published/WIC/FILES/WICEvaluationResourceGuide.pdf](http://www.fns.usda.gov/ora/MENU/Published/WIC/FILES/WICEvaluationResourceGuide.pdf)

4. Describe efforts to coordinate, complement and supplement other FNS programs in order to deliver consistent behavior-focused nutrition messages.

- For SNAP-Ed projects delivered in coordination with another agency, the State should submit, with their plan, a copy of a written agreement (e.g., a Memorandum of Understanding-MOU) that clearly outlines the responsibilities of all the State agencies involved in the collaboration. Written agreements are required for all collaborations that involve any type of financial or budget management issues. The written agreements may be submitted in electronic format. In addition, this MOU should list the location and the contact information for the responsible person(s) for each project implemented at the local level. A separate MOU for each local level project implemented under the agreement is not necessary. The State-level MOU is signed by all the State agencies involved. Examples where an MOU would be necessary are school-based projects that collaborate with the State Department of Education or a breastfeeding project that collaborates with the WIC State agency. In the WIC example there would be one MOU signed between the State WIC agency and the State SNAP agency that would include a list of all the local level breastfeeding projects to be implemented under the agreement. For the county governments, if there is no “umbrella” organization that can sign an agreement on behalf of the local entities, then a written agreement for each local project is needed.

**Section C: Staffing (7 CFR 272.2 (d) (2) (i))**

We recommend the use of Template 3 in Appendix A to provide the information requested on costs associated with the employment of staff for SNAP-Ed. Please note that all staff paid with SNAP-Ed funds should support the delivery of SNAP-Ed to SNAP eligibles. For each project, provide the following information for all paid staff that will carry out SNAP-Ed functions.

1. Position title (e.g., Nutrition Educator, Project Coordinator, etc.).
2. For each position title, attach a statement of work/position description outlining the duties associated with the SNAP-Ed project. This should clearly show how the position supports the delivery of planned SNAP-Ed activities.

3. For each position title, provide the Full Time Equivalent (FTEs) that will be funded through SNAP-Ed. FTEs are defined in Appendix D: Definitions. States may use their own definition of FTEs for purposes of reporting SNAP-Ed staffing needs in this section, but should provide their definition with an explanation of how FTEs are calculated.
4. For each position title, provide the percentage of SNAP-Ed time the position will spend performing management/administrative duties (including training and professional development) and the percentage of SNAP-Ed time that the position will spend on direct delivery of SNAP-Ed. This information should coincide with information provided in the attached statement of work/position description.
5. For each position title, provide the total annual salary, total SNAP-Ed salary, benefits and wages, (Estimate may be used for budget, but actual time spent shall be used for billings (7CFR 277 (OMB Circular A-87))).

## **Section D: Budget Summary**

We recommend the use of Template 4 in Appendix A for the submission of this information.

### 1. Contracts, Grants or Agreements for Nutrition Education Services

If the State agency intends to contract for SNAP-Ed with sub-grantees, list each sub-grantee that is a recipient of Federal grants, cooperative agreements or contracts related to SNAP-Ed. Attach a copy of any interagency agreement(s) that identifies how Federal funds will be paid between the State or county agency and/or other agencies. Include the following for each sub-grantee:

- a. Name of Sub-Grantee
- b. Total Funding for Contract, Grant, or Agreement
- c. Federal Funding Requested
- d. Description of Services and/or Products
- e. Cost of Services and/or Products

### 2. Project Costs

For each sub-grantee, provide the Federal cost for each planned nutrition education project. Provide a detailed breakdown that includes at a minimum the information contained in Appendix A, Template 4.

3. Travel

Travel requests should be identified for in State and out-of-State purposes. States shall justify the purpose of the travel, describe how the travel request supports the State's SNAP-Ed goals and objectives, (7CFR 277 (OMB Circular A-87)). Refer to Appendix C, Section A.16 for information on what travel-related costs are allowable. Provide the following information for travel in your SNAP-Ed budget:

a) In-State Travel

i. Travel Purpose

- How attendance will benefit SNAP-Ed program goals and objectives
- Justification of Need for Travel
- Travel Destination (city, town or county or indicate local travel)
- Number of Staff Traveling
- Cost of Travel for this Purpose

ii. Total In-State Travel Cost

b) Out-of-State Travel

i. Travel Purpose and/or Name of Conference

- How attendance will benefit SNAP-Ed program goals and objectives
- Justification of Need for Travel
- Travel Destination (city and State)
- Number of Staff Traveling
- Cost of Travel for this Purpose

ii. Total Out-of-State Travel Cost

**Section E: Assurances (7 CFR 272.2 (d) (2) (iii))**

**(Appendix A, Template 5)**

To assure compliance with policies described in this guidance, the SNAP-Ed plan should include the following assurances that:

1. The State SNAP agency is accountable for the content of the State nutrition education plan and provides oversight of any sub-grantees. The State SNAP agency is fiscally responsible for nutrition education activities funded with SNAP funds and is liable for repayment of unallowable costs.
2. Efforts have been made to target SNAP-Ed to SNAP participants and eligibles.

3. In cases where SNAP-Ed projects may inadvertently benefit persons that are ineligible for SNAP because it is not practical or possible to meet the exclusivity requirement, the State has sought approved waivers for each project. To be approved, the waiver request(s) shall (7CFR 272.3) clearly demonstrate how the proposed project provides a good means of reaching SNAP eligibles and documents that at least 50 percent of those reached will have gross incomes at or below 185 percent of the poverty thresholds or guidelines.
4. Only expanded or additional coverage of those activities funded under the Expanded Food and Nutrition Education Program (EFNEP) may be claimed under the SNAP-Ed grant. Approved activities are those designed to expand the State's current EFNEP coverage in order to serve additional SNAP eligibles or to provide additional education services to EFNEP clients who are eligible for the SNAP. In no case may activities funded under the EFNEP grant be included in the budget for SNAP-Ed.
5. Contracts are procured through competitive bid procedures governed by State procurement regulations.
6. Program activities are conducted in compliance with all applicable Federal laws, rules, and regulations including Civil Rights and OMB regulations governing cost issues. A State agency is responsible for civil rights compliance of its sub-grantees, contractors, and sub-recipients. The State agency (the cognizant agency) is responsible for ensuring the compliance of all funded providers. This responsibility includes periodic compliance review, the frequency of which is defined in Appendix A of FNS Instructions 113-1 and 7 CFR part 275 of the regulations.
7. Program activities do not supplant existing nutrition education programs, and where operating in conjunction with existing programs, enhance and supplement them. This applies to all activities and costs under the Federal budget.
8. Program activities are reasonable and necessary to accomplish SNAP-Ed objectives and goals.
9. All materials developed or printed with SNAP-Ed funds include the appropriate USDA non-discrimination statement, credit SNAP as a funding source, and a brief message about how the SNAP can help provide a healthy diet and how to apply for benefits.
10. Messages of nutrition education are consistent with the Dietary Guidelines for Americans and stress the importance of variety, balance, and moderation, and do not disparage any specific food, beverage, or commodity.

## **Section F: Waiver Requests**

### **(Appendix A, Template 6)**

Under the provisions of 7 CFR 272.3(c), FNS has the authority to approve requests from State agencies for waivers of regulatory requirements, provided the waivers are not inconsistent with the provisions of the Food and Nutrition Act 2008 and do not adversely affect participants or applicants. In addition, States shall show how a waiver will improve the efficiency and effectiveness of SNAP, and that it, would not increase Federal costs. The two waivers relevant to the administration of SNAP-Ed are noted below with additional detail and instructions pertaining to SNAP-Ed-related waiver requests provided in Appendix C, Section A.3 and a general template provided in Appendix A. States that are not requesting waivers may skip this section.

When persons that are ineligible for SNAP may inadvertently benefit from SNAP-Ed projects because such persons cannot be separated out from those eligible for the Program, States shall submit exclusivity waivers for each project (7 CFR 272.3; see definition for “project” in Appendix D: Definitions). See Appendix C, section A.3 for information on waiver requests, exceptions to the exclusivity waiver requirement, and details on the justification and documentation required. Template 6 provides the general waiver request outline. As described in further detail in Appendix C and Template 6, waiver requests shall (7CFR 272.3):

- Be completed on a “project” basis, meaning that they are requested for a defined geographical area.
- Describe in detail the procedures the State agency plans to follow in lieu of the regulatory requirement.
- Justify why it is not possible to provide SNAP-Ed exclusively to SNAP eligibles without inadvertently reaching other audiences.
- Show how the project provides an efficient and effective means of reaching SNAP eligibles.
- Anticipate the impact on SNAP eligibles in terms of quality of services, any administrative or Program savings, and any adverse effects on SNAP eligibles or the State agency if the waiver is not granted.
- Provide documented demographics to demonstrate that at least 50 percent of the population that will benefit from the SNAP-Ed activity has an income that is at or below 185% of the poverty guidelines/thresholds or provide documentation that the setting is a high volume SNAP authorized retail grocer with average monthly SNAP redemptions of \$50,000 or more over a 12 month period.
- Be signed and dated by the requesting official.

## **Section G: Signatures (7CFR 272.2)**

### **(Appendix A, Template 7)**

The nutrition education plan shall be reviewed and signed by both the State SNAP agency Nutrition Coordinator (or alternatively, the State SNAP Director) and a State SNAP agency Fiscal reviewer prior to submission

Appendix A:  
Optional Report and Plan Templates

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## **Appendix A. Template 1. Section A: SNAP-Ed Narrative Annual Report**

**(7 CFR 272.2 (i)) OMB No. 0584-0083**

**Template Directions: Consolidate all Implementing Agency information into one State annual report using the template below. Refer to the current SNAP-Ed Plan Guidance for definitions of terms used in this template. See pages 7- 9 for additional information and instructions.**

### **1. SNAP-Ed Program Overview:**

**Directions-** Provide a one page (not more than 500 words) **executive summary** of SNAP-Ed activities during the reporting Fiscal Year (FY). Please include the following as applicable:

- **Progress in Achieving Overarching Goal(s):**
  
- **Number of New Projects implemented during the reporting year by primary approach (Direct, Indirect, and Social Marketing):**
  
- **Number of ongoing Projects that were operational during the reporting year by primary approach (Direct, Indirect and Social Marketing):**
  
- **Major Achievements (not already addressed):**
  
- **Major Setbacks, if any:**
  
- **Overall Assessment:**

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 1. Section A Continued:

### 2. SNAP-Ed Administrative Expenditures

**Directions-** To help FNS better understand your State SNAP-Ed administrative expenditure costs; provide the percent and dollar value of administrative expenses used for **each** Implementing Agency (I.A.) in your State for each of the following categories. **To estimate the % of total administrative expenditures, use the data you compiled for question 10 on the EARS report. In the example below, administrative expenditures for X State University = \$550,000.**

Type of Administrative Expense:	% of Total Administrative Expenditures for each Implementing Agency by Type of Expense					
	Name of IA: Example: X State U		Name of IA:		Name of IA:	
	% values	\$ values	% values	\$ values	% values	\$ values
Administrative Salary	40%	\$220,000				
Administrative Training Functions	15%	\$82,500				
Reporting Costs ( identify % related to EARS, if possible)	3%	\$16,500				
Equipment/Office Supplies	10%	\$55,000				
Operating Costs	10%	\$55,000				
Indirect Costs	12%	\$66,000				
Overhead Charges (space, HR services, etc.)	10%	\$55,000				

### 3. SNAP-Ed Evaluation Reports Completed for this Reporting Year

Using the chart below, identify the type(s) of SNAP-Ed evaluations (by project) that resulted in a written evaluation report of methods, findings and conclusions. Use the definitions of each type of evaluation that are provided in Box 1 (on the following page). Include a **copy** of each **evaluation report that was produced in the appendix to this report**. Impact evaluation reports should include the components described in Box 2 (on the following page). **Each evaluation report should identify clearly the associated project name(s) on the cover or first page.**

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

Project Name	Key Project Objective(s)	Target Audience	Check all Evaluation Types for which Reports Are Included*			
			FE	PE	OE	IE

\* FE = Formative Evaluation    PE = Process Evaluation    OE = Outcomes Evaluation    IE = Impact Evaluation

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 1. Section A Continued:

### Box 1-Evaluation Definitions:

**Formative Evaluation (FE)** usually occurs up front and provides information that is used during the development of an intervention. It may be used to determine if a target audience understands the nutrition messages or to test the feasibility of implementing a previously developed intervention in a new setting. Formative research results are used to shape the features of the intervention itself prior to implementation.

**Process Evaluation (PE)** systematically describes how an intervention looks in operation or actual practice. It includes a description of the context in which the program was initiated such as its participants, setting, materials, activities, duration, etc. Process assessments are used to determine if an intervention was implemented as intended. The findings answer the question of *what* worked or didn't work.

**Outcome Evaluation (OE)** addresses the question of whether or not anticipated group changes or differences occur in conjunction with an intervention. Measuring shifts in a target group's nutrition knowledge before and after an intervention is an example of outcome evaluation. Such research indicates the degree to which the intended outcomes occur among the target population. It does not provide definitive evidence, however, that the observed outcomes are due to the intervention.

**Impact Evaluation (IE)** allows one to conclude authoritatively, whether or not the observed outcomes are a result of the intervention. In order to draw cause and effect conclusions, impact evaluations incorporate research methods that eliminate alternative explanations. This requires comparing those (e.g., persons, classrooms, communities) who receive the intervention to those who either receive no treatment or an alternative intervention. The strongest impact evaluation randomly assigns the unit of study to treatment and control conditions, but other quasi-experimental research designs are sometimes the only alternative available.

### Box 2-Impact Evaluation:

Include the following items in each impact evaluation report:

- **Name of the Project**
- **Project Goals (specifically those evaluated)**
- **Evaluation Design:** Describe the unit of assignment to intervention and control or comparison groups. Describe how assignment to these groups was carried out. Be explicit about whether or not this assignment was random. Describe how many units (and individuals if they were not the unit of assignment) were in the intervention and control or comparison groups at the start and end of the study.
- **Impact Measures:** Describe the measure(s) associated with each intervention goal. Describe the points at which data were collected and how. If there were any differences in measures for intervention and control or comparison groups, describe them.
- **Findings:** Describe the measurement results for intervention and control or comparison groups at each point data were collected.
- **Description of how evaluation results will be used:**
- **Point of Contact:**
- **Relevant Journal References:**

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 1. Section A Continued:

### 4. SNAP-Ed Planned Improvements:

**Directions-**Describe any modifications you plan to make in the next fiscal year to improve the effectiveness of specific SNAP-Ed projects and/or to address problems experienced during the past year. Please identify the specific project (s).

### 5. EARS Feedback:

**Directions:** For this reporting year, provide FNS feedback on your State’s implementation of EARS. Include the following as applicable:

- Comments regarding any challenges you encountered in gathering and reporting data for EARS and actions taken to resolve or address these challenges: **Directions-** *Identify the section and item number when making comments.* **(EXAMPLE: Comment: Question 10. It was challenging to get this information. We addressed this by providing all partners with spreadsheets and training to help them track these costs.)**
- Does FNS need to provide additional EARS training or resources? **If yes**, specify training topics and/or type of resources needed.
- What, if any, changes did you make in your IT system or manual data collection procedures for EARS in the prior fiscal year? If available, attach a description of **updated** IT systems and/or manual data collection procedures.
- Do you plan to make any changes in your IT system or manual data collection procedures for EARS in the next FY? If yes, provide a short summary of changes planned.
- Will all IAs report **actual unduplicated data** for EARS in the upcoming fiscal year? If no, why?
- Share suggestions for modifications to the EARS form, if any. Indicate how the modification **would improve EARS, i.e.** the reporting process for State, data quality, etc. **Directions-** *Identify the section and item number when making suggestions please.* **(EXAMPLE: Direct Ed Section, question 3, Race and Ethnicity- Consider adding a narrative field to allow IAs to make notations about the data or the collection procedures. This could help FNS understand and interpret the data more accurately.)**
- Other comments.

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## **Appendix A. Template 1. Section A Continued:**

### **6. Appendixes:**

**Directions-** Attach evaluation reports included under item # 3. **Optional-** States may also provide a brief description or information that highlights other SNAP-Ed projects that are **not reported** under the sections above. For example, share information about:

- Staff/Partner Trainings
- Conference presentations and or journal publications
- Curriculum development
- Partnership activities
- Case studies
- Awards

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No. (0584-0083\*). Do not return the completed form to this address.

## **Appendix A. Template 1. Section B: SNAP-Ed Annual Report Summary For Impact Evaluations FY\_\_\_\_\_**

(7 CFR 272.2 (i)) OMB No. 0584-0083

### **Section B: SNAP-Ed Annual Report Summary for Impact Evaluations.**

Provide the information requested below for any significant evaluation efforts (generally considered as costing greater than \$400,000) that were completed during the previous year.

*See pages 7-9 for instructions.*

#### **1. Name of Project or Social Marketing Campaign**

*If multiple projects or campaigns were part of a single impact evaluation, please list them all.*

#### **2. Key Evaluation Impact(s)**

*Identify each impact being assessed by the evaluations. For example are SNAP-Ed participants more likely than non-participants to report they intend to increase their fruit and vegetable intake? Or do a greater proportion of SNAP-Ed participants choose low-fat (1% or fat-free) milk in the school cafeteria compared to non-participants?*

#### **3. Evaluation participants.**

*Describe the population being evaluated and its size. For example, all (1200) kindergarten students at public schools in one school district.*

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## **Appendix A. Template 1. Section B Continued:**

### **4. Assignment to intervention and control or comparison conditions**

#### **a. Describe the unit of assignment to intervention and control groups.**

*For example, an intervention focused on kindergarten students may assign school districts, individual schools, classrooms, or individual student to intervention and control groups.*

#### **b. Describe how assignment to intervention and control groups was carried out.**

*Be explicit about whether or not assignment was random. For example, ten kindergarten classrooms were randomly assigned to intervention and control groups.*

#### **c. Describe how many units and individuals were in the intervention and control groups at the start of the intervention.**

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 OMB No (0584-0083\*). Do not return the completed form to this address.

## **Appendix A. Template 1. Section B Continued:**

### **5. Impact Measure(s)**

*For each evaluation impact, describe the measure(s) used. Descriptions should indicate if the focus is on knowledge, skills, attitudes, intention to act, behavior or something else. Each measure should also be characterized in terms of its nutritional focus, e.g. low fat food preparation, number of whole grain servings consumed, ability to accurately read food labels. Finally indicate if impact data were collected through observation, self-report, or another method, you may:*

**Describe the points at which data were collected from intervention and control group participants.**

*For example, these points may include pre-test or baseline, midway through the intervention, post-test as intervention ends or follow-up some weeks or months after the intervention ends.*

### **6. Results**

*Compare intervention and control groups at each measurement point, by individual measure. Report the number of intervention and the number of control group participants measured at each point. Describe any tests of statistical significance and the results.*

### **7. Reference**

*Provide a contact for additional details and a reference to any other report of the evaluation.*



## **Appendix A. Template 2. Section A Continued:**

### **2. Nutrition-Related Behavioral And Lifestyle Characteristics Of Supplemental Nutrition Assistance Program (SNAP) Eligibles In [Your State]**

*If information is available, discuss implications of dietary and food purchasing habits and where and how SNAP eligibles eat, redeem SNAP benefits, live, learn, work, and play in your State. Cite sources of information.*

### **3. Other Nutrition-Related Programs Serving Low-Income Persons In [Your State]**

*Discuss the availability of other nutrition-related programs, services, and social marketing campaigns (i.e., EFNEP, Child Nutrition services, etc).*

### **4. Areas Of [Your State] Where Supplemental Nutrition Assistance Program Eligibles Are Underserved Or Have Not Had Access To SNAP-Ed Previously**

### **5. Implications of Your Needs Assessment And How These Findings Were Applied To This Current Year's SNAP-Ed Plan**

## **Appendix A. Template 2: FY \_\_\_\_\_ SNAP-Ed Plan Proposed Projects**

### **Section B: State SNAP-Ed Goals, Objectives, Projects, Campaigns, Evaluation, and Collaboration**

**(7 CFR (272.2 (e)) OMB No. 0584-0083**

Refer to pages 15-21 for instructions.

#### **1. State Level Goals & Objectives**

Based on your needs assessment and the current availability of other nutrition education services, identify your State's goals and objectives for SNAP-Ed. Make sure your objectives are specific, measurable, appropriate, realistic, and time specific.

##### **a. State Level Goals**

##### **b. State Level Objectives**

## Appendix A. Template 2. Section B Continued:

### 1. Description of projects/interventions for each project the following information should be provided:

**Project Title:** \_\_\_\_\_

**a. Related State Objectives.**

*Specify the objectives that the project/intervention supports.*

**b. Audience.**

*Specify the audience category as explained in Section A: Identifying and Understanding the Target Audience and other relevant characteristics of the proposed audience (e.g., age, gender, etc.).*

**c. Focus on SNAP Eligibles.**

*Describe how the project will focus education on SNAP eligibles.*

**d. Project Description.**

*Note how you will implement the project, giving particular attention to: how and where it will be delivered, its duration, the projected number of participants, the frequency of contacts (number of classes, mailings, billboards, etc.), and key educational messages.*

**e. Summary of Research.**

*Provide a brief summary of existing research supporting the feasibility and effectiveness of your nutrition education methods.*

**f. Modification of Project Methods/Strategies.**

*Provide justification for adapting or changing an identified intervention/project method or strategy. Compared to previous delivery and/or intervention described in cited research*

**g. Use of Existing Educational Materials.**

*Give the title, author and description of existing educational materials that will be used in the delivery of the project/intervention. Specify the language(s) in which the materials will be used including English. Indicate whether the materials will be purchased and justify the need and cost.*

**h. Development of New Educational Materials.**

*Identify any new materials that you plan to produce or purchase and justify the need and cost.*

**i. Key Performance Measures/Indicators.**

*List the key measures/indicators of implementation or performance that you will capture or collect. An example of an implementation measure is the number of PSAs delivered in each media market during the intervention. One associated performance indicator might be the percent of people in a media market who report hearing the message.*

## **Appendix A. Template 2. Section B Continued:**

### **2. Evaluation Plans**

*Describe any evaluation planned. For each evaluation, please indicate:*

- *the name(s) of each project(s) that will be a part of this evaluation;*
- *the type of evaluation as primarily a formative, process, outcome or impact assessment;*
- *the questions(s) that will be addressed and;*
  - *the approach to conducting the evaluation, including scope, design, measures and data collection;*
  - *plans for using the results*
- *if the project has been evaluated previously, note the most recent year in which the evaluation was done.*

### **3. Coordination Efforts**

*Describe efforts to coordinate, complement and collaborate with other programs in order to deliver consistent behavior-focused nutrition messages and more comprehensive interventions. Describe your involvement with the State Nutrition Action Coalition (SNAC) initiative in your State. Outline any tasks in the action plan process that SNAP-Ed will lead or conduct during the FY. Attach written agreements as specified on page 21.*

**Appendix A. Template 3: SNAP-Ed Plan Staffing**

**(7 CFR (272.2 (d) (2) (i)) OMB No. 0584-0083**

**Section C: Staffing**

See page 21-22 for detailed instructions on completing this template. Provide the following summary by Supplemental Nutrition Assistance Program Education (SNAP-Ed) project for all paid staff in the budget. Provide the Full Time Equivalents (FTE), describe staff responsibilities as they relate to SNAP-Ed and note the funding amounts that will be paid by State and/or Federal funds.

<b>Project Name:</b>				
<b>1. Position Title*</b>	<b>2. FTEs** charged to SNAP-Ed</b>	<b>3. Description of Job Duties</b>		<b>4. SNAP-Ed Salary, Benefits and Wages</b>
*Attach statement of work listing SNAP-Ed-related job duties for each position.	**Attach definition of FTE and basis for calculations.	Percentage of SNAP-Ed Time spent on Management/ Administrative Duties	Percentage of SNAP-Ed Time spent on Direct SNAP-Ed Delivery	<b>Federal Dollars only</b>
<b>Total</b>				

## **Appendix A. Template 4: SNAP-Ed Plan Budget Summary for Sub-Grantees**

**OMB No. 0584-0083**

### **Section D: Budget Summary**

See pages 22-23 for additional instructions on completing this template. Refer to Appendix C for additional information on allowable costs.

#### **1. Contracts/Grants/Agreements for nutrition education services**

*Provide this information for each contract, grant, or agreement.*

- a) **Name of sub grantee**
  
- b) **Total Federal Funding, grant**
  
- c) **Description of services and/or products**
  
- d) **Cost of specific services and/or products**

#### **2. Project Costs**

For each sub-grantee, provide the Federal cost for each planned nutrition project. Provide a detailed breakdown that includes, at a minimum, the information contained on the following table.

**Appendix A. Template 4. Section D Continued:**

**3. Budget Information by Project**

**Sub-grantee Name (if applicable):**

<b>Expenses *</b>		<b>Federal Funds</b>
1.	Salary/Benefits	
2.	Contracts/Grants/Agreements**	
3.	Non-Capital Equipment/Supplies	
4.	Materials	
5.	Travel	
6.	Administrative	
7.	Building/Space	
8.	Maintenance	
9.	Equipment & Other Capital Expenditures	
10.	<b>Total Direct Costs</b>	
11.	Indirect Cost***	
12.	<b>Total Costs</b>	

**\*Provide narrative describing all expenses.**

**\*\*Attach copies of interagency agreements to support line 2**

**\*\*\*Provide assurance that the indirect cost rate is an approved rate (see Appendix C, Section A.2).**

## Appendix A. Template 4. Section D Continued:

### 4. Travel

(7CFR 277 (OMB Circular A-87)) OMB No. 0584-0083

*Travel expenditures are a variable cost. In order to be considered for funding, the request should provide a direct and clear link to providing quality nutrition education for SNAP eligibles. Provide the following information for all travel included in your SNAP-Ed budget:*

#### A. In-State Travel

- **Travel Purpose**

1. How attendance will benefit SNAP-Ed program goals and objectives
2. Justification of need for travel
3. Travel Destination (city, town or county or indicate local travel)
4. Number of Staff Traveling
5. Cost of Travel for this Purpose

- **Total In-State Travel Cost**

#### B. Out-of-State Travel

- **Travel Purpose and/or Name of Conference**

1. How attendance will benefit SNAP-Ed program goals and objectives
2. Justification of need for travel
3. Travel Destination (city and state)
4. Number of Staff Traveling
5. Cost of Travel for this Purpose

- **Total Out-of-State Travel Cost**

**Note: Total Travel Cost (In- and Out-of-State) per project to be entered as line 5 of budget summary (Template 4).**

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 5: SNAP-Ed Plan Assurances

(7 CFR (272.2 (d) (2) (iii)) and OMB No. 0584-0083

### Section F.

**To assure compliance with policies described in this guidance, the SNAP-Ed plan shall include the following assurances. Mark your response to the right.**

SNAP-Ed Plan Assurances	YES	NO
1. The State SNAP agency is accountable for the content of the State nutrition education plan and provides oversight to any sub-grantees. The State SNAP agency is fiscally responsible for nutrition education activities funded with SNAP funds and is liable for repayment of unallowable costs.		
2. Efforts have been made to <u>target</u> SNAP-Ed to SNAP participants and eligibles.		
3. In cases where SNAP-Ed projects may inadvertently benefit persons that are not ineligible for the SNAP because it is not practical or possible to meet the exclusivity requirement, the State has submitted approved waivers for each project. To be approved, the waiver request(s) shall (7CFR 272.3) clearly demonstrates how the proposed project provides a good means of reaching SNAP eligibles and documents that at least 50 percent of those reached will have gross incomes at or below 185 percent of the poverty thresholds or guidelines.		
4. Only expanded or additional coverage of those activities funded under the Expanded Food and Nutrition Education Program (EFNEP) are claimed under the SNAP-Ed grant. Approved activities are those designed to expand the State's current EFNEP coverage in order to serve additional SNAP eligibles or to provide additional education services to EFNEP clients who are eligible for the SNAP. Activities funded under the EFNEP grant are not included in the budget for SNAP-Ed.		
5. Documentation of payments for approved SNAP- Ed activities are maintained by the State and will be available for USDA review and audit.		

**Appendix A. Template 5: Continued:**

**To assure compliance with policies described in this guidance, the Supplemental Nutrition Assistance Program Education plan shall include the following assurances.**

**Mark your response to the right.**

<b>SNAP-Ed Plan Assurances</b>	<b>YES</b>	<b>NO</b>
6. Contracts are procured through competitive bid procedures governed by State procurement regulations.		
7. Program activities are conducted in compliance with all applicable Federal laws, rules, regulations including Civil Rights and OMB circulars governing cost issues.		
8. Program activities do not supplant existing nutrition education programs, and where operating in conjunction with existing programs, enhance and supplement them.		
9. Program activities are reasonable and necessary to accomplish SNAP-Ed objectives and goals.		
10. All materials developed or printed with SNAP Education funds include the appropriate USDA non-discrimination statement, credit to the SNAP as a funding source, and a brief message about how the SNAP can help provide a healthy diet and how to apply for benefits.		
11. Messages of nutrition education are consistent with the Dietary Guidelines for Americans and stress the importance of variety, balance, and moderation, and do not disparage any specific food, beverage or commodity.		

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 (0584-0083\*). Do not return the completed form to this address.

## **Appendix A: Template 6: Waiver Request Outline**

### **(7CFR 272.3) Operating Guidelines, Forms, Waivers OMB No. 0584-0083**

Refer to pages 57-58 for additional instructions regarding waiver requests.

#### **1. Waiver serial number:**

Enter the waiver number if one was assigned in previous correspondence. The waiver serial number should be used in all correspondence regarding the waiver.

#### **2. Type of request:**

Enter “initial” if the State agency is requesting the waiver for the first time or “extension” if the waiver has been approved previously. Enter “modification” if the State agency is requesting a change to a previously approved waiver. Enter “reconsideration” if the State agency is requesting that the denial of a previous request be reexamined.

#### **3. Primary regulation citation:**

Identify the specific section of 7CFR for which the waiver is requested. Contact the regional office if there is any question regarding the citation.

For exclusivity waivers the citation is 7CFR 272.2(d) (2) (iii).

#### **4. Secondary regulation citation:**

Some proposals will require a cross-reference to another section of the regulations. For example, a waiver to count income in the month it is intended to cover, rather than the month of receipt, may relate to both 7 CFR 273.10 and 7 CFR 273.21. However, if the proposal requires waivers of two unrelated regulatory provisions (for example, to extend certification periods and also substitute a telephone interview for a face-to-face interview), two separate waiver requests and two primary regulation citations are needed.

There is no secondary citation for exclusivity waivers.

#### **5. State:**

#### **6. Region:**

#### **7. Regulatory requirements:**

Describe the requirement that is to be waived. For example, 7 CFR 273.21(c) requires the State agency to have a toll-free number or accept collect calls.

## **Appendix A: Template 6 Continued:**

### **8. Proposed alternative procedures:**

*Describe in detail the procedures the State agency plans to follow in lieu of the regulatory requirement. For exclusivity waivers describe how SNAP-Ed will be delivered to SNAP eligibles in a way that may also inadvertently benefit persons that are ineligible for the SNAP. Provide the location of the project (e.g., school, congregate meal site, etc.) and describe the audience that will receive SNAP-Ed. Indicate the projected number of people the intervention will reach, estimated number of total contacts and the number or percentage of total contacts that will be with SNAP eligibles. The request shall also indicate how the project will offer an educational message about the SNAP, its benefits, and how to apply.*

### **9. Justification for request:**

*Explain the purpose of the waiver and how it meets the approval criteria of the regulations. For exclusivity waivers, States shall document that:*

- It is not possible to provide SNAP-Ed exclusively to SNAP eligibles without inadvertently reaching other audiences because it is not possible or practical to identify SNAP eligibility or to specifically provide SNAP eligibles with nutrition education without reaching others.*
- The project provides an efficient and effective means of reaching SNAP eligibles.*
- At least 50 percent of the population that will receive SNAP-Ed has gross household incomes that are at or below 185 percent of the poverty guidelines or thresholds or the setting is a high volume SNAP authorized retail grocer with average monthly SNAP redemptions of \$50,000 or more over a 12-month period. Reference data sources.*

### **10. Anticipated impact on households and State agency operations:**

*Describe the effect the waiver is expected to have on households in terms of quality or timeliness of service, any administrative or Program savings, and any adverse effect on households or the State agency if the waiver is not granted.*

## **Appendix A: Template 6 Continued:**

### **11. Caseload information, including percent, characteristics, and quality control error rate for affected portion:**

*Provide detailed information regarding the percent of the total caseload which would be affected by the waiver, whether the households are prospectively or retrospectively budgeted, monthly reporters or change reporters, elderly or disabled, and other relevant information. Provide the latest quality control information available for the type of household affected. For example: “Pure SSI households constitute 15 percent of the caseload and have an error rate of 7 percent.”*

### **12. Anticipated implementation date and time period for which waiver is needed:**

*Indicate the State agency’s time frame for putting the waiver into effect and the period for which the waiver is requested.*

### **13. Proposed quality control review procedures:**

*Describe the effect the waiver is expected to have on quality control review procedures. If applicable, provide detailed review procedures to be used in lieu of procedures in the FNS Handbook 310.*

### **14. Signature and title of requesting official:**

*Type the requesting official’s name and title and leave sufficient space for the stamped signature. The date will be date-stamped on line 15.*

### **15. Date of request:**

*Date stamp with the date the request is signed.*

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 7: SNAP-Ed Plan Signatures

(7CFR 272.2) OMB No. 0584-0083

### Section G:

#### SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (SNAP)

##### Annual Plan for SNAP-Ed

for

STATE AGENCY: \_\_\_\_\_

DATE: \_\_\_\_\_

FY \_\_\_\_\_

**Certified By:**

\_\_\_\_\_  
STATE SNAP-Ed COORDINATOR

\_\_\_\_\_  
DATE

or

STATE SNAP AGENCY DIRECTOR

**Certified By:**

\_\_\_\_\_  
STATE SNAP AGENCY FISCAL REVIEWER

\_\_\_\_\_  
DATE

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: U.S. Department of Agriculture, Food and Nutrition Services, Office of Research, Nutrition and Analysis, Alexandria, VA 22302 (0584-0083\*). Do not return the completed form to this address.

## Appendix A. Template 8: Sample Time and Effort Documentation

OMB No. 0584-0083

Refer to Appendix C, Section A.10 for instructions on time and effort documentation.

### Time and Effort Documentation for hours worked specifically on

#### Supplemental Nutrition Assistance Program Education

Name \_\_\_\_\_ Location \_\_\_\_\_ Title/Position \_\_\_\_\_

Month:	
Day	Hours Worked
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
<b>Monthly Total:</b>	

Month:	
Day	Hours Worked
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
<b>Monthly Total:</b>	

Month:	
Day	Hours Worked
1	
2	
3	
4	
5	
6	
7	
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11	
12	
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19	
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21	
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23	
24	
25	
26	
27	
28	
29	
30	
31	
<b>Monthly Total:</b>	

Employee signature & date: \_\_\_\_\_

Supervisor signature & date: \_\_\_\_\_

Quarter Total: \_\_\_\_\_

## **Appendix B:**

### **Reporting and Record Retention Requirements**

#### **Form 366A-State Agency (7CFR 272.2) OMB No. 0584-0083**

In addition to submitting the nutrition education plan, State agencies shall submit FNS-366A, Budget Projection Statement, for FNS approval. State agencies shall report their projected requested Federal funding for nutrition education on FNS Form FNS 366A, line 17, Nutrition Education. This report is due August 15<sup>th</sup> in the FNS Regional office for the upcoming Federal FY.

#### **Form SF-269-State Agency (7CFR 277 (OMB Circular A-87)) OMB No. 0584-0067**

The State agency shall report nutrition education expenditures, in Column 17-Nutrition Education- on FNS Form SF-269. This report is to be submitted quarterly, 30 days after the end of each quarter. An annual report is due 90 days following the end of the Federal fiscal year.

#### **Record Retention and Management-State Agency and All Sub-grantees (7CFR 272.2)**

SNAP regulations require that all records be retained for three years from fiscal closure. This requirement applies to fiscal records, reports and client information. Supporting documentation may be kept at the sub-grantee level, but shall be available for review for three years from the date of quarterly claim submittal. Any costs that cannot be substantiated by source documents will be disallowed as charges to the SNAP.

## **Appendix C:**

### **Cost Policy**

The following discusses the costs that can be approved for Federal payment under an approved SNAP-Ed Plan.

#### **Supplemental Nutrition Assistance Program (SNAP) Payment Structure**

*Under Section 241 of the Healthy, Hunger-Free Kids Act of 2010 (P.L. 111-269)* a State SNAP agency is reimbursed 100 percent for allowable administrative program costs that are reasonable and necessary to operate approved nutrition education activities up to the line allocation cap for that respective State agency. SNAP-Ed is a 100 percent grant program, which provides a set level of funding for specific activities for a specific period of time. It reimburses 100 percent of States' allowable expenditures.

While plan budgets are approved on an annual basis, current provision allows for 100% Federal funding being available for two-years for FFY 2012. Federal reimbursement is subject to the availability of Federal funds. State agencies have a two year deadline to claim prior year costs. Expenditures shall be submitted within two years after the calendar quarter in which the State (or local) agency incurred the cost (7CFR 277 (OMB Circular A-87)).

#### **Allowable Costs**

Allowable costs are specified under OMB regulations at 2 CFR part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR 215 (OMB Circular A-110) and 2 CFR 230 (OMB Circular A-122), Departmental rules at 7 CFR 3016 and SNAP rules at 7 CFR 277. To be allowable, all costs charged to FNS shall be valid obligations of the State, local government or sub-grantee and shall be necessary and reasonable as charges under an approved SNAP-Ed plan.

#### **State Plan Amendments**

A State shall submit a plan amendment for Federal approval prior to incurring the expenses to ensure that costs are allowable, reasonable and necessary. Further, if the scope of the activities in a plan changes, regardless of the impact on the planned expenditures, a State shall submit a plan amendment for FNS approval (7CFR 277 (OMB Circular A-87)). See instructions regarding plan amendments on page 4.

## **A. Allowable and Unallowable Administrative Expenses (7CFR 277 (OMB Circular A-87))**

*Allowable administrative costs* are operational costs for SNAP-Ed, which include all administrative expenses that are reasonable and necessary to operate approved nutrition education activities. Allowable administrative expenses include:

- salaries and benefits of personnel involved in SNAP-Ed and administrative support;
- office equipment, supplies, postage, duplication costs and travel that is necessary to carry out the project's objectives;
- development and production of SNAP-Ed materials when no other appropriate materials exist (see pages 16-17);
- lease or rental costs;
- maintenance expenses;
- other indirect costs and;
- charges for travel for the purpose of fulfilling the approved plan based on official State, local or university travel regulations.

*Unallowable administrative expenses*- Unallowable costs per 2 CFR 225 (OMB Circular A-87) and 2 CFR 220 (OMB Circular A-21) for State and local governments are listed below. Similar lists are found in 2 CFR 215 (OMB Circular A-110) and 2 CFR 230 (OMB Circular A-122), the OMB regulations applicable universities and non-profit organizations).

- Bad Debts-uncollected accounts or claims, and related costs.
- Contingencies-contributions to an emergency reserve or similar provision for unforeseen events (these are not insurance payments which are allowable).
- Contributions and Donations (usually these are political in nature).
- Entertainment-costs that are primarily for amusement or social activities. (This is actually one with a lot of exceptions. For example, meals are cited in the OMB regulations but within the context of training meals might be allowable. There are a number of costs here that require a "reasonable judgment" based on why or when the activity takes place.)
- Fines and Penalties-violations or penalties for failure to comply with Federal, State, or Local laws.
- Governor's Office-specifically costs of general government. Costs which may be directly charged to a Federal grant may be allowable. (For example, if a person assigned to the governor's office devotes 100 percent of his/her time to the SNAP, the cost may be allowable. Each situation, however, shall be judged on its own merit).
- Indemnification-payments to third parties and other losses not covered by insurance.
- Legislative Expenses.

- Losses Not Covered by Insurance (See Indemnification above. These costs are similar, but not the same).
- Under Recovery of Costs under Federal Funding Agreements-shortfalls in one grant cannot be charged to another Federal grant. (This is not the same as charging two Federal grants for a share of the costs of the activity if both agencies benefit from the activity funded. However, an allocations basis shall be established for sharing the costs in proportion to the benefit each receives.)
- Alcoholic Beverages.

Under 2 CFR 220 (OMB Circular A-21), there are some *unallowable cost* categories in addition to those listed above:

- Advertising and Public Relations- Unless used for recruitment of staff, acquisition of material for the grant, or publishing the results of the grant.
- Alumni Activities.
- Commencement and Convocations.
- Legal Fees Which Result From a Failure to Follow Federal, State or Local Laws. If certain conditions are met, the Federal government may allow some legal fees.
- Executive Lobbying.
- Goods and Services for Private Use.
- Housing and Personal Living Expenses.
- Interest, Fund Raising, and Investment Management- (For interest, there are exceptions. But if the cost is shown it needs to be examined in light of the exceptions.)
- Any and All Political Party Expenses.
- Pre-agreement Costs- All costs incurred prior to the grant award.
- Scholarships and Student Aid-(There are exceptions which should be reviewed if these costs appear in budget.)
- Student Activity Costs.
- Travel-Allowable but with restrictions as to amounts involved, level of transportation costs (e.g., no first class tickets).

## **Reasonable and necessary costs (7CFR 277 (OMB Circular A-87))**

While OMB regulations define what is allowable, costs that may be covered by the SNAP for nutrition education shall also meet a “reasonable and necessary” test (7CFR 277 (OMB Circular A-87)).

### **Reasonable Costs**

- Provide a program benefit generally commensurate with the cost incurred
- Are in proportion to other program costs for the function that the costs serve,
- Are priority expenditure relative to other demands on availability of administrative resources, and
- Carry nutrition education messages consistent with the current Dietary Guidelines for Americans and meet SNAP-Ed Guidance for allowable cost.

### **Necessary Costs**

- Are incurred to carry out essential functions,
- Cannot be avoided without adversely affecting program operations, and
- Do not duplicate existing efforts

*Note: Remarks in parentheses represent clarification by the Food and Nutrition Service and are not regulatory language.*

### **A.1 Property Procurement and Management (7CFR 277 (OMB Circular A-87))**

The State agency and all sub-grantees shall follow procurement requirements found in 7CFR 3016.

The State agency shall receive prior Federal approval before procuring or requesting payment for equipment valued at more than \$5,000 per item. Review and approval of equipment acquisition is normally conducted during review of the proposed budget. Budget review should ensure that proposed equipment requests do not duplicate previous year’s equipment purchases for the same project. Inventory records shall be maintained for equipment that is paid for with Federal funds. A physical inventory is required every two years.

### **A.2 Indirect Cost Rates (7CFR 277 (OMB Circular A-87))**

Indirect cost is a general term for certain types of costs that are incurred by the grantee or sub-grantee in support of other allowable activities that are charged directly to sponsoring Federal or State funding agencies. These indirect costs (also called overhead costs) are determined through a variety of rates or “cost allocation plans” that detail how the costs are to be shared by the funding agencies.

Indirect cost rates are documented through an indirect cost plan, which is approved by a “cognizant agency.” A cost allocation plan, also approved by a cognizant agency, is a more extensive plan that combines many different allocations.

Historically indirect cost plans were reviewed and approved by a “cognizant agency” officially assigned by OMB. Typically OMB assigned cognizance to the Federal funding agency that had the largest dollar amount involvement with the specific grantee. Within that agency there was an

office known as the Division of Cost Allocation or DCA. Due to budget cuts, the DCA no longer reviews or approves indirect costs for sub-grantees. Recently, these costs were claimed without any Federal review. FNS has determined that under OMB Circular A-87, the primary grantee (normally the State agency) is responsible for review of indirect costs submitted by their sub-grantees. This policy is currently implemented by other Federal agencies, including the Department of Education, Department of Labor, and the Department of Health and Human Services.

FNS will accept indirect costs established through an indirect cost plan approved by the appropriate State agency. We retain the right to review any and all such plans. In the event a State agency has approved a plan, which is determined to be unacceptable, indirect costs charged through that plan may be disallowed.

If a cost can be directly attributed to one grant, then that cost may not be included in either an indirect cost plan computation or any cost allocation plan. Indirect cost rates are normally computed through a process where all indirect costs are added together and then divided by the Modified Total Direct Costs. This results in a percentage which is applied to each grant as their share of the indirect or overhead costs. For example, if indirect costs total \$16,000 and the Modified Total Direct Costs total \$100,000, then the indirect cost rate would be 16%. Each grant would then be charged 16% of the total direct costs chargeable to that grant. In a cost allocation plan, usually meant for a larger grantee, various costs are pooled and then allocated to the various grants operated. Indirect costs may be claimed by grantees for the cost of activities operated by sub-grantees. This would result in two indirect cost rates being applied to the grant. In most cases, the rates are restricted to the first \$25,000 of any flow through grants or contracts. This is provided for in the construction of the Modified Total Direct Costs used in development of the indirect cost rates.

If a grantee has an approved indirect cost plan or cost allocation plan, they should note the indirect cost rate agreement in their State's SNAP-Ed Plan. FNS may request documentation in support of the submitted indirect cost rate. The State agency should ensure that documentation from either the federally assigned cognizant agency or the State review process will be available for FNS review if requested.

FNS will accept indirect cost rates for colleges and universities that have been approved by the appropriate cognizant entity. Unless justification is provided, only the off-campus rates may be used. If additional categories such as "other sponsored activities" are covered, FNS will not accept "instructional rates" without justification. In most SNAP-Ed Plans, only one rate may be used for each program charged. As a result, any justification for using either the "on-campus" or "off-campus rate" should be based on where the majority of the allowable activities take place. In the case of SNAP-Ed, the allowable activities are defined as those activities that provide nutrition education to the SNAP eligible population. Other activities, such as research and data analysis, are not the primary purpose of SNAP-Ed and should not be used in determining where the majority of the activities take place. Indirect costs at colleges and universities are limited to 26% of total modified direct costs, based on 2 CFR 220 (OMB Circular A-21).

Small local agencies may not have staff with the expertise to develop indirect cost rates. Local agencies that do not have a cognizant agency to review and approve their rates may apply to the

State agency for approval to use a rate developed either by or for the local agency. They may obtain contracted accounting services as an allowable program cost. Any costs of determining the indirect costs are themselves allowable costs and may be included in the Plan budget as either direct or indirect costs. The State agency should indicate, within the SNAP-Ed plan, its acceptance of the indirect cost rate. The FNS Regional office may accept or reject use of the rate based on the rate computation documents. If the State agency does not accept the responsibility for approving the indirect cost rate, or disapproves the rate, the FNS Regional Office will not accept the rate.

State agencies are responsible for ensuring that indirect costs included in the State SNAP-Ed Plan are supported by an indirect cost agreement approved by the appropriate cognizant agency and are claimed in accordance with that agreement.

### **A.3 Waivers (7CFR 272.3)**

***Exclusivity Clause Waivers*** - SNAP-Ed is exclusively for the benefit of individuals that are eligible for the SNAP. The term “SNAP eligible” is defined in Appendix D and is discussed in detail on pages 9-14. This policy is consistent with language in the *Food and Nutrition Act, 2008*. State agencies shall target their programs to SNAP eligibles. However, they may pursue an “exclusivity waiver” on a project basis to allow certain projects that inadvertently reach other low-income individuals that are not eligible for the SNAP. “Project” is defined in Appendix D as “a discrete unit of nutrition education intervention at the local level with a specifically identified low income target population”. General instructions for completing the waiver request are provided in Appendix A, Template 6. In addition, States requesting a waiver of the exclusivity regulation for SNAP-Ed activities shall document, on a project basis, that:

- It is not possible to provide SNAP-Ed exclusively to SNAP eligibles without inadvertently reaching other audiences because it is not possible or practical to identify SNAP eligibility or to specifically provide SNAP eligibles with nutrition education without reaching others (e.g., social marketing campaigns and media communication).
- The project provides an efficient and effective means of reaching SNAP eligibles. The waiver shall indicate the projected number of people the intervention will reach, estimated number of total contacts and the number or percentage of total contacts that will be with SNAP eligibles.
- The project will offer an educational message about the SNAP, its benefits, and how to apply.
- Documentation is provided to ensure that one of the two following conditions is met:
  1. At least 50 percent of the population that will receive SNAP-Ed has gross household incomes that are at or below 185 percent of the poverty guidelines or thresholds. In developing data for waiver requests, the following data may be used to calculate the extent to which the population meets the income criteria:
    - SNAP, FDPIR and TANF participation data;
    - American Community Survey census tract information;
    - other community program participation data;
    - school lunch free and reduced price data; and
    - WIC participation data.

Asking individuals for personal income data is not an appropriate means to determine whether the target audience is low income, and goes beyond the scope of data needed for waiver purposes. Some potential sources of information include:

- State FNS Program Offices
  - American FactFinder provided by the U.S. Census Bureau at <http://factfinder.census.gov>
  - Supplemental Nutrition Assistance Program Map Machine by the Economic Research Service at <http://ers.usda.gov/Data/FoodStamps/>
  - Common Core Data-School Meals by the National Center for Education Statistics at <http://nces.ed.gov/ccd/>
2. The setting is a retail grocer that redeems on average over 12 months at least \$50,000 monthly in SNAP benefits. FNS regional office will verify this threshold as a condition of approval for a State plan.

Documentation to show that each project meets these criteria shall be submitted in one or more waiver requests. States may submit a separate waiver request for each project or they may submit a listing of local projects for which they are seeking waivers. Statewide waivers are not permissible. The waiver request shall contain project-specific information and supporting documentation in sufficient detail to ascertain that each project is targeted to SNAP eligibles. Each waiver request will be approved or denied separately, regardless of format, on the basis of supporting documentation.

#### Exceptions to Requirement for Exclusivity Clause Waivers

Table I (see pages 11-13) provides examples showing when a waiver may or may not be needed. The following summarizes exceptions to the requirement for Exclusivity Clause Waivers:

1. Food Distribution Program on Indian Reservations (FDPIR). Because persons eligible for the SNAP may participate in FDPIR in lieu of the SNAP, FDPIR participants may be targeted for SNAP-Ed without waiver, and will be considered as if participating in the SNAP.
2. Projects serving Categorically Eligible Persons. Persons eligible for the SNAP by virtue of their allowable categorical eligibility consistent with SNAP regulations at 273.2(j) may be targeted for SNAP-Ed without waiver.
3. Projects serving persons with incomes less than or equal to 130% of the poverty guidelines. This income level may be considered a reasonable proxy for SNAP eligibility in the case of SNAP-Ed participation in most cases. This proxy does not apply to persons typically ineligible for the SNAP (e.g., incarcerated persons, boarders, or college/university students-see Appendix C).
4. Projects serving persons receiving SNAP-Ed at SNAP/TANF offices, public housing and food banks, food pantries or soup kitchens (when food is being distributed to needy persons as described on page 9 - 10). A waiver is not required for nutrition education delivered at these venues under the circumstances specified (for food banks, food pantries, and soup kitchens).

#### **A. 4 Health Care Organizations (7 CFR 277.4(c))**

The allowability of this type of partnership for the delivery of SNAP-Ed hinges on whether the organization is *public or private*. The organization's profit or non-profit status is not relevant. There are three areas to consider carefully when initiating a new contract or managing an existing SNAP-Ed program that utilizes a health care organization:

1. Procurement regulations in some States or counties prevent awarding a contract to a private health care organization without a public notice allowing other health care organizations an opportunity to bid on the work. In addition, once a grantee moves beyond using other governmental services procurement requirements become mandated. These include items such as the method of procurements, dollar limits etc.
2. While the nature of a public health care organization may mean that SNAP participants and eligible households will be involved, the health care organization should still validate that it meets one of the eligible target audience categories described in Table I of this Guidance. Additionally, the health care organization should meet the provisions of allowable SNAP-Ed activities, which states that health promotion and primary prevention of disease should be the focus and aim of SNAP-Ed activities. The disposition of these types of health care organizations already leans towards secondary prevention and medical nutrition therapy, which are not allowable SNAP-Ed expenditures.
3. Private (non-profit) health care organizations receive the majority of their funding from Medicare/Medicaid. Activities funded under one Federal grant may not be billed to another Federal grant. Unless the State involved is dedicated in tracking these expenditures, it would be difficult to clearly document costs in this environment.

#### **A. 5 Medical Equipment & Clinical Health Assessments (2 CFR 225 (OMB Circular A-87))**

FNS has determined, based on 2 CFR 225 (OMB Circular A-87), that medical equipment or health services related to health assessments of recipients, obtaining clinical data on nutritional status, and chronic disease or chronic disease risk assessments are not a necessary and reasonable cost to provide nutrition education in the SNAP. Therefore, they are not allowable costs. For example, measurement of height, weight, skinfold thickness, blood pressure, cholesterol, blood glucose and iron levels are not allowable costs. However, salaries and benefits of personnel to administer dietary intake data questionnaires on nutrition knowledge and behaviors are allowable costs.

#### **A. 6 Gardening (2 CFR 225 (OMB Circular A-87))**

Gardening is a beneficial project that leads to the economical production and consumption of healthy and fresh food. The provisions of 2 CFR 225 (OMB Circular A-87) allow the USDA/FNS to make a reasonable judgment as to what is necessary and reasonable to deliver nutrition education. The cost for the rental or purchase of garden equipment (fertilizer, tractors), the purchase or rental of land for garden plots, seeds, plants, and other gardening supplies are not allowable SNAP-Ed costs. Only educational supplies, curricula and staff salaries to teach gardening concepts that reinforce the beneficial nutrition aspects of gardening are allowable costs. (Note that participants may use program Electronic Benefit Transfer (EBT) to purchase seeds and plants for gardening purposes).

**A. 7 Valuation of Publicly Owned Space (2 CFR 225 (OMB Circular A-87)) (2 CFR 220 (OMB Circular A-21)) (2 CFR PART 215 (OMB Circular A-110))**

**Charges for Publicly Owned Space-**Space owned by a public entity cannot be charged to a Federal grant based on private market rental rates. The entity can only recover the costs of space through a depreciation schedule or use allowance, applicable charges for utilities, maintenance, and general upkeep.

Federal requirements regarding the valuation of publicly owned space is contained in OMB regulations at 2 CFR 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), and 2 CFR PART 215 (OMB Circular A-110) and Departmental regulations at 7 CFR 3016. The requirements indicate that in no case may publicly owned space be “donated” or billed at fair market rental rates. One allowable method for calculating the value of publicly owned space is depreciation or use allowance. Fair market rates may not be used for publicly owned space regardless of whether they are direct billed or donated. The cost of space owned by a public agency is the acquisition cost of that space, plus maintenance and utilities. (FNS Policy Memorandum-March 9, 1998)

*Example of Calculating Valuation of Publicly Owned Space-* Depreciation is dividing the cost of the building over its useful life. For example, if a building cost \$50,000 to build and it had a useful life of 20 years, the yearly depreciation would be \$2500. This cost is spread over the square footage of the building, resulting in an annual rate per square foot. The SNAP share would be the amount of space that is used for the SNAP. A use allowance is used when the building is fully depreciated. You are allowed to charge no more than 2 percent of the cost of the building per year. In the example above, States could only charge \$1000 per year.

FNS has also developed a standard hourly use allowance that is an optional method for States to use when calculating the cost of publicly owned space.

- .002041 dollar per square foot may be used for the cost of space.
- .003265 dollar per square foot may be used for the cost of maintenance, and utilities.
- States have the option to use actual cost if available.
- Additional information on these calculations is available in FNS memorandum, “Calculation for Space in Government Owned Buildings for use in Food and Nutrition Service Programs”, dated April 11, 2006.

**A. 8 Time Records (7CFR 277 (OMB Circular A-21))**

Biweekly certification of weekly time and effort reporting is required by FNS for staff paid through the nutrition education funds and those contributing to this work through cost share. Time and effort reporting is likewise required for volunteers. Additionally, records shall be maintained for third party contracts of less than 100 percent time. In lieu of signing each time and effort sheet individually, after review and approval, time and effort sheets can be certified in bulk and transmitted electronically (up to 20 sheets per transmittal) with a supervisor’s electronic signature.

Time records are used to calculate the charges for time spent on allowable activities. The administrative office, which converts hours worked into dollars charged, shall also maintain

accounting records that substantiate the charges incurred. Costs charged based on time and effort reporting would include salaries and fringe benefits for staff employed. These costs should relate to the total accounting documentation maintained by the organization that is asserting the claim.

#### **Staff Devoting 100 Percent of Time to SNAP-Ed**

- A semi-annual time and effort certification by a supervisor is required.
- After-the-fact reporting is unacceptable.

#### **Staff Devoting Less Than 100 Percent of Time to SNAP-Ed**

- Time records are required for all nutrition education staff and volunteers devoting less than 100 percent of their time to SNAP-Ed unless a federally approved Random Moments Time Study is used to allocate the time spent on allowable activities. Universities and colleges that are approved for Plan Confirmation by the Department of Health and Human Services are also exempt from the time record requirement.
- Budget sections of State plans should confirm that time records are documented.
- Time worked on SNAP-Ed should be reported in hours, and not percentage of time to the project.
- A sample form for keeping time and effort documentation is available in Appendix A (see Template 8). However, States may develop their own form that includes appropriate space to enter hours spent on SNAP-Ed, date, and employee and supervisor signatures. Only time spent on SNAP-Ed needs to be entered on the form.
- If a University has a procedure for hourly documentation already in place, it may meet the reporting requirement.
- The time and effort forms can be maintained at the work site and shall be available for review/audit for a period of three years.
- Grantees that have federally approved Random Moments Time Studies need not use time records to document time spent on allowable activities.
- State agencies may submit alternative methods of calculating time with appropriate justification for consideration by the FNS regional office. The FNS region may consider and approve alternative methods of calculating time that provide a reasonable assurance of accuracy of the time estimate. Time records need not be submitted with the plan but should be maintained by the project for audit.

When accounting for the cost of part-time staff, the total cost, including time not worked (annual and sick leave), shall be computed and charged. The official accounting system used for grants and funding arrangements shall be used in calculating this cost so that official accounting records reflect all of the revenue and costs of SNAP-Ed. The staff person's time spent on SNAP-Ed shall be documented as specified above.

**A. 9 Memberships, Subscription and Professional Activity Cost (7CFR 277 (OMB Circular A-87))**

Cost of institution memberships in business, technical, and professional organizations are allowable. These memberships, subscriptions, and professional activity costs should be consistent with the effort to promote the provision of quality nutrition services to Supplemental Nutrition Assistance Program (SNAP) eligibles. Costs of institutional memberships for nutrition personnel that work directly with the SNAP-Ed project are reimbursable. The cost to the institution should be prorated according to the percentage of time actually spent by the employee in performing nutrition education activities for the SNAP project. Professional registration or license fees paid by individuals would not be allowable costs since the fees would be considered personal expenses, not institutional expenses.

**A.10 Nutrition Education Reinforcement Materials (7CFR 277 (OMB Circular A-87))**

Nutrition education reinforcement materials refers to a class of goods that are given to SNAP eligibles or persons closely associated with the SNAP (such as staff) containing or conveying nutrition messages and promoting good nutrition practices and increased physical activity. Such items shall have a direct relationship to program objectives and the expected behavior change. Other terms that are used to describe these items include memorabilia, souvenirs, promotional items, incentives, and educational extenders. Such items are allowable costs only if they are deemed reasonable and necessary, contain or reinforce nutrition messages, and are of nominal value (\$4.00 or less per item).

FNS could not relate program reinforcement materials to any of the cost items specifically mentioned in the 2 CFR 225 (OMB Circular A-87) and 2 CFR 230 (OMB Circular A-122). Consequently, FNS shall apply the general rules for determining the allowability of costs, as described in OMB regulations, paramount among which are the reasonable and necessary cost tests.

Program reinforcement materials for nutrition education also should:

- be targeted to SNAP eligibles;
- have a clear relevance and useful connection to particular FNS/SNAP nutrition education messages;
- either contain an educational message or have a use that is directly relevant to reinforce nutrition education messages (example, disposable thermometer to reinforce food safety);
- have value as nutrition education aids;
- be reasonable and necessary in terms of cost and relevance;
- be offered only after weighing and assessing other relative needs and cost effectiveness;
- be of nominal value of \$4.00 or less per item;
- and not be used solely for staff morale boosters.

If the reinforcement material is designed for physical activity promotion, it should be provided in conjunction with relevant nutrition and physical activity messages.

Examples of Allowable and Unallowable Program Reinforcement Materials

FNS is not able to provide exhaustive lists of specific allowable and unallowable items. However, using the criteria listed in the preceding paragraph, some illustrative examples of allowable and unallowable program reinforcement materials are provided below:

**Examples of Allowable Nutrition Education Reinforcement Items:**

Calendars and refrigerator magnets that contain important nutrition education messages, measuring cups, measuring spoons, or other items of nominal value which reinforce an important nutrition message. Examples of available allowable messages include Thermy™ the food thermometers' safety message ("It's safe to bite when the temperature is right"), the "Fruits and Veggies-More Matters" campaign, MyPyramid, or USDA's Eat Smart. Play Hard.™ Campaign.

**Examples of Unallowable Nutrition Education Reinforcement Items**

Celebratory items, and items designed primarily as staff morale boosters; items (even of nominal value) that are not reasonable or necessary and/or have no nutrition education message; any program incentive item intended for persons who are not SNAP eligible, or with a waiver, those potentially SNAP eligible; any item costing more than \$4.00.

**A.11 Physical Activity (2 CFR 225 (OMB Circular A-87))**

FNS supports efforts to improve the health and fitness of our program participants consistent with the most current Dietary Guidelines for Americans, MyPyramid and MyPyramid for Kids. These efforts include:

- Activities to help participants eat a nutritious diet by providing and linking nutrition education and program benefits.
- Activities to encourage physical activity every day by promoting active living and connecting people with community-based resources funded by appropriate entities.

The provisions of 2 CFR 225 (OMB Circular A-87) allows FNS to make reasonable judgments as to what is necessary and reasonable to deliver nutrition education. Given the Dietary Guidelines for Americans, the inclusion of physical activity promotion as a part of SNAP-Ed is an allowable expenditure. The following is guidance on what constitutes allowable SNAP-Ed costs in support of the physical activity guideline in the Dietary Guidelines for Americans. Essentially, such allowable costs are limited to activities that educate about and promote physical activity, such as providing SNAP eligibles with information and encouragement to exercise, a brief exercise demonstration, and referral to local resources.

The following form the basic principles of FNS policy on physical activity:

- Educational and program materials developed to promote and reinforce physical activity for all target audiences should include messages that link nutrition and physical activity, and the associated health benefits of active lifestyles.
- All programming such as workshops, conferences, and trainings that encourages physical activity should include a focus primarily on promotion of healthy eating behaviors. Activities may include one-time physical activity demonstration for SNAP eligibles and training for staff to develop skills and to help SNAP eligibles.
- FNS program cooperators may use nutrition education funds to develop nutrition education and physical activity material that are reasonable and necessary. All physical

activity materials should include a nutrition education message that promotes healthy eating and link nutrition and physical activity.

- Existing materials, especially existing FNS materials such as *Loving Your Family...*; *Eat Smart, Live Strong*; *Eat Smart. Play Hard.*<sup>™</sup>, *Team Nutrition*, etc., or those available through the SNAP-Ed Connection should be used and/or adapted whenever possible rather than developing new materials. Using or adapting successful interventions developed by others is preferable to developing new materials.
- FNS program cooperators are encouraged to coordinate with community, faith-based, youth and recreational organizations, and others whose primary mission is to make regular opportunities for physical activity accessible and to make a listing of these resources available to Program eligibles.

#### Examples of Unallowable Physical Activity Education and Promotion Costs

Incentives and reinforcement items shall be reasonable and necessary based on established cost principles (2 CFR 225 (OMB Circular A-87), 2 CFR 230 (OMB Circular A-122) and 2 CFR 220 (OMB Circular A-21)) and criteria of specific nutrition assistance programs and may not exceed \$4.00 per unit. Costs incurred for health club or gym memberships, dues, equipment, (such as bicycles, treadmills, stair steps, weights, and the like); facilities (rental or modifications); ongoing classes, exercise leaders for ongoing exercise classes are not allowed. Note that the educational reinforcement items meeting the definition in Section A.12 above are permitted when they are of nominal value (\$4.00 or less per item).

#### Examples of Allowable Physical Activity Education and Promotion Costs

SNAP State agencies may make physical activity education and promotion coupled with nutrition education available to SNAP eligibles in a variety of economical ways.

The development of educational materials, to teach physical activity concepts and to reinforce the health benefit of physical activity, is an allowable cost when these activities also promote nutrition education.

As customary, before developing new materials, look to resources available through FNS and other Federal and State sources, including other credible sources. If new materials are needed, justify their development. Also, when developing materials, we recommend the utmost care be taken in the assessment of the target audience and the development of physical activity materials. A certified physical fitness professional should be consulted throughout the development phases of these materials. The cost of such consultation is allowable if it meets a reasonable and necessary test.

Purchases of educational materials promoting physical activity for SNAP eligibles are allowed. Examples of educational materials include brochures, newsletters, posters, public service announcements, and audiotapes, videotapes, and DVDs. These materials may be purchased or obtained free from reliable sources such as government organizations, physical activity associations, or other authorities on the subject.

Physical activity education and promotion as part of nutrition education sessions in the SNAP may include provision of advice, demonstrations (instructional in nature, and not for ongoing classes), and community resource information, (such as a free local fitness event) in order to encourage SNAP participants and eligibles to engage in regular physical activities. Physical activity **supplies** purchased for instructional demonstrations would not include large expenditure items such as treadmills or weights (see other examples noted above) since they are not reasonable or necessary and these items are well beyond the means of most recipients. *Allowable supply* items must be of nominal value to meet the reasonable and necessary test.

Consistent with A.12 above, allowable physical activity **reinforcement** items that are given to SNAP-Ed recipients must meet the reasonable and necessary test and cost \$4.00 or less.

Additional examples of acceptable physical activity promotion may include:

- Information on local sites where SNAP eligibles can access a diverse range of low or no-cost activities appropriate for different ages and physical abilities.
- Physical activity bulletin boards or displays around the SNAP offices, clinics or community.
- Referral to library or web site resources.
- Development and provision of information and resource lists to SNAP participants and eligibles on how to promote safe and enjoyable physical activities. This information may also be available from:
  - Affiliates of voluntary health organizations (e.g., the American Heart Association).
  - State and local health departments.
  - Governor’s Councils on Physical Fitness and Sports.
  - National Fitness Coalition
  - Coalition for Promotion of Physical Activity
  - State associations for health, physical education, recreation, and dance.
  - National Center for Chronic Disease Prevention and Health Promotion.
  - Materials can be ordered in bulk from:

The President’s Council on Physical Fitness and Sports

Department W  
200 Independence Avenue SW  
Room 738-H  
Washington, D.C. 20201-0004  
<http://fitness.gov>  
<http://presidentschallenge.org/>

The American Dietetic Association  
National Center for Nutrition and Dietetics  
120 South Riverside Plaza  
Suite 2000  
Chicago, Illinois 60606-6995  
<http://eatright.org>

- Another source of information is:  
National Recreation and Park Association  
22377 Belmont Ridge Road  
Ashburn, Virginia 20148-4501  
<http://www.nrpa.org>  
Phone: 703-858-0784

#### **A.12 Medical Nutrition Therapy (7CFR 277 (OMB Circular A-87))**

This is not an allowable cost. Funds spent on medical nutrition therapy are not reimbursable by the SNAP. Medical nutrition therapy is not within the scope of the SNAP. If a SNAP-Ed provider becomes aware of the need for an individualized diet or meal plan, the educator should refer to a local physician or dietitian.

Allowable SNAP-Ed activities include health promotion activities and interventions aimed at primary prevention of disease. These health promotion activities should be designed to help SNAP eligibles establish and maintain physically active lifestyles and healthy eating habits. Primary prevention includes activities to help SNAP eligibles prevent or to postpone the onset of chronic disease by establishing more physically active lifestyles and healthier eating habits.

Secondary prevention interventions and medical nutrition therapy are not allowable SNAP-Ed expenditures. Secondary prevention interventions include activities that help people who already have a chronic disease cope with and control these conditions and prevent additional disability. See also Section A.7: Medical Equipment & Clinical Health Assessments.

(See Definitions in Appendix D for a fuller discussion of medical nutrition therapy and secondary prevention interventions.)

#### **A.13 Breastfeeding (7CFR 272.2)**

All SNAP-Ed activities that address the topic of breastfeeding shall be planned and implemented in collaboration with the State WIC agency and State Breastfeeding Coordinator. The WIC program should have the lead and primary role in all breastfeeding activities with SNAP-Ed supplementing existing WIC activities. A written agreement (e.g., a Memorandum of Understanding) stating the degree of collaboration and the specific responsibilities of WIC (i.e., staff, duties, and time) and the State should be included in the State SNAP-Ed Plan. This agreement shall be signed by all collaborating agencies.

#### **A.14 Travel and Meeting or Conference Attendance (7CFR 277 (OMB Circular A-87))**

Travel expenditures are a variable cost. In order to be considered for funding, the request shall provide a direct and clear link to providing quality nutrition education for SNAP recipients and those eligible.

- **Travel Destination**

Travel requests should be identified for in-state and out-of-state purposes. States should note the destination of the meeting, training or conference attendance (e.g., city, town, county, state).

- **Travel Purpose and Justification**

- How attendance will benefit SNAP-Ed program goals and objectives

- Justify the purpose of the travel request.
  - Describe how the travel request supports the State’s SNAP-Ed goals and objectives.
  - Demonstrate how they will disseminate the information obtained to both, in-state educators and collaborators and in-state Supplemental Nutrition Assistance Program office staff.
- **Number of Staff Attending**
    - Identify the number and type of staff making the travel request.
    - Justify the number and type of staff making the travel request.
    - For attendance at National level conferences, the request should be limited to no more than 4 staff persons per State.

**Per Diem Rates** - The standard requirements that State or Federal per diem rates shall be applied. In addition, all travel restrictions found in the OMB regulations (i.e. no first class tickets, etc) shall be followed.

**A.15 Prorating Costs of Nutrition Education Activities (7CFR 277 (OMB Circular A-87))**

When a broader audience than those described in Table I, pages 11-13, benefits from a nutrition effort that is otherwise allowable under SNAP-Ed, FNS may allow prorated costs that reflect SNAP-Ed’s share of the total cost. In these situations, the calculation of SNAP-Ed’s share of the total cost is based on the number likely SNAP eligibles (persons at or below 130% of poverty guidelines/thresholds with the exceptions noted in Table I on page 12-13) that will receive the nutrition education relative to the total population to be reached. For example, if a SNAP-Ed project will reach 100 persons and 20 of these persons have gross incomes at or below 130% of poverty guidelines, then 20% of the total costs may be counted as SNAP-Ed costs.

States shall show how prorated costs were calculated, fully describe the nature of such costs and demonstrate the value of the proposed activity to SNAP-Ed. Since activities that target general audiences are often not designed with the needs of SNAP eligibles in mind, the State shall justify how the activity is a good vehicle for reaching SNAP eligibles and changing their nutrition-related behaviors.

**A.16 College/University Students (7CFR 277 (OMB Circular A-87))**

Most able-bodied students ages 18 through 49 who are enrolled in college or other institutions of higher education at least half time are not eligible for the SNAP and therefore not eligible to receive SNAP-Ed. However, students may be able to get SNAP benefits (and participate in SNAP-Ed) if otherwise income eligible and they:

- Get public assistance benefits under a Title IV-A program;
- Take part in a State or federally financed work study program;
- Work at least 20 hours a week;
- Are taking care of a dependent household member under the age of 6;
- Are taking care of a dependent household member over the age of 5 but under 12 and do not have adequate child care to enable them to attend school and work a minimum of 20

hours, or to take part in a State or Federally financed work study program; or

- Are assigned to or placed in a college or certain other schools through:
  - A program under the Workforce Investment Act of 1998,
  - A program under Section 236 of the Trade Act of 1974,
  - An employment and training program under the Food and Nutrition Act, or
  - An employment and training program operated by a State or local government.
  - Also, a single parent enrolled full time in college and taking care of a dependent household member under the age of 12 can get SNAP benefits if otherwise eligible.

An exclusivity waiver is required for SNAP-Ed activities that do not exclusively serve students that meet the criteria outlined above.

### **A.17 School Wellness Programs**

Programs of local school wellness are intended to empower local communities to band together to promote and reinforce healthy eating and lifestyle behaviors. SNAP-Ed helps contribute to community health and wellness goals by providing nutrition education within eligible schools and eligible venues. These nutrition education activities help generally low income students and their families make wise and economical food purchase selections in accordance with dietary guidelines and MyPyramid. SNAP-Ed is intended for that segment of the community who are eligible for the SNAP. While SNAP-Ed may pay for education directed to SNAP eligibles, it is not within its scope to pay for local initiatives that are directed to the entire community. Such initiatives are the financial responsibility of the community.

### **A. 18 Staff Wages and Benefits (7CFR 277 (OMB Circular A-87))**

All staff wages and benefits shall be computed on a reasonable hourly basis commensurate with duties being performed, or the Federal minimum hourly wages established by the United States Department of Labor. The wages are not necessarily commensurate with wages that would be paid to the individual when performing duties for which he/she is credentialed, but shall relate to the task they are performing for SNAP-Ed. (Reference SNAP-Ed Guidance page 55 for a discussion of reasonable and necessary cost).

- Staff shall record time as specified in the SNAP-Ed Guidance (Appendix C, Section A.10, Time Records).
- The time shall not be used as a reimbursement item for any other Federal grant.

### **A.19 Approved Radio Station Outlets**

The USDA SNAP outreach campaign has identified and selected targeted markets and radio stations in an effort to increase participation in the SNAP. Stations were selected with a high percentage of low-income listeners in areas with low SNAP participation rates and high poverty rates. SNAP-Ed State agencies that wish to use these radio stations in their mass media campaigns may do so as a proxy measure of allowability without a need for waiver. SNAP-Ed States need only to certify that the radio stations used in the outreach campaign within the past two years will be used for SNAP-Ed radio spots. A listing of these radio stations can be found at [http://www.fns.usda.gov/snap/outreach/radio-buys\\_2010.htm](http://www.fns.usda.gov/snap/outreach/radio-buys_2010.htm)

## **A.20. Volunteer Services**

The SNAP-Ed State plan is approved for a specified level of funding. The Federal government is authorized to pay a State agency only for actual expenditures incurred. Volunteer services, do not represent any State expenditure or outlay, they are not considered as a cost to the program, and thus are not payable to a State agency. As specified under 7CFR 277.4(e), the value of services rendered or the value of goods including volunteer services, are not allowable for payment purposes under the SNAP.

## B. Other Miscellaneous Issues

### **B.1 Federal Royalty Rights (7 CFR 272.5(a) (4))**

The Food and Nutrition Service reserves a royalty-free, non-exclusive right to reproduce, publish, use or authorize others to use videos, photocopies, illustrations, computer programs such as CD-ROM and related source codes, literature, or other products produced with SNAP funds for government purposes. The State and local agencies may sell videos, photocopies, illustrations or literature to other States for SNAP-Ed purposes at the cost of reproduction, plus shipping and handling. If a State agency (or local agency) realizes Program Income from the sale of nutrition education materials, [videos, literature, etc. paid with Federal dollars], it shall report the amount to FNS as program income on the SF-269 form. Any program income earned through the sale of print and audiovisual materials produced under the grant shall be used to reduce the cost of the grant to FNS. The gross amount of Program Income may be reduced by the cost of producing that income. For example, re-production costs may be deducted from the gross amount of Program Income.

### **B.2 Disclosure and Sharing of Case File Information (7CFR 272.2)**

Under the provisions of both the Food and Nutrition Act of 2008 (the Act) and SNAP regulations, case file information on SNAP recipients is considered confidential and may not be released unless certain conditions are met. The Act provides a limited exception to the confidentiality provision. Disclosure of information obtained from applicant households may be made only to persons directly connected with the administration of SNAP.

Previous guidance noted that the Expanded Food and Nutrition Education Program, administered by the USDA National Institute of Food and Agriculture (NIFA), met the exception provision of the Act and could therefore request certain recipient information from the State agency. This was not intended to limit the exemption provision to this Program or agency. Any organization that has a legal agreement with the State agency, may, upon request to the State SNAP agency, be permitted access to recipient information. Since the type of information requested may require State designed ad hoc reports, it is best to not request local offices to provide information. Request examples may include non-financial information such as name of head of households with children, address, telephone numbers, or address labels for all elderly by zip codes. The information may be released solely for administration of the SNAP. In this case, this means for assistance in targeting and delivering nutrition education to SNAP applicants and participating households. The receiving organization shall assure that the information is shared only with relevant persons for the purpose of the targeting and delivering nutrition education, and shall protect it from disclosure to other parties. Each State agency will determine the scope and type of information, based on its judgment that may be released for purposes of providing nutrition education to applicable households.

### **B.3 Scholarships and Tuition (2CFR 220 (OMB Circular A-21))**

2 CFR 220 (OMB Circular A-21) makes a distinction between scholarships, fellowships and other similar financial transactions, and tuition remission and similar work/study payments. For scholarships, costs may only be charged if (1) the purpose of the scholarship is for training of selected students, and (2) approval is granted by the grantor agency. There would need to be a necessary and reasonable judgment for approval of any scholarship payment shown as a cost to SNAP-Ed. In general, this is not a cost that would be necessary and reasonable for the purposes of

this grant. In the event the scholarship is based on research activity, FNS would normally not accept the cost as being necessary and reasonable. The primary function of SNAP-Ed is teaching nutrition education to SNAP eligibles. While basic research may be a commendable activity for developing new methods or data, it is beyond the basic purpose of this grant.

Tuition remission on the other hand may be allowable in whole or in part, depending on the situation. The criteria for approval are:

1. There is a bona fide employer-employee relationship between the student and the institution for the work performed.
2. The tuition or other payments are reasonable compensation for work performed and are conditioned explicitly upon the performance of necessary work. Again any research activity should be carefully reviewed and in most cases not approved due to the fundamental differences in our grants and other grants provided for nutrition education.
3. It is the institution's practice to similarly compensate students in non-Federally funded activities as well as federally funded grants.

Students who are working on SNAP-Ed under a tuition remission situation shall account for their time, as would any full time or part time staff. The financial review of this charge shall take into account both the type of work performed and the number of hours worked. As with any charge, tuition remission can only be charged by the percentage of time that the student or employee worked on SNAP-Ed. If the student is working 50% of their time on SNAP-Ed, only 50% of the tuition may be charged to FNS. Again, a necessary and reasonable judgment should be made as to the purpose of the work and its impact on SNAP-Ed.

## B.4 Examples of Allowable and Unallowable Costs

The following table provides some examples of allowable and unallowable costs.

ALLOWABLE	UNALLOWABLE
<b>Literature/Materials/Audiovisuals</b>	
<ul style="list-style-type: none"> <li>• The purchase of FNS nutrition education/promotion materials that address SNAP-Ed topics and are for use with SNAP eligibles.</li> <li>• The purchase of other nutrition education materials, when there are no FNS materials available that address SNAP-Ed topics and will be used with persons eligible for the SNAP.</li> <li>• The production of nutrition education materials, for which there is no other existing comparable material that support the State’s goals and objectives for SNAP-Ed and will be distributed to SNAP eligibles. It is encouraged that States collaborate with other FNS programs on the messages conveyed in and the costs of education materials. The State agency shall (7CFR 277 (OMB Circular A-87)) describe the method used for allocating costs between the programs.</li> </ul>	<ul style="list-style-type: none"> <li>• Costs for any nutrition education materials that have already been charged to another Federal or private program or source.</li> <li>• Any material that endorses or promotes brand name products or retail stores.</li> <li>• Manufacturer’s or store (cents off) coupons.</li> <li>• Influencing a store’s pricing policy.</li> <li>• Any activity or material to lobby or influence Federal, State or local officials to pass or sign legislation or to influence the outcomes of an election, referendum or initiative.</li> <li>• Negative written, visual, or written expressions about specific foods, beverages, or commodities.</li> </ul>
<b>Social Marketing Campaigns</b>	
<ul style="list-style-type: none"> <li>• Local radio and television announcements of nutrition education events for SNAP eligibles.</li> <li>• Appropriate social marketing campaigns that target nutrition messages to SNAP eligibles and are delivered, with an approved exclusivity waiver, in areas/venues where at least 50 percent of persons have incomes equal to or less than 185% of poverty guidelines or thresholds.</li> </ul>	<ul style="list-style-type: none"> <li>• Social marketing campaigns that target the general population. In some instances, prorated costs based upon the number of likely SNAP eligibles (<math>\leq</math> 130% of poverty guidelines/thresholds, with certain exceptions) that will be reached with the campaign may be allowed.</li> <li>• Nutrition education messages which convey negative messages or disparage specific foods, beverages or commodity, or which are not consistent with the current Dietary Guidelines for Americans and MyPyramid.</li> <li>• Television and radio announcements/advertisements that do not include a brief message about the SNAP, its benefits and how to apply.</li> </ul>

ALLOWABLE	UNALLOWABLE
<b>Equipment</b>	
<ul style="list-style-type: none"> <li>• Purchase of office equipment. A county can donate equipment and use fair market value; however, any fair market value has to be adjusted to reflect Federal funding provided for the equipment. (This can be arrived at by multiplying the fair market value times the State’s percentage share invested in the equipment.</li> <li>• Equipment shared with non-SNAP users when cost-shared with those users</li> <li>• Kitchen appliances only with justification of reasonable and necessary need.</li> </ul>	<ul style="list-style-type: none"> <li>• Equipment that exceeds prior approval thresholds (i.e. \$5,000) unless such prior approval is received from FNS.</li> <li>• Medical equipment</li> </ul>
<b>Food Samples, Supplies and Provisions</b>	
<ul style="list-style-type: none"> <li>• Cost of food for recipe/taste testing purposes and cost of kitchen equipment and supplies necessary for food storage, preparation and display of food prepared for demonstration purposes.</li> <li>• Food samples associated with nutrition education lessons.</li> </ul>	<ul style="list-style-type: none"> <li>• Ongoing snack or food service</li> <li>• Meal sized portions or complete meal service</li> <li>• Cost of food provided as groceries or supplemental food</li> </ul>
<b>Nutrition Education</b>	
<ul style="list-style-type: none"> <li>• Classroom setting (salaries, space, equipment, materials) for SNAP eligibles on nutrition related topics (e.g., food budgeting, preparation, safety). Primary purpose of class shall (7 CFR 272.2) be to provide nutrition education. If nutrition education is included with other topics, only that portion of class pertaining to nutrition education is an allowable cost.</li> <li>• Physical activity demonstration, promotion, referral that includes a nutrition message.</li> </ul>	<ul style="list-style-type: none"> <li>• Classes that are designed to provide case management or “life skills” training (e.g., classes on English as a second language, parenting, child development, crisis management, rental information).</li> <li>• Medical nutrition therapy and secondary prevention interventions (Refer to Appendix D, Definitions).</li> <li>• Weight loss classes, individualized meal plans, obesity treatment programs, etc.</li> <li>• Ongoing physical activity and exercise classes, equipment or facilities. (Refer to Appendix C: Physical Activity Cost Policy Section).</li> </ul>

ALLOWABLE	UNALLOWABLE
<b>Nutrition Education</b>	
<ul style="list-style-type: none"> <li>• The pro rata share of costs of classes that are provided in conjunction with another program (e.g., WIC), provided the State agency describes the method for allocating costs between the programs.</li> <li>• Breastfeeding education, promotion and support which is coordinated with WIC and which supplements and complements WIC services, rather than supplanting them.</li> <li>• Activities where the primary objectives pertain to allowable nutrition education but brief SNAP outreach messages are also shared with SNAP-Ed participants. SNAP information materials are available for free on the FNS Web site at: <a href="http://www.fns.usda.gov/SNAP//outreach/info.htm">http://www.fns.usda.gov/SNAP//outreach/info.htm</a></li> </ul>	<ul style="list-style-type: none"> <li>• Clinical health screening (i.e., cholesterol testing, body mass index and blood glucose testing, etc).</li> <li>• Distribution of nutrition education reinforcement items over \$4.00.</li> <li>• Nutrition education costs that are charged to another Federal program (e.g., WIC, EFNEP, Head Start, etc.)</li> <li>• Breastfeeding education, promotion and support that duplicates or otherwise is provided for under other funding sources such as WIC, EFNEP, or Head Start.</li> <li>• Education provided to incarcerated or institutionalized persons that are not eligible for the SNAP (i.e., persons in jails, prisons, nursing homes, mental institutions etc).</li> <li>• Most able-bodied students ages 18 through 49 who are enrolled in college or other institutions of higher education at least half time are not eligible for the SNAP and therefore not eligible for SNAP-Ed. For information on students that may be eligible: <a href="http://www.fns.usda.gov/SNAP/applicant_recipients/students.htm">http://www.fns.usda.gov/SNAP/applicant_recipients/students.htm</a></li> <li>• Activities where the primary objective(s) is to conduct outreach efforts for the SNAP or other programs.</li> </ul>
<b>Space Allocation</b>	
<ul style="list-style-type: none"> <li>• Space allocated between programs in which the plan for the space/cost allocation between programs is documented and the costs are tracked.</li> <li>• Space donated by local school districts, but only the cost of the space based on depreciation or use allowance.</li> </ul>	<ul style="list-style-type: none"> <li>• Commercial rental space charges cannot be used for publicly owned space.</li> </ul>

ALLOWABLE	UNALLOWABLE
<b>Staff and Training Costs</b>	
<ul style="list-style-type: none"> <li>• SNAP-Ed-related training for program delivery staff. The time volunteers of a public agency spend performing SNAP-Ed-specific duties. Time shall (7CFR 277 (OMB Circular A-87)) be charged at a rate commensurate with the duties being performed.</li> <li>• Staff time spent delivering nutrition education to SNAP eligibles. Time shall (7CFR 277 (OMB Circular A-87)) be charged at a rate commensurate with the duties being performed.</li> <li>• General briefings to community health care providers serving low-income communities about SNAP-Ed services in the community.</li> </ul>	<ul style="list-style-type: none"> <li>• The time volunteers of a non-public agency (e.g., faith-based organizations, many food banks, etc.) spend performing SNAP-Ed specific duties.</li> <li>• A physician’s time spent distributing nutrition flyers at health fairs when charges are based on a rate commensurate with his/her credentials as opposed to the duties he/she is performing.</li> <li>• University courses that are not relevant to the practical delivery of nutrition education to SNAP eligibles.</li> <li>• Training or development costs of food service workers or others not directly associated with delivery of SNAP-Ed.</li> </ul>
<b>Costs Associated with Other Activities</b>	
<ul style="list-style-type: none"> <li>• Reimbursement for personal costs (such as childcare, meals, lodging, and transportation) for recipients of SNAP-Ed to actively participate in focus groups, needs assessment and advisory groups to inform and improve SNAP-Ed effectiveness.</li> <li>• Nutrition education activities that promote the selection of healthy foods from vending machines.</li> <li>• Participation on relevant State and local advisory panels.</li> </ul>	<ul style="list-style-type: none"> <li>• Organized efforts to influence elected officials and lobbying for legislative/policy changes.</li> <li>• Costs associated with surveillance or surveys of the general population that are not prorated based on the number of likely SNAP eligible respondents (persons with incomes less than or equal to 130% of poverty guidelines/thresholds, with certain exceptions).</li> <li>• Costs associated with the establishment and maintenance of environmental or policy changes, such as staffing, infrastructure, equipment, space, land, construction or supplies.</li> <li>• Money, vouchers or passes provided to SNAP-Ed recipients to offset personal costs incurred so that they may attend nutrition education classes (e.g., for childcare and transportation expenses).</li> <li>• Childcare or transportation services provided for SNAP-Ed recipients in conjunction with SNAP-ED activities.</li> <li>• Reinforcement items over \$4.00. (Refer to Appendix C Cost Policy Section-Program Reinforcement Items)</li> </ul>

## Appendix D:

### Definitions

These definitions are for clarification of terms that may be used throughout the guidance.

**Activity** refers to actual work performed by program personnel to implement objectives.

**Administrative Costs** refers to the financial costs characterized by the following types of activities:

- Dollar value of salaries and benefits associated with staff time dedicated towards the *administration* of the SNAP-Ed program.
- Cost of training for performing administrative functions like record keeping and accounting, etc.
- Cost of reporting SNAP-Ed activities
- Operating Costs
- Indirect Costs for those administrative staff not covered above.
- Other overhead charges associated with administrative expenses (i.e. Space, Human Resource services, etc).

**Applicant** refers to person/households who have actually applied for the SNAP.

**Behavior** indicates action rather than knowledge or attitudes.

**Behaviorally Focused Nutrition Messages** are those that are (a) related to healthy food choices, for example, eating lower fat foods, adding one fruit each day, and switching to whole grain breads; (b) related to other nutritional issues, for example encouraging breast feeding practices, or physical activity (c) related to the environmental impact of dietary practices, including safe food handling, promoting community walking groups (d) related to food shopping practices that increase purchasing power and availability of food including using store coupons, joining store clubs for added discounts, and purchasing in bulk, and (e) food security such as applying for nutrition assistance programs (i.e. WIC, SNAP, Child Nutrition Programs, Food Distribution Programs, etc).

**Budget Projection, FNS-366A** is a budget report submitted by State agencies to FNS to request the amount of annual funds needed to operate the SNAP. It is the form used to support the annual funding request. Any need for additional funds require a revised 366A.

**Capital Equipment** is non-expendable property having a value of \$5000 or more per item at the time of acquisition. Capital equipment shall (7CFR 277 (OMB Circular A-87)) be inventoried and accounted for every two years by a physical inventory process. Capital equipment shall be disposed of in accordance with Federal property management requirements.

**Census Tracts** are small, relatively permanent geographic entities within counties (or the statistical equivalent of counties) delineated by a committee of local data users. Generally, census tracts have between 2,500 and 8,000 residents and boundaries that follow visible features. When first established,

census tracts are to be as homogenous as possible with respect to population characteristics, economic status and living conditions. However, between the 2000 Decennial Census and the 2010 Census the Bureau of Census developed and began collecting the American Community Survey (ACS) which is largely the same information as collected in the decennial census log form. In 2011, the Bureau released a 5-year ACS data file for 2005-2009. This file is large enough to identify the census tracts and may be used in targeting audiences in SNAP-Education delivery.

**Cognizant Federal Agency** refers to the Federal agency that has been identified by OMB that is responsible for establishing indirect cost rates. For more information see item A.2 Indirect Cost Rate in Appendix C.

<u>Organization</u>	<u>Cognizant Federal Agency</u>
State Public Assistance Agencies	Dept. of Health and Human Services (DHHS)
All Other State agencies	Federal agency identified by OMB
Educational Institution	Department of Education, Department of Defense-Naval Research or DHHS, depending on which provided more Federal funds over the last 3 years
Nonprofit Organization	Normally the Federal agency with the largest dollar value of award with the organization

**EARS** the Education and Administrative Reporting System (EARS), is an annual data and information collection process completed by SNAP State Agencies. It collects uniform data and information on nutrition education activities funded by the SNAP during the prior fiscal year.

**Effectiveness** is the extent to which pre-established objectives are attained as a result of program activity, as indicated by performance measures.

**Fiscal Year** is the Federal Fiscal Year that runs from October 1<sup>st</sup> of one year through September 30<sup>th</sup> of the following year.

**Food Bank** means a public or charitable institution that maintains an established operation involving the provision of food or edible commodities, or the products of food or edible commodities, to food pantries, soup kitchens, hunger relief centers, or other food or feeding centers that, as an integral part of their normal activities, provide meals or food to feed needy persons on a regular basis.

**Food Pantry** means a public or private nonprofit organization that distributes food to low-income and unemployed households, including food from sources other than the Department of Agriculture, to relieve situations of emergency and distress.

**Full-Time Equivalent (FTE)** employment, as defined by the Federal government, means the total number of straight-time hours (i.e., not including overtime pay or holiday hours) worked by employees divided by the number of compensable hours (2,080 hours) in the Fiscal year. According to this definition, annual leave, sick leave, compensatory time off and other approved leave categories are considered “hours worked” for purposes of defining FTE employment. States may define FTEs

differently than the Federal standard. States may use their own definition of FTEs in their SNAP-Ed Plan, but shall (7CFR 277 (OMB Circular A-87)) clearly state the definition and the basis for the calculation.

**Grantee** means the agency of the State responsible for administering SNAP. Federal funds are paid to this agency for all food costs, and for 100 percent of all non-food expenditures, including program administration and nutrition education. The grantee in turn takes agreements with local agencies (sub grantees) to conduct nutrition education activities. Federal funds are made available to pay for 100 percent of all allowable nutrition education costs on a payment basis.

**Indirect cost** rate is a rate typically computed by summing all indirect costs then divided the total by the Modified Total Direct Costs. The resulting percentage is applied to each grant to determine their share of the indirect or overhead costs. Indirect cost rates applied in the SNAP-Ed plan shall (7CFR 277 (OMB Circular A-87)) be documented through an indirect cost plan that is approved by a cognizant agency. If the rate is not approved, the computation of the rate shall be acceptable to FNS.

**Lobbying** is any activity or material to influence Federal, State, or local officials to pass, or sign legislation or to influence the outcomes of an election, referendum, or initiative.

**Low-income Persons** are people participating in or applying for the SNAP, as well as people with low financial resources defined as gross household incomes at or below 185 percent of poverty. National School Lunch Program data on number of children eligible for free and reduced price meals, which represents children in families with incomes at or below 185 percent of poverty, or Census data identifying areas where low income persons reside, are available data sources that can be used to identify low income populations. Participation in WIC may also be used as a proxy for low income since WIC participants have gross family incomes below 185 percent of poverty.

**Marketing Orders** generally refer to USDA or State programs that support prices and consumption of various fruits, vegetables, milk, eggs and meat programs. Funds are collected from the producers and used to publicize the item in question. Limits to production are also enforced. (For example both Florida and California have orange marketing order boards). With some constraints, money and services provided by marketing boards can compromise an allowable component of a State Plan. However, the promotion of a specific item (for example, only oranges) is not an allowable expense.

**Medical Nutrition Therapy Services** means the assessment of the nutritional status of patients with a condition, illness, or injury (such as diabetes, hypertension, gout, etc.) that puts them at risk. This includes review and analysis of medical and diet history, laboratory values, and anthropometric measurements. Based on the assessment, nutrition modalities most appropriate to manage the condition or treat the illness or injury are chosen and include the following:

- Diet modification and counseling leading to the development of a personal diet plan to achieve nutritional goals and desired health outcomes.
- Specialized nutrition therapies including supplementation with medical foods for those unable to obtain adequate nutrients through food intake only; parenteral nutrition delivered via tube feeding into the gastrointestinal tract for those unable to ingest or digest food; and parenteral nutrition delivered via intravenous infusion for those unable to absorb nutrients.

Medical Nutrition Therapy Services are not allowable SNAP-Ed costs.

**Needs Assessment** is the process of identifying and describing the extent and type of health and nutrition problems and needs of individuals and/or target populations in the community.

**Non-Capital Equipment** is property having a value of less than \$5000 per item at the time of acquisition. This equipment is generally treated as supplies and is not required to be included in any property management system. Treatment and disposition of non-expendable equipment should be done in accordance with State or local property management requirements.

**Non-Federal Public Agency** is a State or local government agency or entity, including State universities and colleges, and instrumentalities of the State, such as organizations that are chartered by State or local governments for public purpose.

**Nutrition Education Plan** is an official written document that describes SNAP-Ed services to be provided. It should clearly describe goals, priorities, objectives, activities, procedures used, and resources including staff and budget, and evaluation method.

**Outreach** is providing information or assistance to individuals who might be eligible for the SNAP <http://www.fns.usda.gov/SNAP/> in order to help them make an informed decision whether to apply for the Program. State SNAP agencies seeking Federal funding for Outreach activities may annually submit an Outreach plan to FNS for approval.

**Plan Confirmation** means a time and effort reporting process that is an acceptable alternative to time studies or time records for universities and colleges only. The use of Plan Confirmation is allowable only for those schools that have submitted a request to the Division of Cost Allocation, DHHS, and have had an audit completed which supports the use of Plan Confirmation. Universities which have pending requests, and for whom audit approval has not been received, will be required to continue to use time records to account for charges to FNS (Normally this will not be an issue since audits normally occur at least every two years). For further information refer to 2 CFR 220 (OMB Circular A-21). If approval through the audit process has not occurred, the Division of Cost Allocation, DHHS, should be contacted as follows:

The U.S. Department of Health and Human Services

Office of the Secretary  
Division of Cost Allocation  
200 Independence Ave, S.W.  
Washington, D.C. 20201  
Telephone: 202-401-2808  
Toll Free: 1-877-696-6775

**Poverty Guidelines** are an administrative version of the Federal poverty measure and are issued annually by the Department of Health and Human Services in the Federal Register. Sometimes referred to as the Federal Poverty Level, these guidelines are often used to set eligibility for certain programs. <http://aspe.hhs.gov/poverty/index.shtml>.

**Poverty Thresholds** are the statistical version of the Federal poverty measure and are released annually by the Census Bureau. They are used to estimate the number of persons in poverty in the United States or in states and regions. [www.census.gov/hhes/www/poverty.html](http://www.census.gov/hhes/www/poverty.html)

**Project** means a discrete unit of nutrition education intervention at the local level, which is distinguished by a specifically identified low-income target population. The term “Project” is intended to apply to a geographic area primarily for the purpose of developing and supporting a request for an exclusivity waiver.

**Public Education Outreach Message** is a brief message providing information on the availability, benefits, and application procedures for the SNAP, preferably with information on local application sites, (or a toll-free number, or other useful information on how to find services). When SNAP-Ed is provided to low-income persons not participating in the SNAP, by virtue of approved waivers, a critical component of the nutrition message should be to provide an educational message about the availability and benefits of the program and how to apply. This should be done “in the context” of nutrition education, meaning the SNAP should routinely be referenced in nutrition education sessions and on materials as an important source of nutrition assistance to help low income persons achieve a better diet.

**Public Housing**, as defined by the U.S. Department of Housing and Urban Development, is apartments for low-income people, operated by local housing agencies.

**Random Moment Time Studies** are time studies conducted through the use of a sampling methodology rather than through a log of each time period worked by the employee. The studies are used to determine the percentage of time worked by activity or program. The purpose of the study is to allocate the cost of time worked among the various activities and funding sources.

**Secondary Prevention Interventions** mean activities that help people who already have a chronic disease cope with and control these conditions in order to prevent additional disability. Secondary prevention interventions are not allowable costs in the SNAP-Ed.

**SNAP- Ed** is a set of learning experiences designed to facilitate the voluntary adoption of eating and other nutrition-related behaviors conducive to health and well being for those on a limited budget.

**SNAP Eligibles** are persons that meet criteria for participation in the Supplemental Nutrition Assistance Program (SNAP) as described in Federal legislation and regulations. Persons that participate in the formal SNAP certification process and are determined eligible (e.g., SNAP participants) clearly meet these criteria. Some persons that are eligible for the SNAP do not apply. These non-participating eligibles are not as easy to identify because they typically have not participated in a formal certification process. SNAP eligibles are the recognized target audience for SNAP-Ed.

**Social Marketing** is defined as a disciplined, consumer-focused, research-based process to plan, develop, implement and evaluate interventions, programs and multiple channels of communications designed to influence the voluntary behavior or a large number of people in the target audience. (Adapted from Alan Andreasen 1995 and Social Marketing Division of Society for Nutrition Education.)

**Soup Kitchen** means a public or charitable institution that, as an integral part of the normal activities of the institution, maintains an established feeding operation to provide food to needy homeless persons on a regular basis.

**State Agency** means the agency of State government, including the local offices thereof, which is responsible for the administration of the federally aided public assistance programs within the State, and in those States where such assistance programs are operated on a decentralized basis; it includes the counterpart local agencies, which administer such assistance programs for the State agency.

**State Nutrition Action Coalition (SNAC)** are statewide cross-program nutrition education plans for the USDA nutrition assistance programs. These plans focus on a single goal and promote collaboration and use of integrated nutrition education approaches across FNS Programs to connect the efforts and resources of all the USDA programs to achieve that goal. Since February 2003, State teams consisting of key staff representing USDA nutrition assistance programs have worked together to develop and implement their action plans.

**Sub-grantee** means the organization or person to which a State agency, as grantee, takes an agreement to conduct nutrition education activities. Federal funds pay the grantee for 100 percent its allowable administrative costs, including nutrition education. The grantee in turn generally will pay sub grantees for 100 percent of their allowable costs. The sub grantee is accountable to the grantee for the use of funds provided, and the grantee is accountable to the Food and Nutrition Service for the use of all Federal funds provided.

**Target Audience** refers to SNAP Participants and Eligibles.

**Unduplicated Count** refers to the number of different individuals who receive any SNAP-Ed direct education. Each individual counts as one participant, regardless of the number of times he or she has participated in direct education activities.

## **Appendix E:**

### **List of Abbreviations**

ADP- Automated Data Processing

BPS- Budget Project Statement

CFR- Code of Federal Regulations

DOJ- Department of Justice

EARS-Education and Administrative Reporting System

EFNEP- Expanded Food and Nutrition Education Program

ESLS- Eat Smart, Live Strong

FDPIR-Food Distribution Program on Indian Reservations

FNS- Food and Nutrition Service

FPRS- Food Program Reporting System

FY- Federal Fiscal Year

FY- Federal Fiscal Year

ITO- Indian Tribal Organization

LYFFTF- Loving Your Family Feeding Their Future

MOU- Memorandum of Understanding

NAL- National Agricultural Library

NIFA-National Institute of Food and Agriculture

OGC- U.S. Department of Agriculture Office of General Council

OMB- Office of Management and Budget

PDF- Portable Document Format

PSA- Public Service Announcement

SNAP-Ed- Supplemental Nutrition Assistance Program Education

SNAP- Supplemental Nutrition Assistance Program

SSI- Supplemental Security Income

SNAC-State Nutrition Action Coalition

TA- Technical Assistance

TANF- Temporary Assistance for Needy Families

WIC- Special Supplemental Food Program for Women, Infants and Children

USDA- United States Department of Agriculture

## Appendix F:

### Supplemental Nutrition Assistance Program Education (SNAP-Ed) Connection Web Site

The Food and Nutrition Service (FNS) and the National Agriculture Library's Food and Nutrition Information Center (FNIC) sponsor an online resource, called the **Supplemental Nutrition Assistance Program Education Connection**. This web site is designed to improve access to Supplemental Nutrition Assistance Program nutrition resources. Educators nationwide can use this site to identify curricula, lesson plans, research, training, tools and participant materials. The SNAP-Ed Connection is available at [snap.nal.usda.gov](http://snap.nal.usda.gov)

At the web site, you will find nutrition tools and information specifically addressing the needs of the low-income audiences. This resource is not restricted to materials developed with funding from the SNAP. Further, materials on the site are reviewed for basic quality, but their inclusion on the site does not constitute endorsement by USDA. Major sections of the site include a Training Center, Resource Library, Hot Topics A-Z and National SNAP-Ed.

We encourage you to subscribe to SNAP-Ed-Talk, an electronic mailing list for national, regional and State, and local SNAP-Ed providers. Information about how to join the list is available on the SNAP-Ed Connection web site.

Also, you're invited to share your materials with FNIC so that others may benefit from your experience and expertise. A hard copy and an electronic copy of each document are preferred. Please submit nutrition education or training materials such as videos, curricula, games, handouts, booklets, displays, web-based modules, and lesson plans to the SNAP-Ed Connection Resource System for use on the Web site or in the database. For details on how to submit materials, visit the Sharing Center on the SNAP-Ed Connection Web site at this address:

[http://www.nal.usda.gov/fsn/sharing\\_center\\_submission.shtml](http://www.nal.usda.gov/fsn/sharing_center_submission.shtml) . You may also send an email to:

[SNAP-Ed@ars.usda.gov](mailto:SNAP-Ed@ars.usda.gov) or call (301) 504-5414. If you would like to donate a copy of a new resource for review, please address it to:

SNAP-Ed Connection  
Food and Nutrition Information Center  
USDA/ARS National Agricultural Library  
10301 Baltimore Avenue, Suite 105  
Beltsville, MD 20705-2351

Or you may use the following form as a handy way to submit items.

Continuing updates and new developments are planned for the SNAP-Ed Connection website so check back often for new additions. If you have any questions about the resource sharing system or information provided on the web site, contact staff by telephone at (301) 504-5414, by fax at (301) 504-6409, or by e-mail at [SNAP-Ed@ars.usda.gov](mailto:SNAP-Ed@ars.usda.gov)

## SNAP-Ed Connection Resource Sharing Form

Tell us about your nutrition education materials by completing the form below. We review each item submitted. Materials that meet the scope and criteria of the SNAP-Ed Connection are included in the resource system. Complete this form and return it by mail to the address below. You can also complete this form online at: [http://www.nal.usda.gov/fsn/sharing\\_center\\_submission.shtml](http://www.nal.usda.gov/fsn/sharing_center_submission.shtml)

If you would like to donate a copy of a new resource for us to review, please address it to:

**SNAP-Ed Connection  
Food and Nutrition Information Center  
National Agricultural Library  
10301 Baltimore Avenue, Suite 105  
Beltsville, MD 20705**

### **Part I. About You**

Please provide your contact information in case we have questions about this resource.

1. Your name:
2. Your e-mail address: \_\_\_\_\_
3. Which best describes your relationship to the resource you are submitting?  
 I developed this material (complete sections I-III).  
 I distribute or handle ordering for this material (complete sections I, II and IV).  
 I use this resource but I am not the developer or distributor of this material  
(Complete sections I and II).

### **Part II. Resource Information**

Please tell us about the material you are submitting.

1. Resource Title: \_\_\_\_\_
2. Developer/Author(s): \_\_\_\_\_
3. Primary Author's Affiliation: \_\_\_\_\_
4. Publication/Revision Date: \_\_\_\_\_ Edition: \_\_\_\_\_
5. How is this material used?  
 Consumer Education  
 Staff Training  
 Background Information/Research
6. What languages are available: \_\_\_\_\_
7. If this resource is available online, please provide the web site address URL):  
\_\_\_\_\_
8. Briefly, describe your resource:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. How do we contact the publisher/distributor:

Publisher name: \_\_\_\_\_  
Street address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
E-mail: \_\_\_\_\_

**Part III. Author/Developer Information**

Please share information about how this material was developed.

1. Please describe the target audience.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. If you used a readability formula to test this material, please provide the following:

Name of formula used: \_\_\_\_\_

Score/grade level: \_\_\_\_\_

3. Please describe any pilot studies conducted:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Does this material include a validated evaluation tool?

Yes  No

5. What is the funding source for the development of this material:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Comments:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Part IV. Publisher/Distributor Information**

How can this material be ordered or accessed?

1. ISBN number (if applicable): \_\_\_\_\_

2. Please indicate how this material may be used by other educators:

\_\_\_ May copy for educational purposes without prior permission

\_\_\_ Permission needed to copy

\_\_\_ May not copy

3. How can educators order this material?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. What is the cost of this material? (price/unit) \_\_\_\_\_

5. Can this material be ordered in quantity?

\_\_\_ Yes \_\_\_ No

6. Describe any bulk discounts available.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Comments

\_\_\_\_\_  
\_\_\_\_\_  
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\_\_\_\_\_

*According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0518-0031. The time required to complete this information will vary based upon ones relationship to the resource being submitted. It is estimated to take 8 minutes to complete the entire survey. This includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you need help with this form, please contact us. [SNAP\\_Ed@ars.usda.gov](mailto:SNAP_Ed@ars.usda.gov)*

Return to:

**SNAP-Ed Connection**

**Food and Nutrition Information Center**

**National Agricultural Library**

**10301 Baltimore Avenue, Suite 105**

**Beltsville, MD 20705**

## Appendix G:

### Timeline

<b>April 1-August 15</b>	<b>States may Submit Plans for the coming FY to the FNS Regional Office</b>
<b>May 01</b>	<b>Last date for submission of Plan Amendments for current year with New/Significantly Revised Activities to FNS Regional Office</b>
<b>August 15</b>	<b>Plans for coming year are due to FNS Regional Office</b>
<b>October 1</b>	<b>States May Receive Response/Approval for Plan from Region</b> if plans are submitted in an appropriate format and all necessary data is provided. Plans that are not in the format of the Guidance and/or do not supply data requested in the Guidance may require additional review and hence a longer approval time. Extraneous information and unnecessary documentation may also hinder plan review or result in a denial. FNS will approve a plan in full or in part or not at all. If only portions of the plan are approvable FNS will approve those portions.
<b>November 30</b>	<b>Annual Report for Previous FY Due to FNS Regional Office</b>
<b>December 31</b>	<b>Submission of EARS data through FPRS online system</b>

## Appendix H:

### Supplemental Nutrition Assistance Program Education (SNAP-Ed) Guiding Principles

#### Introduction:

The United States Department of Agriculture's (USDA) Supplemental Nutrition Assistance Program (SNAP) provides nutrition assistance benefits to eligible low-income households that can be used to purchase foods from authorized food retailers, thereby providing a food security safety net. When Congress created the SNAP in the early 1960's, it envisioned a program that provided households with access to a healthy, nutritious diet. Today, as the SNAP has grown into the largest Food and Nutrition Service (FNS) nutrition assistance program, that goal remains central to its mission and purpose.

FNS encourages and supports nutrition education designed to help SNAP participants and eligibles choose healthy foods and active lifestyles. Under current regulations, State SNAP agencies have the option to provide, as part of their administrative operations, nutrition education for persons who are eligible for the Program. States must (7CFR 272.2) submit an annual plan to FNS for approval.

State SNAP agencies contract with National Institute of Food and Agriculture (NIFA), State Departments of Health or Education, and State-level nutrition networks or others to provide nutrition education services to the target audience. In 1992, seven State agencies had approved Supplemental Nutrition Assistance Program Education (SNAP-Ed) plans; this has grown to include fifty-two State agencies in 2010. Federal funds approved for SNAP-Ed grew from \$661,000 in 1992 to over \$380 million in 2010.

The growing interest in providing nutrition education as part of the SNAP is supported by clear evidence of need. A USDA study from 2000 indicates many low-income adults do not know specific facts related to what types of dietary practices are healthful, such as what specific foods they should eat to maintain a healthy diet.<sup>1</sup> Attention has focused on providing nutrition education and services to address the rising epidemic of overweight and obesity in America. Here, too, the need is great -- for example, approximately 65% of adults in America and 16% of children and adolescents are overweight<sup>2</sup>—putting them at risk for serious health problems including heart disease, hypertension, diabetes and some cancers.

Low-income households have a higher prevalence of health conditions related to poor nutrition than households with higher incomes. Women with lower family income levels are 50% more likely to be obese than those with higher family incomes. Children of overweight mothers are more likely to be overweight themselves by age 6 than children of lean mothers.<sup>3</sup> And, while obesity rates have doubled in children and tripled in adolescents over the last two decades, they have increased the most among those in the lowest income levels, especially African American and Mexican American children.<sup>4 5 6</sup>

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<sup>1</sup> Gleason P, Rangarajan A, Olson C. Dietary Intake and Dietary Attitudes Among Food Stamp Participants and Other Low-Income Individuals. USDA, September 2000.

<sup>2</sup> Health, United States, 2003, Chartbook on Trends in the Health of Americans, National Center for Health Statistics, CDC.

<sup>3</sup> Berkowitz RI, Stallings VA, Maislin G, Stunkard AJ. Growth of children at high risk for obesity during the first 6 y of life: implication for prevention. *Am J Clin Nutr.* 2005; 81:140-6.

<sup>4</sup> The Surgeon General's Call To Action To Prevent and decrease Overweight and Obesity 2001, U. S. Department of Health and Human Services, Public Health Service, Rockville, MD.

<sup>5</sup> Ogden CL, Flegal KM, Carroll MD, Johnson CL. Prevalence and trends in overweight among US children and adolescents, 1999-2000. *JAMA* 288: 1728-32. 2002.

<sup>6</sup> Health, United States, 2002, National Center for Health Statistics, CDC

The Guiding Principles in this document articulate FNS' vision for SNAP-Education and address the nutrition concerns and food budget constraints faced by SNAP eligibles. While these Guiding Principles establish standards of excellence for SNAP-Education, the State Nutrition Education Plan Guidance provides the detailed policy that governs SNAP-Education operations. Together, these documents are key resources for States that provide SNAP-Education.

These Guiding Principles recognize that achieving and sustaining positive change in eating and nutrition-related behaviors is a complex challenge involving a dynamic interplay between factors that include individual characteristics; physical, social, cultural contexts; and the larger social processes.<sup>7</sup> Consistent nutrition education messages need to be communicated through multiple channels that reach people where they live, work, learn and play in order to have an effect on this multiplicity of factors.

### **Guiding Principles:**

#### **1. Supplemental Nutrition Assistance Program Education (SNAP-Education) is intended for SNAP participants and individuals eligible for the Supplemental Nutrition Assistance Program.**

This SNAP-Education principle supports the overall goal of the SNAP which is to provide eligible low-income households with nutrition benefits to ensure that they have access to an adequate diet. FNS defines SNAP eligibles as persons that meet criteria for participation in the SNAP as described in Federal legislation and regulations. Persons that participate in the formal SNAP certification process (e.g., SNAP participants) are the only persons known with certainty to meet criteria for participation in the program. As such, SNAP participants, who currently number over 43 million of the nation's neediest people, are at the core of SNAP-Education efforts. Because persons eligible for the SNAP may participate in the Food Distribution Program on Indian Reservations (FDPIR) in lieu of the SNAP, FDPIR participants are considered as participating in the SNAP and may receive SNAP-Education.

Many persons are eligible for SNAP benefits but do not participate. FNS is committed to providing SNAP eligibles with the opportunity to receive nutrition education. The Agency has determined that a household income of  $\leq 130\%$  of the Federal Poverty Guidelines (FPG) is generally a reasonable eligibility proxy for the purpose of receiving SNAP-Education. For example, participation in the Temporary Assistance for Needy Families (TANF) program is a reasonable proxy for SNAP eligibility as it concerns SNAP-Education. Persons typically ineligible for the SNAP (i.e., incarcerated persons, boarders, etc.) are exceptions to this proxy criterion.

Furthermore, certain settings offer a high likelihood of reaching Program eligibles and are appropriate locations for SNAP-Education delivery. When SNAP-Education is delivered through the following venues, waivers to the SNAP exclusivity rule are not required.

- SNAP/TANF offices
- Public Housing Sites
- Food Banks
- Job readiness or training programs for SNAP/TANF recipients

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<sup>7</sup> Promoting Health, Intervention Strategies from Social and Behavioral Research. Institute of Medicine, National Academy Press, Washington, D.C. 2000. p. 283.

States may deliver SNAP-Ed to Program eligibles through other venues if 1) the audience meets the general low-income standard (i.e. > 50% of persons have household incomes of  $\leq$  185% of the FPG) and 2) they have an approved exclusivity waiver. Examples of such venues may include schools, child care centers, Summer Food Service Program sites, community centers, and grocery stores. SNAP-Ed State Plan Guidance will explain the required documentation needed for venues requiring a waiver. For any other venue than those previously described, States must prorate SNAP-Ed's share of the total cost based on the estimated number of SNAP eligibles that may receive the nutrition education. Details pertaining to cost accounting are described in the State Nutrition Education Plan Guidance.

**2. Supplemental Nutrition Assistance Program Education is a set of learning experiences designed to facilitate the voluntary adoption of eating and other nutrition-related behaviors conducive to health and well being for those on a limited budget.**

This is based on a widely accepted definition of nutrition education,<sup>8 9</sup> modified to qualify that nutrition education for SNAP eligibles considers their limited budgets and resources. Specifically, it emphasizes how Program eligibles can efficiently utilize their food resources; including SNAP benefits and as appropriate other FNS nutrition assistance programs, to facilitate consistent access to nutritious foods.

**3. Supplemental Nutrition Assistance Program Education (SNAP-Ed) has the greatest potential impact on the nutrition-related behaviors of the overall SNAP population when it targets women and children in SNAP eligible households.**

Despite an increasing investment in SNAP-Ed, budgeted State and Federal funds for SNAP-Ed totaled less than \$19.00 per SNAP participant in FY 2004. To get the most out of this modest investment, FNS encourages States to focus their resources on changing the nutrition-related behaviors of key subsets of the SNAP population. Specifically, FNS encourages targeting first, women and then children in households participating in the SNAP.

Women are viewed as gatekeepers of what food is purchased. The grocery industry reports that 69% of primary shoppers are female heads of household.<sup>10</sup> A survey by the American Dietetic Association Foundation reports on the key role mothers have as models for their children's eating habits.<sup>11</sup> Parents point out that children and teenage youth affect family grocery and meal choices.<sup>12</sup> Together, mothers and their children make or influence food purchases and meal decisions.

Targeting SNAP-Ed to these two groups captures a majority of SNAP recipients. In FY 2003, 21% of SNAP participants, or almost five million, were women living in households with children. An additional 51% of participants were children. Further targeting may be necessary to ensure nutrition education has sufficient intensity.

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<sup>8</sup> Green LW, Kreuter MW. Health promotion planning: an educational and environmental approach. Mountain View, CA: Mayfield, 1991.

<sup>9</sup> Society for Nutrition Education. Joint position of Society for Nutrition Education (SNE), The American Dietetic Association (ADA), and the American School Food Service Association (ASFSA); school-based nutrition programs and services. *J Nutr Educ* 1995; 27:58-61.

<sup>10</sup> Progressive Grocer Annual Report, April 2003.

<sup>11</sup> "Children's Role Models for Health: Parents Outrank Others." Survey by American Dietetic Association Foundation, January 2003.

<sup>12</sup> Kraak, V. The Influence of Commercialism on the Food Purchasing Behavior of Children and Teenage Youth. *Family Economics and Nutrition Review*. 1998, 11 (3): 15-24.

FNS recognizes that women with children are also targeted, to varying degrees, by WIC and the Expanded Food and Nutrition Education Program (EFNEP). This shared targeting provides an opportunity to reinforce and build upon nutrition education messages across programs using multiple sources. FNS believes that this will increase the likelihood of positive changes in eating and nutrition-related behaviors for a significant portion of the SNAP population and that effective State plans will duplicate this national focus. Furthermore, SNAP-Education activities for children which include related parental activities hold greater promise of success because they reinforce nutrition messages in the home setting.

FNS' national focus on women and their children does not preclude States from also offering SNAP-Education to other SNAP audience segments such as the elderly, men, or adults without children. A needs assessment of the SNAP eligible population will help States target SNAP-Education effectively and efficiently to yield the greatest change in dietary behavior among the largest number of SNAP eligibles.

**4. Supplemental Nutrition Assistance Program Education (SNAP-Education) uses science-based, behaviorally-focused interventions and can maximize its national impact by concentrating on a small set of key outcomes.**

Current FNS policy calls for science-based Supplemental Nutrition Assistance Program Education. FNS acknowledges that science-informed interventions based on the best available evidence may be used. This Guiding Principle clarifies the meaning of "science-based" to reflect FNS's expectation that SNAP-Education operators focus on the following:

- 1) States demonstrate through research review or sound self-initiated evaluation, if needed, that interventions have been tested and demonstrated to be meaningful for their specific target audience(s), implemented as intended or modified with justification, and shown to have the intended impact on behavior.
- 2) States incorporate general education features that have been shown to be effective such as:
  - behaviorally-focused messages;
  - use of motivators and reinforcements that are personally relevant to the target audience;
  - use of multiple channels of communication to convey messages;
  - approaches that provide for active personal engagement; and
  - intensity/duration that provides the opportunity for multiple exposures to the message.

FNS encourages States to use a variety of approaches in their delivery of SNAP-Education. Interactive group and one-on-one instruction and media campaigns are among the approaches used to deliver nutrition education to SNAP eligible audiences. Social marketing plays an important role in the design and implementation of many SNAP-Education activities. This approach emphasizes:

- targeting an identified segment of the SNAP eligible audience;
- identifying nutrition needs of the target audience and associated behaviors and perceptions about reasons for and against changing behavior; and

- interacting with the target audience to test the message, materials, approach and delivery channel to ensure that these are understood and meaningful (are likely to lead to behavior change).

Social marketing and other behavior change models include intervention strategies at individual, organizational /institutional and societal levels. FNS recognizes the potential impact of environmental factors, including institution policy, neighborhood design, food access and advertising on eating and physical activity behaviors. However, many activities associated with environmental and policy changes are beyond the scope of SNAP-Ed and not allowable for reimbursement through the SNAP. SNAP-Ed can help support State environmental changes, which target the SNAP eligible population, through health promotion efforts (e.g. promoting use of a walking trail, selection of healthy foods from vending machines, etc.) and by serving on relevant State and local advisory panels. Areas that, in general, fall outside the Agency’s “reasonable and necessary” criteria include funding for infrastructure changes, like establishing Farmer’s Markets or building sidewalks, and organized efforts to influence elected officials or lobbying for legislative/policy changes.

While there are many important nutrition-related issues that impact the SNAP eligible audience, FNS encourages States to focus their SNAP-Ed efforts on the following behavior outcomes:

- Eat fruits and vegetables, whole grains, and nonfat or low-fat milk or milk products every day.<sup>13</sup>
- Be physically active every day as part of a healthy lifestyle.<sup>14</sup>
- Balance calorie intake from foods and beverages with calories expended.<sup>15</sup>

These behaviors are associated with a reduced risk of some forms of cancer, type 2 diabetes, and coronary heart disease. It is appropriate to focus on these behavior outcomes for SNAP-Ed since low-income individuals often experience a disproportionate share of diet-related problems that are risk factors in the major diseases contributing to poor health, disability and premature death. Other science-based messages that are consistent with the *Dietary Guidelines for Americans* and the USDA Food Guidance System are also allowable.

**5. Supplemental Nutrition Assistance Program Education (SNAP-Ed) can maximize its reach when coordination and collaboration take place among a variety of stakeholders at the local, State, regional and national levels.**

There are many challenges that low-income people meet head-on every day in trying to achieve a healthy lifestyle. The likelihood of nutrition education messages successfully changing behaviors is increased when consistent and repeated messages are delivered through multiple channels.

Cross-program coordination and collaboration at the State and community levels include working together especially with other FNS programs toward a common goal to reinforce and amplify each other’s efforts. Collaborative projects necessitate commitments of staff support and time, as well as cost sharing among all involved entities.

To further support healthy lifestyles, State agencies are encouraged to provide wellness training for

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<sup>13</sup> 2005 Dietary Guidelines for Americans (DGAs) [5-13 servings (2 ½ -6 ½ cups) of fruits and vegetables each day; 3 servings (approximately 3 ounces) of whole-grains each day; and 2-3 servings (cups) of nonfat or low-fat milk or milk products each day.]

<sup>14</sup> 2005 DGAs [For adults - 30 minutes of at least moderate physical activity on most days and for children and adolescents – 60 minutes of moderate to vigorous physical activity on most days.]

<sup>15</sup> 2005 DGAs

human service professionals to increase their awareness of healthy eating and active living so that they may serve as models for the population being taught as well as for general overall health in their professions. Such training would not be a cost of SNAP-Ed per se, but would be an allowable SNAP administrative cost similar to other training or personnel benefits.

Nutrition education providers and human services professionals are encouraged to:

- Work with other FNS nutrition programs to plan and deliver common behaviorally-focused nutrition messages to reach SNAP eligibles using many outlets. SNAP-Ed providers are encouraged to participate in the State Nutrition Action Coalition process that is promoted by FNS.
- Work with other State and community health providers, agencies, professional and industry groups, advocacy groups, and organizations to coordinate and deliver behavior focused messages on healthy eating habits and active lifestyles.
- Use available materials that have been tested during development for clarity and relevance to the target audience and can be customized to local needs.

**6. Supplemental Nutrition Assistance Program Education (SNAP-Ed) is enhanced when the specific roles and responsibilities of local, State, regional and national SNAP agencies and nutrition education providers are defined and put into practice.**

Providing nutrition education to SNAP eligibles requires the cooperation and ongoing communication between Federal, State and local entities and the recognition that each of these sets of organizations has key roles and responsibilities as noted below. FNS also recognizes that there are many roles and responsibilities, such as program development, financial management, and training, which are common at all levels of SNAP-Ed operation.

**FNS, USDA:**

- Establishes SNAP-Ed policy and develops related guidelines and procedures, intervention programs and activities that address the highest priority nutrition problems and needs of the target audiences.
- Reimburses States for 100% of allowable, reasonable and necessary SNAP-Ed costs.
- Reviews and approves State SNAP-Ed plans.
- Monitors State SNAP-Ed projects.
- Leads the coordination of nutrition education and related efforts at the national and regional levels, including partnerships with other Federal agencies, appropriate national organizations and other public and private entities to address national priorities.
- Promotes and supports cross program collaboration and planning at State and local levels to ensure implementation of consistent and effective interventions.
- Aligns SNAP-Ed messages with all other FNS nutrition assistance program messages.
- Provides training and technical assistance to program providers at all levels including linking staff with appropriate resources.
- Develops and provides nutrition education materials for use with SNAP eligibles.
- Oversees the collection and analysis of national SNAP-Ed data.
- Incorporates the Dietary Guidelines for Americans and the USDA Food Guidance System into FNS nutrition assistance programs.
- Promotes science-based decisions through technical assistance, standards for research, and support for sound and systematic evaluation.

**State Supplemental Nutrition Assistance Program Agency:**

- Works collaboratively across State agencies, especially those administering other FNS Programs and with other appropriate agencies to promote healthy eating and active living among SNAP eligibles.
- Develops a coordinated, cohesive State SNAP-Ed Plan that addresses national and State priorities and links SNAP-Ed to SNAP benefits.
- Provides leadership, direction and information to entities contracted to provide SNAP-Ed services to ensure that SNAP-Ed appropriately serves SNAP eligibles and is consistent with SNAP-Ed policies.
- Submits a unified State SNAP-Ed plan to FNS and provides assurances that Plan activities comply with SNAP-Ed policies.
- Submits a final SNAP-Ed performance report to FNS each year.
- Monitors implementation of the State's approved SNAP-Ed Plan including allowable expenditures.

- Offers training to State/local office human services staff on the availability of SNAP and SNAP-Ed services.
- Provides budget information to FNS as required.
- Collects and reports data regarding participation in SNAP-Ed and characteristics of those served.
- Considers offering wellness training to State/local office human services professionals.

**State Nutrition Education Provider:**

- Works with State SNAP agency, other FNS Programs and other SNAP-Ed providers within the State to develop a single comprehensive State SNAP-Ed Plan that addresses national/State priorities, needs of SNAP eligibles and includes sound evaluation strategies.
- Works with other State and local agencies and with private agencies to promote healthy eating and active living among SNAP eligibles.
- Implements science-based nutrition education as specified in the approved State SNAP-Ed plan.
- Submits required reports according to timelines established by State SNAP.
- Works with State SNAP agency to provide training to State/local office human services staff on the availability of SNAP-Ed services.
- Collects and reports data regarding participation in SNAP-Ed and characteristics of those served.

**Local Supplemental Nutrition Assistance Program office:**

- Informs SNAP participants and applicants of opportunities to participate in SNAP services, including SNAP-Ed.
- Builds relationships with other local service providers (WIC, local health departments, and school meals programs) so referrals of SNAP participants to other nutrition and health related services can be made as appropriate.
- As space and resources allow, makes SNAP-Ed information and services available in the SNAP office.
- Coordinates opportunities between SNAP outreach and SNAP-Ed efforts, as appropriate and available.
- Participates in worksite wellness activities or community-based wellness programs, as appropriate and available.

**Local Nutrition Education Provider:**

- Delivers nutrition education services to SNAP eligibles according to approved SNAP-Ed plan.
- Helps SNAP eligibles understand how to eat a healthy diet on a limited food budget using SNAP benefits and managing their food resources.
- Uses appropriate educational strategies and implementation methods to reach SNAP eligibles.
- Collects and reports data regarding participation in SNAP-Ed and characteristics of those served.

- Builds relationships with other local service providers (WIC, local health departments, and school meals programs) so referrals of SNAP participants to other nutrition and health related services can be made as appropriate.
- Provides referrals to the SNAP for low-income non-participants to access SNAP benefits, as appropriate.

## **Appendix I:**

### **Education and Administrative Reporting System (EARS) Implementation Plan**

#### **Introduction**

The Supplemental Nutrition Assistance Program (SNAP) Education and Administrative Report System (EARS) is an annual form completed by SNAP State agencies. It collects uniform data and information on nutrition education activities funded by the SNAP during the prior fiscal year. The data and information collected through the report will inform management decisions, support policy initiatives, provide documentation for legislative, budget and other requests, and support planning within the agency. Data elements to be collected include demographic characteristics of participants receiving nutrition education benefits, topics covered by the educational intervention, education delivery sites, education strategies, and resource allocation. After a two-year trial period, full implementation of State SNAP-Ed EARS data was completed on December 31, 2010.

- All State agencies reported all applicable components of EARS.
- The OMB approved EARS form No. 0584-0542 (expires 08/31/2013) is provided at the end of this Appendix.

#### **Second Year Implementation (FY 2009)**

- FNS continues multi-level trainings and technical assistance (TA). These trainings were used to address ongoing questions that emerge during implementation.
- State agencies conducted trainings and make needed adjustments in the State data collection processes in order to capture data for EARS.
- State agencies submitted EARS data per their timeline using the web base submission system by 12/31/09

#### **Third Year Implementation (2010)**

- FNS continues multi-level training and TA. These trainings are used to address ongoing questions that emerge during implementation.
- State agencies continue to conduct training and make needed adjustments in the State data collection processes in order to capture data for EARS.
- State agencies submitted all applicable components of EARS data using the web based submission system by 12/31/10

Both the State Agency and its SNAP-Ed implementing agencies play critical roles with EARS. The State SNAP Agency is responsible for ensuring that accurate and complete information is collected and submitted for EARS by the established timeline.

#### **State SNAP Agency is responsible for:**

- Participating in Regional EARS trainings;

- Providing training and technical assistance to SNAP-Ed implementing agencies regarding the collection of EARS data;
- Reviewing and monitoring SNAP-Ed implementing agencies' collection for EARS to ensure that it is accurately collected and reported;
- Using the EARS data, if desired, to inform the annual SNAP-Ed State Plan process, generate reports for State partners and stakeholders, and generate awareness regarding SNAP-Ed services in the State.
- Submitting the EARS form using the online submission system.

**SNAP-Ed Implementing Agencies are responsible for:**

- Attending any State or Regional trainings on EARS.
- Collecting, compiling and submitting accurate EARS data to the State Agency.
- Providing training and technical assistance to local SNAP-Ed providers regarding the collection of EARS data;
- Using EARS data to inform the planning and management process as appropriate;
- Reviewing and monitoring the collection of EARS data at the project level to ensure that it is accurately collected and reported in the manner specified on the EARS form.

## Supplemental Nutrition Assistance Program Education (SNAP-Ed) EARS Reporting Form

OMB BURDEN STATEMENT: According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0584-0542. The time to complete this information collection is estimated to average 54 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. OMB #0584-0542 expires 08/31/2013.

**State:** \_\_\_\_\_ **Federal Fiscal Year:** \_\_\_\_\_

**Number of Implementing Agencies\*:** \_\_\_\_\_

**Name of Each Implementing Agency\***


\* An implementing agency is defined as an organization that has a contract/formal agreement with the State Supplemental Nutrition Assistance Program (SNAP) to develop and deliver nutrition education activities in the state. Attach additional pages if necessary.

### ***DIRECT EDUCATION:***

Items #1-6 ask for information about participants and activities associated with direct SNAP Education (SNAP-Ed). **Direct Education** is defined as interventions where a participant is actively engaged in the learning process with an educator and/or interactive media. Direct education provides an opportunity to obtain information about individual participants. For an activity to qualify as direct education, information on the number of individuals, SNAP participation status, age, gender, and race/ethnicity must be collected.

*Example 1: An implementing agency conducts a series of nutrition sessions designed to increase fruit and vegetable intake. The educators collect enrollment data including name, age, race, ethnic group, SNAP participation and gender.*

*Example 2: The implementing agency provides nutrition education via kiosks at several locations. Participant using the kiosks provides identifying information including their SNAP status, ethnicity, age and gender by entering this data or by using codes that can be linked to this information by the implementing agency.*

Situations that would not count as “direct education” include cases where an individual obtains nutrition education or materials or listens to a session but no demographic information is captured about the individual. This would count as indirect education.

**Direct Education: SNAP-Ed Participants and Contacts**

**1a. Direct Education: SNAP-Ed PARTICIPANTS by Age and SNAP Status** - Reporting an unduplicated count of direct education participants means providing the number of different individuals who receive any SNAP-Ed direct education. Each individual counts as one participant, regardless of the number of times he or she has participated in direct education activities. You are encouraged to provide actual unduplicated counts but if you are unable, you should estimate the number of individuals served.

- For Question 1a, indicate below if you are providing actual unduplicated counts or an estimate of SNAP-Ed direct education participants.

- Actual Counts of Participants (unduplicated)
- Estimated Counts of Participants

		A	B	C	D	E
		Less than 5 Years	5-17 Years Grades K-12	18-59 Years	60 Years or More	All Ages Combined
1	Number of SNAP Recipients in SNAP-Ed					
2	Number of All Other Participants in SNAP-Ed					
3	Total Number of SNAP-Ed Participants					

If you reported an estimate in Question 1a, please describe in 100 words or less the methods used to estimate the number of participants.

**1b. Direct Education: SNAP-Ed CONTACTS by Age and SNAP Status**

A “SNAP-Ed contact” is defined as an interaction in which a SNAP-Ed participant participates in a direct education activity. Each SNAP-Ed participant may have one or more SNAP-Ed contacts.

- For Question 1b, indicate below if you are providing actual counts or an estimate of SNAP-Ed direct education contacts.

- Actual Counts of Contacts
- Estimated Counts of Contacts

		A	B	C	D	E
		Less than 5 Years	5-17 Years Grades K-12	18-59 Years	60 Years or More	All Ages Combined
1	Contacts with SNAP Recipients in SNAP-Ed					
2	Contacts with All Other Persons in SNAP-Ed					
3	Total Contacts of SNAP-Ed Participants					

If you reported an estimate in Question 1b, please describe in 100 words or less the methods used to estimate the number of contacts.

#### Instructions for Question 1a and 1b

- Row 1: Enter the **total number of participants (1a) and contacts (1b) who are SNAP recipients** by each age range and for all ages combined (Row 1; Columns A-E).
- Row 2: Enter the **total participants (1a) and contacts (1b) for all other (non- SNAP) persons** by each age range and for all ages combined (Row 2; Columns A-E). This includes persons who are eligible non-participants with respect to the SNAP combined with persons who are not eligible for the SNAP.
- Row 3: Enter the **total participants (1a) and contacts (1b) for SNAP-Ed by age category** (Row 3; Columns A-E). Each number in Row 3 should equal the sum of Rows 1 and 2 in that column.

#### Special Circumstances

- If necessary, determine SNAP status among children (columns A and B) who receive SNAP-Ed services in school and child care settings by multiplying the number of children participating in SNAP-Ed at each school or child care facility by the percent of students enrolled in the **FREE** school lunch program.

*Example: An elementary school program has 100 children participating in SNAP-Ed and the school's free lunch participation rate is 60%. In the "5-17 Years (grade K-12)" column, report 60 students under "Number of SNAP Participants in SNAP-Ed" and 40 students under "Number of All Other Participants in SNAP-Ed" for a total of 100 students.*

- Teen-age SNAP-Ed participants should be counted by their age for Question 1 even if they are parents.

*Example: If the teen parent is 16 years old, they should be counted under Column B, 5-17 Years (Grades K-12). If the teen is 19 years old, they should be counted under Column C 18-59 Years.*

**2a. Direct Education: SNAP-Ed PARTICIPANTS by Gender**

- For Question 2a, indicate below if you are providing an unduplicated count or an estimate of SNAP-Ed direct education participants.

- Actual Counts of Participants (unduplicated)
- Estimated Counts of Participants

		A	B
		Female	Male
1	<b>Number of SNAP-Ed Participants</b>		

If you reported an estimate in Question 2a, please describe in 25 words or less the methods used to estimate the number of participants.

**2b. Direct Education: SNAP-Ed CONTACTS by Gender**

- For Question 2b, indicate below if you are providing actual counts or an estimate of SNAP-Ed direct education contacts.

- Actual Counts of Contacts
- Estimated Counts of Contacts

		A	B
		Female	Male
1	<b>Number of SNAP-Ed Contacts</b>		

If you reported an estimate in Question 2b, please describe in 25 words or less the methods used to estimate the number of contacts.

**Instructions for Question 2a and b**

Enter the DIRECT EDUCATION participants (2a) and contacts (2b) by gender in Row 1; Columns A and B of Table 2a and 2b. The total of A and B in Table 2a should equal the total number of SNAP-Ed participants in Question 1a, Row 3, Column E. The total of A and B in Table 2b should equal the total number of SNAP-Ed contacts in Question 1b, Row 3, Column E.

### 3. Direct Education: Race and Ethnicity

- For Question 3, indicate below if you are providing actual unduplicated counts or an estimate of SNAP-Ed direct education participants.

- Actual Counts of Participants (unduplicated)
- Estimated Counts of Participants

		A	B	C
		Number of Hispanic or Latino SNAP-Ed Participants by Race	Number of Non-Hispanic/Latino SNAP-Ed Participants by Race	Total by Race
<b>Individuals Reporting ONLY ONE RACE</b>	1. American Indian or Alaska Native			
	2. Asian			
	3. Black or African American			
	4. Native Hawaiian or Other Pacific Islander			
	5. White			
<b>Individuals Reporting MULTIPLE RACES</b>	6. American Indian or Alaska Native and White			
	7. Asian and White			
	8. Black or African American and White			
	9. American Indian or Alaska Native and Black or African American			
	10. All Others Reporting More than One Race			
	11. TOTAL by ethnicity			

#### Instructions for Question 3

- For purposes of this form, “Hispanic or Latino” is an ethnic group, not a race.
- Column A: Report the number of Hispanic or Latino SNAP-Ed participants for each racial category listed in Rows 1-11. Specifically, in Rows 1-5, report the number of SNAP-Ed participants who are of Hispanic or Latino ethnicity and report only one race. In Rows 6-10, report the number of SNAP-Ed participants who are of Hispanic or Latino ethnicity and report two or more races. Use Row 10 for all SNAP-Ed participants who are of Hispanic or Latino ethnicity and describe themselves with a racial combination not included in Rows 6-9. For Row 11, enter the sum of Rows 1-10 under Column A.
- Column B: Report the number of SNAP-Ed participants who are *not* of Hispanic or Latino ethnicity for each racial category listed in Rows 1-10. Specifically, in Rows 1-5, report the number of SNAP-Ed participants who are not of Hispanic or Latino ethnicity and report only one race. In Rows 6-10, report the number of SNAP-Ed participants who are not of Hispanic or Latino ethnicity and report two or more races. Use Row 10 for all SNAP-Ed participants who are not Hispanic or Latino ethnicity and describe themselves with a racial combination not included in Rows 6-9. In Row 11, enter the sum of Rows 1-10 under Column B.

- Column C: Add the number of SNAP-Ed participants reported in Column A and Column B for each row. For Column C, Row 11, add the numbers reported in Column C.

*Example 1:* A SNAP-Ed participant who reports they are Hispanic and Black is counted in Column A, Row 3.

*Example 2:* A SNAP-Ed participant who reports being White, Asian, and Black but not Hispanic is counted in Column B, Row 10.

**4. Direct Education: Number of SNAP-Ed Delivery Sites by Type of Setting**

Type of Setting	Number of Different Sites/ Locations	Type of Setting	Number of Different Sites/ Locations
Adult Education & Job Training Sites		Libraries	
Adult Rehabilitation Centers		Churches	
Worksites		Public/Community Health Centers	
Community Centers		Public Schools	
Elderly Service Centers		Head Start Programs	
Emergency Food Assistance Sites		Other Youth Education Sites (includes Parks and Recreation)	
Extension Offices		Shelters	
Farmers Markets		WIC Programs	
SNAP Offices		Other (please specify):	
Food Stores		Other (please specify):	
Public Housing		Other (please specify):	
Individual Homes		Other (please specify):	

**Instructions for Question 4**

For each type of DIRECT EDUCATION setting used, enter the number of different sites/ locations used within the State. Record each site only ONCE on this form.

*Example 1: SNAP-Ed is provided to residents of a shelter that is located in a local church. Record this site under "Church".*

*Example 2: SNAP-Ed is provided to participants in Head Start which is operating in the local elementary school which also has SNAP-Ed activities with the elementary school students. Record this site only once under "Public School".*

- If you provide interactive multimedia education, please report locations where kiosks/computers are available.

*Example 3: SNAP-Ed is provided through interactive multimedia via kiosks in 15 food stores and 10 worksites that have no other SNAP-Ed activities. These kiosks should be added to the numbers of sites reported under the food stores and worksite categories in Question 4.*

**5. Direct Education Programming Format**

		<b>A</b>	<b>B</b>	<b>C</b>
	<b>Format</b>	<b>Number delivered</b>	<b>Time range per session (in minutes)</b>	<b>% delivered by interactive multimedia</b>
<b>1</b>	<b>Single session</b>			
<b>2</b>	<b>Series - 2 to 4 sessions</b>			
<b>3</b>	<b>Series - 5 to 9 sessions</b>			
<b>4</b>	<b>Series - 10 or more sessions</b>			

**Instructions for Question 5**

- For Rows 1-4, Column A, enter the number of single sessions, the number of 2-4 session series, the number of 5-9 session series, and the number of series with 10 or more sessions delivered.
- For Rows 1-4, Column B, enter the time range per session in minutes.
- For Rows 1-4, Column C, enter the percent of Column A delivered by interactive multimedia lessons/modules.

*Example 1: A state reports that 40 single sessions were delivered ranging in time from 45-60 minutes and that 10% were delivered by interactive multimedia. Row 1 of the form would show:*

		<b>A</b>	<b>B</b>	<b>C</b>
	<b>Format</b>	<b>Number delivered</b>	<b>Time range per session (in minutes)</b>	<b>% delivered by interactive multimedia</b>
<b>1</b>	Single session	40	45-60 minutes	10%

**6.Primary Content of Direct Education**

<b>CODE:</b>	<b>CODE:</b>	<b>CODE:</b>	<b>CODE:</b>
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**INSTRUCTIONS for Question 6**

- Identify up to four educational topic areas of emphasis from the list below. These four topic areas should reflect those areas given most emphasis (e.g. taught most frequently) in your State. Record only one code per box. **DO NOT REPORT SNAP OUTREACH IN THIS TABLE.**

- A. FAT FREE & LOW FAT MILK OR EQUIV (& ALTERNATE CALCIUM SOURCES)
- B. FATS AND OILS
- C. FIBER-RICH FOODS
- D. FOOD SHOPPING/PREPARATION
- E. FRUITS & VEGETABLES
- F. LEAN MEAT AND BEANS
- G. LIMIT ADDED SUGARS OR CALORIC SWEETNERS
- H. MYPYRAMID - HEALTHY EATING PLAN
- I. PHYSICAL ACTIVITY
- J. PROMOTE HEALTHY WEIGHT
- K. SODIUM & POTASSIUM
- L. WHOLE GRAINS
- M. FOOD SAFETY
- N. OTHER (specify): \_\_\_\_\_ (possible for electronic form)
- O. OTHER (specify): \_\_\_\_\_
- P. OTHER (specify): \_\_\_\_\_
- Q. OTHER (specify): \_\_\_\_\_

**SOCIAL MARKETING INITIATIVES:**

Item #7 asks for information about SNAP-Ed social marketing initiatives. **Social Marketing** is defined as a consumer-focused, research-based process to plan, implement and evaluate interventions that are designed to influence the voluntary behavior of a large number of people in the target audience (adapted from Alan Andreasen 1995 and Social Marketing Division of Society for Nutrition Education).

For an activity to qualify as a social marketing campaign, the initiative being reported must have included all of the following steps:

- Identified a specific segment of the SNAP/low income population to target
- Identified the specific nutrition needs of the target audience, associated target behavior(s), and the target audience's reasons for and against changing behavior.
- Interacted with the target audience to see if the message, materials, and delivery channel are understood and meaningful (would lead to behavior change).

States that conduct social marketing campaigns that include both direct and indirect education activities may elect to report these under these categories. However, if direct and indirect education activities are reported in the "direct education" section or the "indirect education" section, they should not be reported in the social marketing section because that would result in a duplicate count.

**7. Description of ALL Social Marketing Campaigns**

Attach an additional form to record data, if there are more than five campaigns.

A. Name of Campaign	B. Current Year of Campaign	C. Major Campaign Activities for Current Year <i>Use Codes</i>	D. Priority Population(s) <i>Use Codes</i>	E. Estimated Number of SNAP Recipients Reached	F. Estimated Number of Other Low Income Persons Reached	G. Total Estimated Reach (Low Income, SNAP Recipients AND All Others)
1						
2						
3						
4						
5						

### Instructions for Question 7

For each social marketing campaign being planned, under development or operating:

- Column A: Enter the name of all FNS approved social marketing campaigns.
- Column B: Enter the current campaign year for this annual reporting cycle. Be sure to include planning and development phases.  
*Example: If this is the third year of a five year campaign, record 3 in Column B.*
- Column C: Enter **one or more** of the following codes that describe major phases of campaign activities:
  - o P=Planning (includes market and formative research),
  - o D=Developing (includes campaign/materials design and consumer testing),
  - o I=Implementing
  - o E=Tracking and Evaluation
- Column D: Enter **all** of the appropriate codes describing the priority population (target audience) that this campaign reached during this fiscal year:

- o Ethnicity: F=Hispanic or Latino  
G=Not-Hispanic or Latino

- o Race: A=American Indian or Native Alaska  
B=Asian  
C=Black or African American  
D=Native Hawaiian or Other Pacific Islander  
E=White

- o Gender: H=Female  
I=Male

- o Age: J=All ages  
K=Less than 5 years of age  
L=5 to 17 years of age  
M=18 to 59 years of age  
N=60 years of age or older

For Columns E, F and G, enter the number of people reached, as estimated from demographic or marketing data or other sources.

- Column E: Enter the estimated number of SNAP recipients reached this reporting year through this campaign
- Column F: Enter the estimated number of low-income persons (EXCLUDING SNAP recipients) reached through this campaign this reporting year.
- Column G: Enter the total estimated number of people (low-income, SNAP recipients AND all others) reached this reporting year.

Example 1: The radio station that broadcasts social marketing nutrition messages has provided demographic statistics to the implementing partner showing the income range of their listening audience. The data show that roughly 20% of the audience or 400 people would not qualify for SNAP benefits. The estimated count of 400 people should be counted under column G in Item 7 of the form.

Example 2: Nutrition education is conducted at a local grocery store in a low-income neighborhood and 200 people attend. Census track data is examined and shows that 55% of the population served by the store has income below 130% of the poverty level and 30% has income between 130% and 185% of the poverty level with the remaining 15% having income over 185% of the poverty level. In Column E, 110 (55% of 200 participants) should be included, 60 should be included in Column F (30% of 200) and 200 should be reported in Column G.

**7. Continued-Description of ALL Social Marketing Campaigns**

	H. Primary Intervention Levels Use Codes	I. Key Messages Use Codes	J. Primary Intervention Channels Use Codes	K. Total Expenditure for Social Marketing Campaign for Reporting Year	L. Total Federal SNAP-Ed Expenditure for Reporting Year
1				\$	
2				\$	
3				\$	
4				\$	
5				\$	

### Instructions for Question 7

- Column H: Enter **one or more codes** describing each campaign's level(s) of intervention:
  - A=Individual
  - B=Interpersonal (groups)
  - C=Institution/Organization
  - D=Community
  - E=All Levels
  - F=Other – please specify
  
- Column I: Enter **up to three codes** for each campaign's priority education topics/messages. Use the codes listed in the Instructions for Item # 6.
- Column J: Enter **all of the codes** corresponding to the intervention channels used in each campaign:
  - A=Nutrition Education Radio Public Service Announcement (PSA)
  - B=Nutrition Education TV Public Service Announcement (PSA)
  - C=Nutrition Education articles
  - D=Billboards, bus wraps, or other signage
  - E=Participation in community events/fairs
  - F=Sponsor community events/fairs
  - G=Fact sheets/pamphlets/newsletters
  - H=Posters
  - I=Calendars
  - J=Promotional materials w/nutrition messages (pens, pencils, wallet reference cards, magnets, cups, etc)
  - K=Website
  - L=Electronic (email) materials/info distribution
  - M=Videos/CD-Rom
  - N=Retail/point-of-purchase activities
  - O=Other – please specify

- Column K: Enter the **total expenditure (include all State and Federal SNAP-Ed and any other sources of funds)** for the campaign this reporting year.
- Column L: Enter the **Federal SNAP-Ed expenditures** for the campaign this reporting year.

**INDIRECT EDUCATION:**

Item #8 asks for information about SNAP indirect education. **Indirect Education** is defined as the distribution of information and resources, including any mass communications, public events and materials distribution that DO NOT meet the definitions of Direct Education or Social Marketing Campaigns. Mass communication, public events and material distribution efforts that don't meet the definition of social marketing should be reported here.

**8a. Types of Materials Distributed**

	Check if applicable
<b>Fact sheets/ pamphlets/newsletters</b>	<input type="checkbox"/>
<b>Posters</b>	<input type="checkbox"/>
<b>Calendars</b>	<input type="checkbox"/>
<b>Promotional Materials w/nutrition messages</b> (pens/pencils/wallet reference cards/magnets/cups/etc)	<input type="checkbox"/>
<b>Website</b>	<input type="checkbox"/>
<b>Electronic (Email) materials/info distribution</b>	<input type="checkbox"/>
<b>Videos/CD Rom</b>	<input type="checkbox"/>
<b>Other</b>	<input type="checkbox"/>

**Instructions for Question 8a**

Check all methods/materials used for indirect education.

**8b. Estimated Size of Audiences Reached through Communication and Events**

	Estimated No. of target population reached	Source of Data
<b>Nutrition Education Radio PSAs</b>		
<b>Nutrition Education TV PSAs</b>		
<b>Nutrition Education Articles</b>		
<b>Billboard, Bus or Van Wraps, or Other Signage</b>		
<b>Community Events/Fairs -- in which Participated</b>		
<b>Community Events/Fairs -- Only Sponsored</b>		
<b>Other</b>		

**Instructions for Question 8b**

For each type of communication channel and event enter the estimated number of individuals in the target population(s) reached and the code of the source of the data used to tabulate the estimate.

- 1 = commercial market data on audience size
- 2 = survey of target audience
- 3 = visual estimate
- 4 = other

**9.Expenditures by Sources of Funding (See Instructions)**

	<b>Expenditures for Reporting Year</b>
1. Public Cash Contributions -- State and Local Tax Revenue <b>only</b>	\$
2. Public and Private Cash Contributions -- <b>other than</b> State and Local Tax Revenue	\$
<b>3. Sum of Lines 1 &amp; 2</b>	<b>\$</b>
4. Public In-Kind Contributions (non-cash)	\$
5. Private Cash Contributions to State SNAP Agency only	\$
6. Indian Tribal Organization Contributions	\$
<b>7. Sum of Lines 4, 5 &amp; 6</b>	<b>\$</b>
8. Federal Reimbursement	\$
<b>9. TOTAL SNAP-Ed EXPENDITURES: Sum of Lines 3, 7 &amp; 8</b>	<b>\$</b>

**Instructions for Question 9**

All dollar amounts recorded in item #9 should reflect actual expenditures NOT those initially budgeted.

- Line 1: Enter the dollar value of expenditures paid only with State and local tax revenue designated specifically for SNAP-Ed activities.
- Line 2: Enter the dollar value of expenditures paid with public and private cash contributions. These are contributions that are received by state implementing agencies or their subcontractors other than State and local tax revenues designated specifically for SNAP-Ed activities. These are not from State and local tax revenues.
- Line 3: Enter the sum of lines 1 and 2 in line 3.
- Line 4: Enter the dollar value of expenditures paid with public in-kind (non-cash) contributions. These contributions are defined as goods or services provided by a state or local agency for which no cash funds are transferred and no out-of-pocket cost is incurred by the contributing agency. Typically, in-kind contributions are the value of goods or services provided by volunteers.
- Line 5: Enter the dollar value of expenditures paid with private cash contributions made to the State SNAP Office/Agency These contributions are funds provided by non-governmental groups. They may include cash provided to the State or outlays made directly by a non-governmental organization to cover approved SNAP-Ed costs.
- Line 6: If applicable, enter the dollar value of expenditures paid with Indian Tribal Organization (ITO) contributions. Although technically ITO contributions are Federal funds, for the purposes of SNAP-Ed reimbursement, they are considered state match.
- Line 7: Enter the sum of lines 4, 5 and 6 in line 7. This may be less than 50% of the Total SNAP-Ed Expenditures in line 9 when there is an ITO contribution because FNS reimburses allowable activities conducted on Indian reservations at the 75% rate.
- Line 8: Enter the total amount of the federal reimbursement for SNAP-Ed; this is the total amount chargeable to FNS. It may be greater than 50% of total outlays when there is an ITO contribution because FNS reimburses for allowable activities conducted on Indian reservations at the 75% rate.
- Line 9: Enter the sum of lines 3, 7 and 8 to record Total (allowable) SNAP-Ed Expenditures. This total should equal Line 3 in Question 10, Expenditures by Category of Spending.

**10. Expenditures by Category of Spending (See Instructions below)**

Cost breakouts for item #10 may be the actual allocation or estimated.

<b>1. Total Expenditures for SNAP-Ed Program Delivery</b>	\$
<b>2. Total Expenditures for Administrative Costs</b>	\$
<b>3. TOTAL SNAP-Ed Expenditures (State and Federal)</b>	\$

Data provided in this table are (check one):  actual or  estimated based on FTE allocation.

**Instructions for Question 10**

Costs reported in this table may be calculated based on: 1) the actual expenditures associated with each component described above; or, 2) be estimated based on multiplying the percentage of total FTE time spent on nutrition education versus administration to any cost component that is not tracked separately as a delivery or administrative expense.

*Example: 45% of FTEs are for administrative functions. Apply this to the total expenditures and you can estimate your Total Expenditures for Administrative Costs, line 2.*

Line 1: Count all of the following as Nutrition Education Program Delivery Expenditures:

- Dollar value of salaries and benefits associated with staff time spent providing approved and allowable SNAP-Ed activities.
- Cost of all food demonstration supplies.
- Cost of purchasing and/or developing educational materials (literature/materials/audiovisuals).
- Cost of developing and implementing media campaigns.
- Dollar value of the pro-rated costs of space used to deliver SNAP-Ed.
- Cost of any SNAP-Ed evaluation efforts.
- Cost of traveling to deliver SNAP-Ed services.
- Cost of training for nutrition education providers.
- Indirect costs (must be proportionate to time spent to delivery of SNAP-Ed)
- Other overhead charges (space, HR services, etc).

Line 2: Count all of the following as FSN Administrative Expenditures:

- Dollar value of salaries and benefits associated with staff time spent on SNAP-Ed administration not on nutrition education. (*example: State SNAP/IA/Project staff, support staff*).
- Cost of training to performing administrative functions like record keeping, accounting, etc.
- Cost of reporting.
- Cost of equipment and office supplies.
- Operating Costs.
- Indirect Costs for those administrative staff not covered above.
- Other overhead charges associated with administrative expenses (space, HR services, etc).

Line 3: Sum of lines 1 and 2. This total should equal the total reported in Line 9 of Question 9, Expenditure by Sources of Funding.